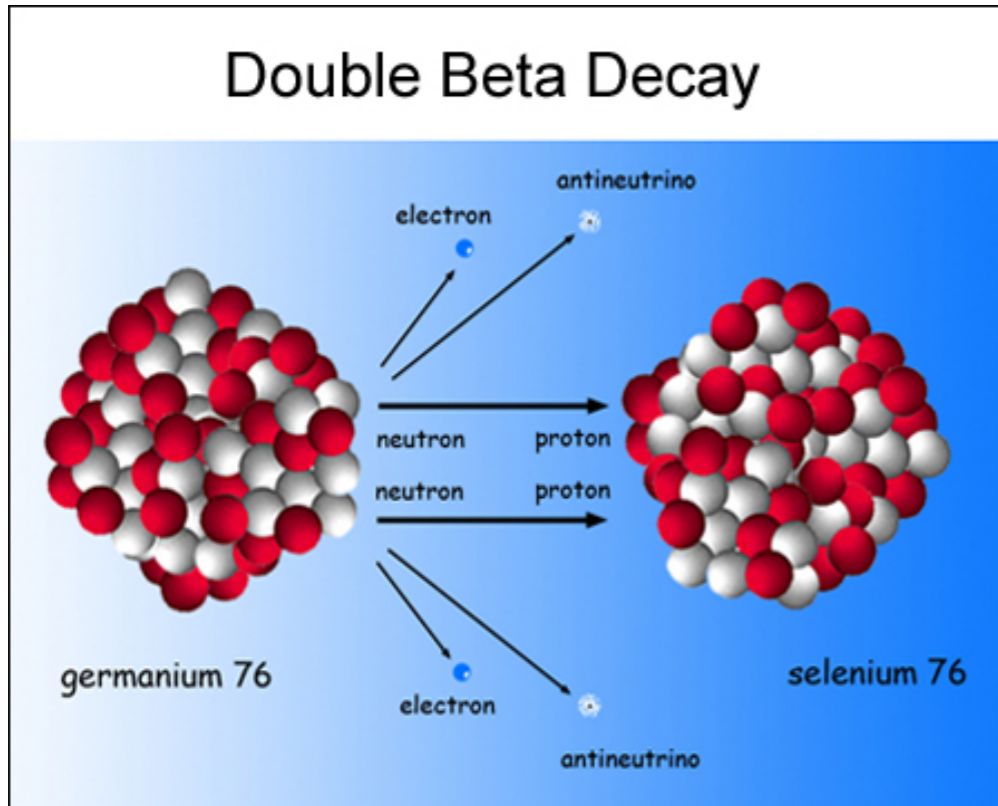


Lecture 6: Searches for $0\nu 2\beta$ decays

PhD cycle XXXIV

0ν2β decays

$$\Delta L=0$$



- Two beta decays at the same time
- Only a few isotopes able to undergo 2β
 - those with peculiar energy level arrangements such that the emission is more convenient than the unstable isotope

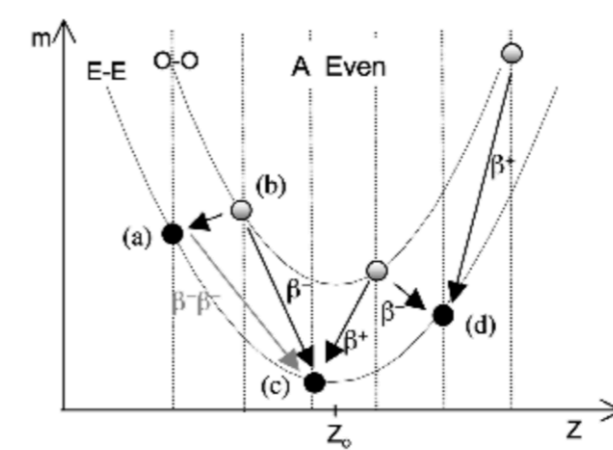


Figure 2: Ground state mass parabola for isobaric nuclei, showing the necessary configuration for double beta decay. Only the one (a) on the even-even (E-E) shell, whose β^- -decay is blocked (b) but which could decay via two subsequent steps (c) is allowed to do double beta decay. The shift of the parabola of the odd-odd (O-O) nuclei is due to the nuclear pairing energy [2].

TABLE V. Isotopic abundance and Q-value for the known $2\nu\beta\beta$ emitters [175].

Isotope	isotopic abundance (%)	$Q_{\beta\beta}$ [MeV]
^{48}Ca	0.187	4.263
^{76}Ge	7.8	2.039
^{82}Se	9.2	2.998
^{96}Zr	2.8	3.348
^{100}Mo	9.6	3.035
^{116}Cd	7.6	2.813
^{130}Te	34.08	2.527
^{136}Xe	8.9	2.459
^{150}Nd	5.6	3.371

$$2\nu\beta\beta : (A, Z) \rightarrow (A, Z+2) + 2e^- + 2\nu_e$$

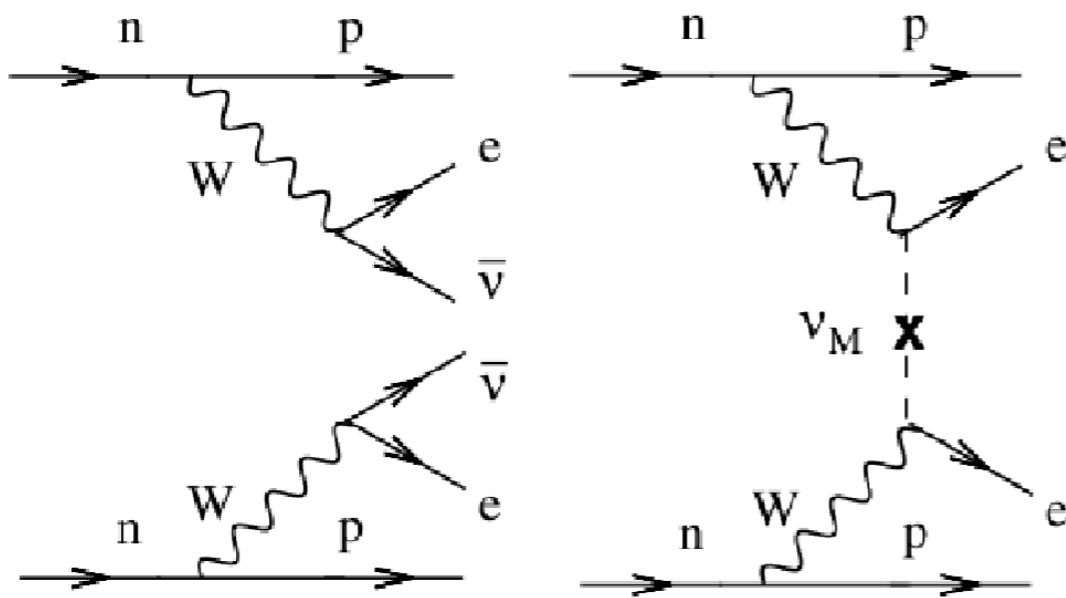
2nd order process, observed, $T_{1/2} \sim 10^{19}\text{-}10^{24}$ yrs

^{76}Ge : $T_{1/2} \sim 10^{21}$ yrs

$$Q_{\beta\beta} = M(Z+2) - M(Z) - 2m_e$$

$0\nu 2\beta$ decays

$$\Delta L = 2$$



- Two beta decays at the same time
- Only a few isotopes able to undergo 2β
 - those with peculiar energy level arrangements such that the emission is more convenient than the unstable isotope

$$0\nu\beta\beta : (A, Z) \rightarrow (A, Z+2) + 2e^-$$

new physics, $T_{1/2} > 10^{25}$ yrs

$$2\nu\beta\beta : (A, Z) \rightarrow (A, Z+2) + 2e^- + 2\nu_e$$

2nd order process, observed, $T_{1/2} \sim 10^{19}$ - 10^{24} yrs

⁷⁶Ge: $T_{1/2} \sim 10^{21}$ yrs

$$\left(T_{1/2}^{0\nu}\right)^{-1} = G^{0\nu}(Q_{\beta\beta}, Z) |M^{0\nu}|^2 \left(\frac{\langle m_{ee} \rangle}{m_e}\right)^2$$

phase space factor

nuclear matrix element

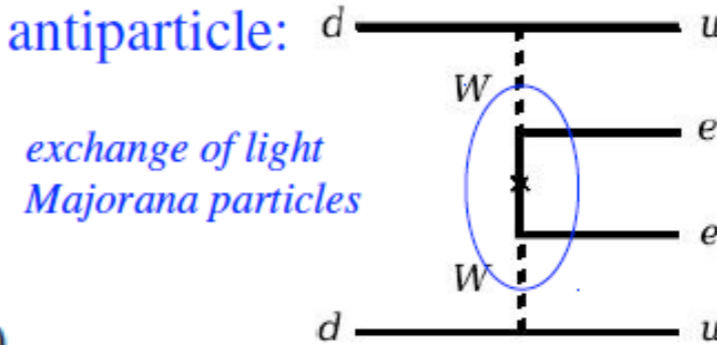
$$\langle m_{ee} \rangle = \left| \sum_i U_{ei}^2 m_i \right|$$

effective Majorana neutrino mass

Why look for it...

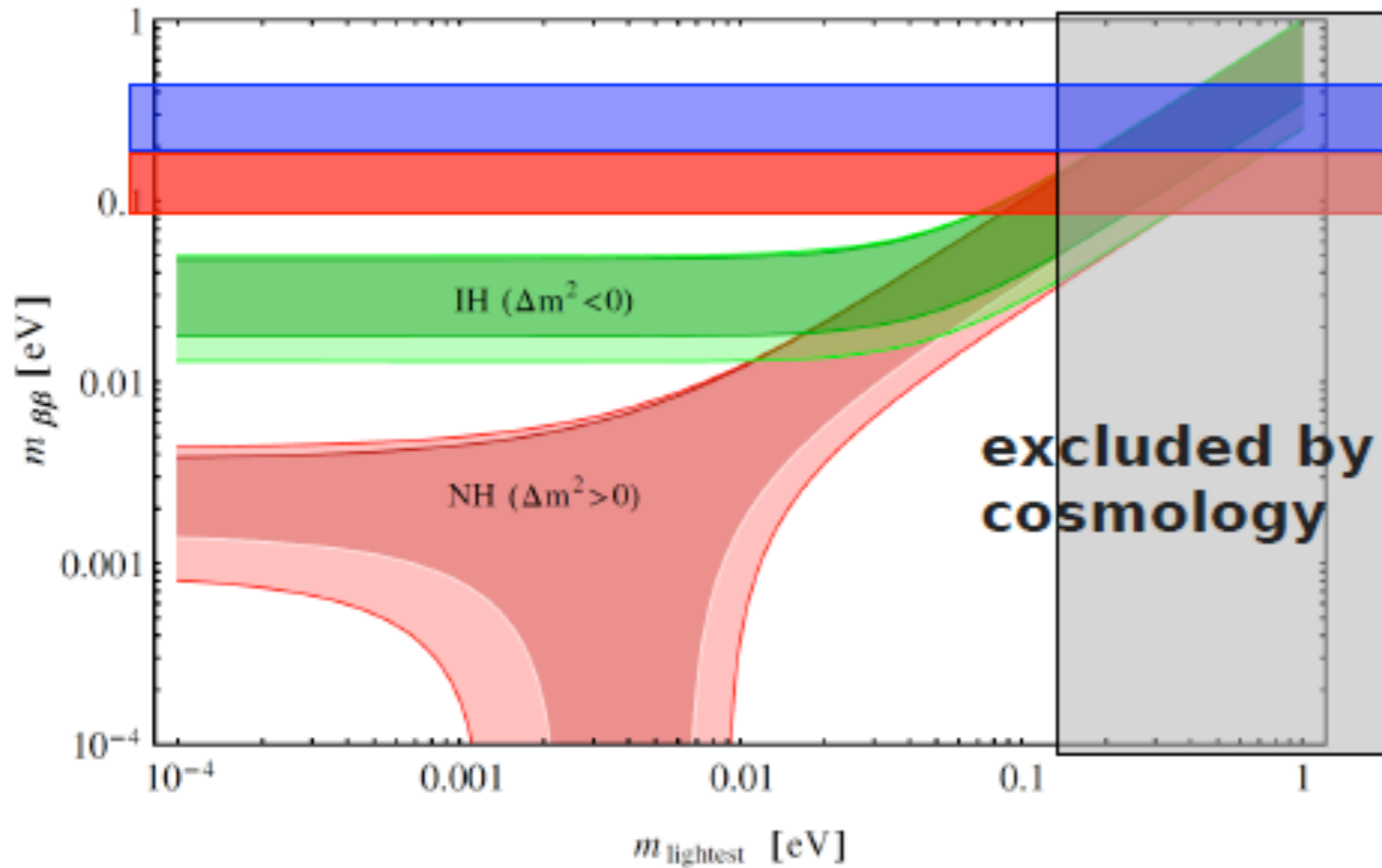
◆ Only way to determine if neutrino is its own antiparticle:

$$\nu = \bar{\nu} \Rightarrow \text{Majorana particle}$$



exchange of light Majorana particles

S. Dell'Oro, S. Marcocci, F. Vissani, PRD 90 (2014)



• A weighted average of neutrino masses enters the decay rate (decay half life)

• **NB: experiments measure $T^{0\nu}_{1/2}$**

• Limits on m_{ee} from above, can also exclude IH

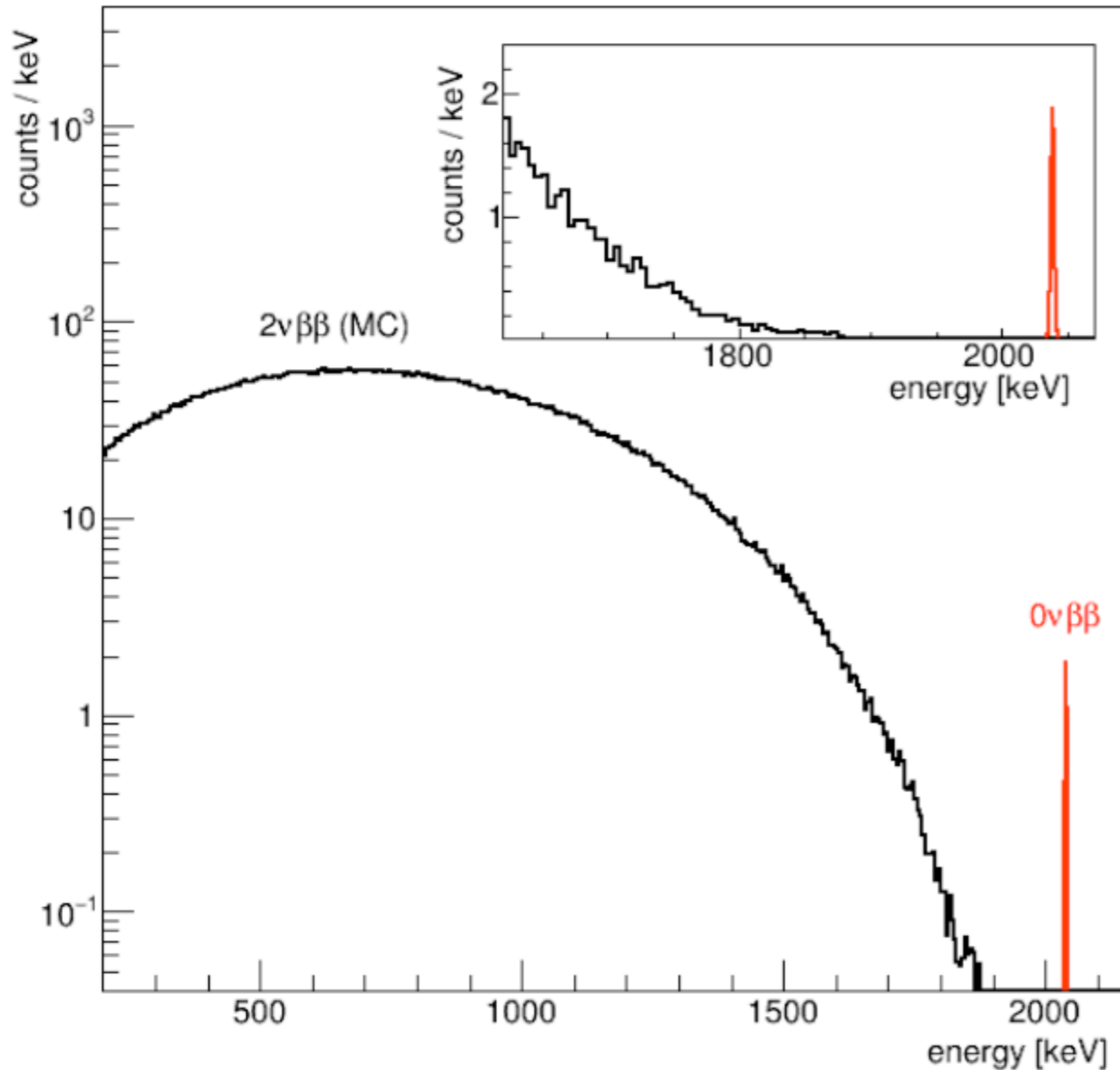
• because one is still looking only at electron flavour, therefore a mix of mass eigenstates, with their MH arrangement in $\langle m_{ee} \rangle$

• nuclear matrix element uncertainties are the biggest spoiler in the conversion

$$(T_{1/2}^{0\nu})^{-1} = G^{0\nu}(Q_{\beta\beta}, Z) |M^{0\nu}|^2 \left(\frac{\langle m_{ee} \rangle}{m_e} \right)^2$$

\uparrow phase space factor
 \uparrow nuclear matrix element
 $\langle m_{ee} \rangle = \left| \sum_i U_{ei}^2 m_i \right|$
 effective Majorana neutrino mass

What to look for...



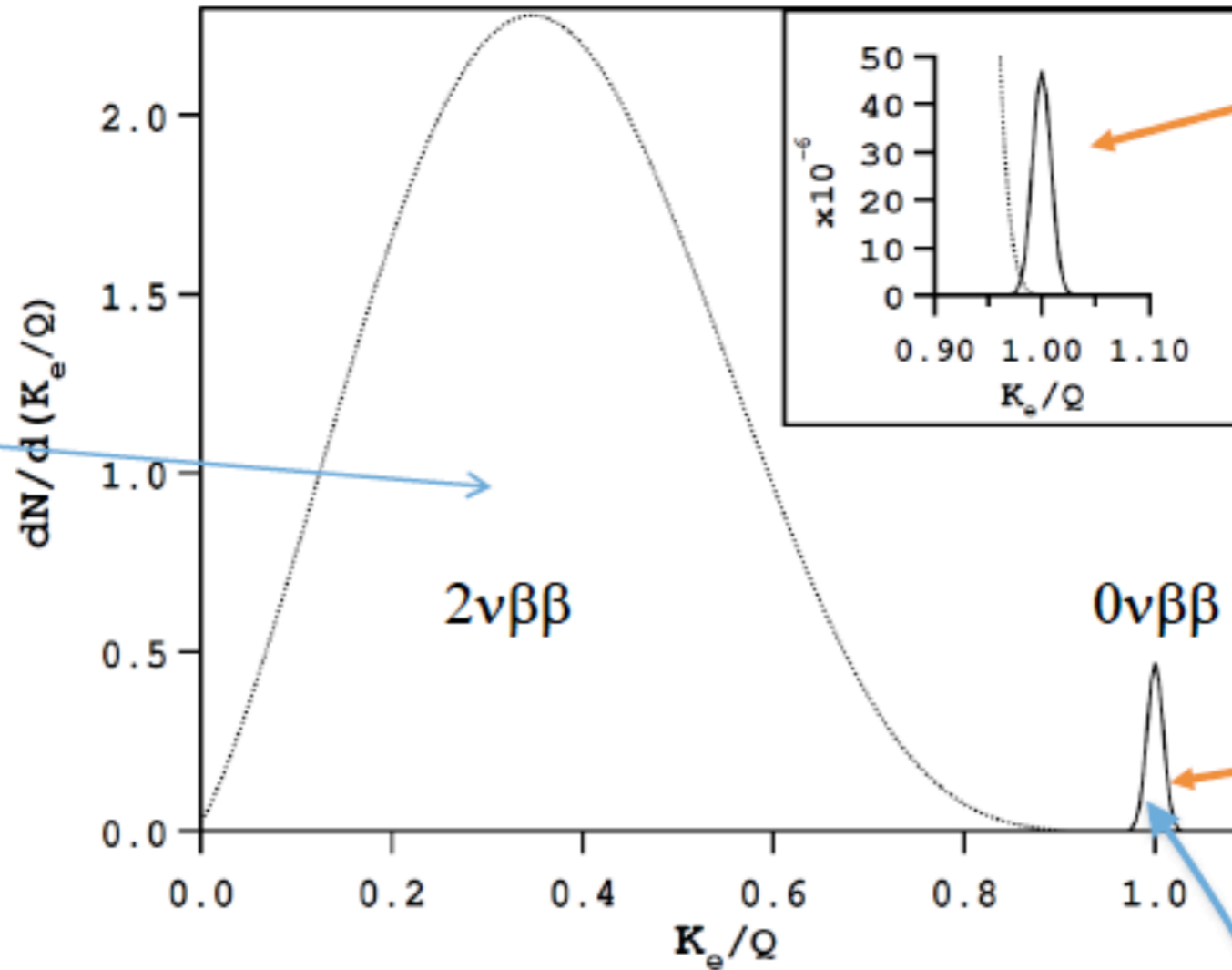
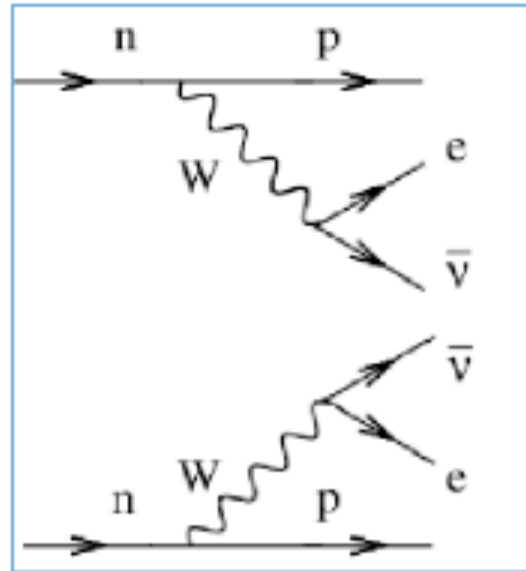
$$2\nu\beta\beta : (A, Z) \rightarrow (A, Z+2) + 2e^- + 2\nu_e$$

$$0\nu\beta\beta : (A, Z) \rightarrow (A, Z+2) + 2e^-$$

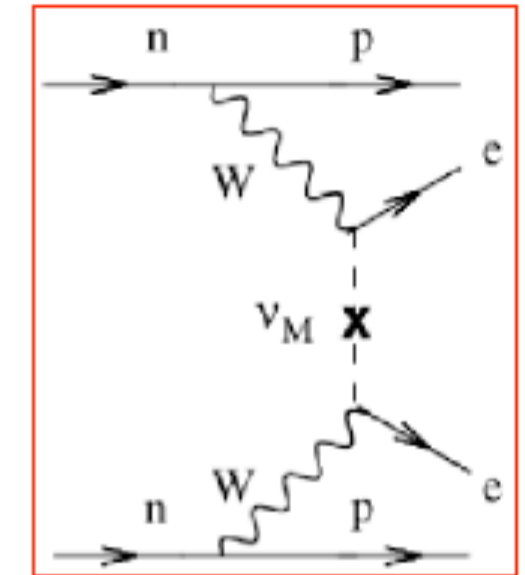
Measure overall energy of “2e” considered as “one body” in a 2-body decay
→ with no neutrinos it’s a line at $E = Q_{\beta\beta}$

Neutrinoless double beta decay

[arXiv:hep-ph/0611243]



$0\nu\beta\beta$ peak
(normalized to 10^{-6})



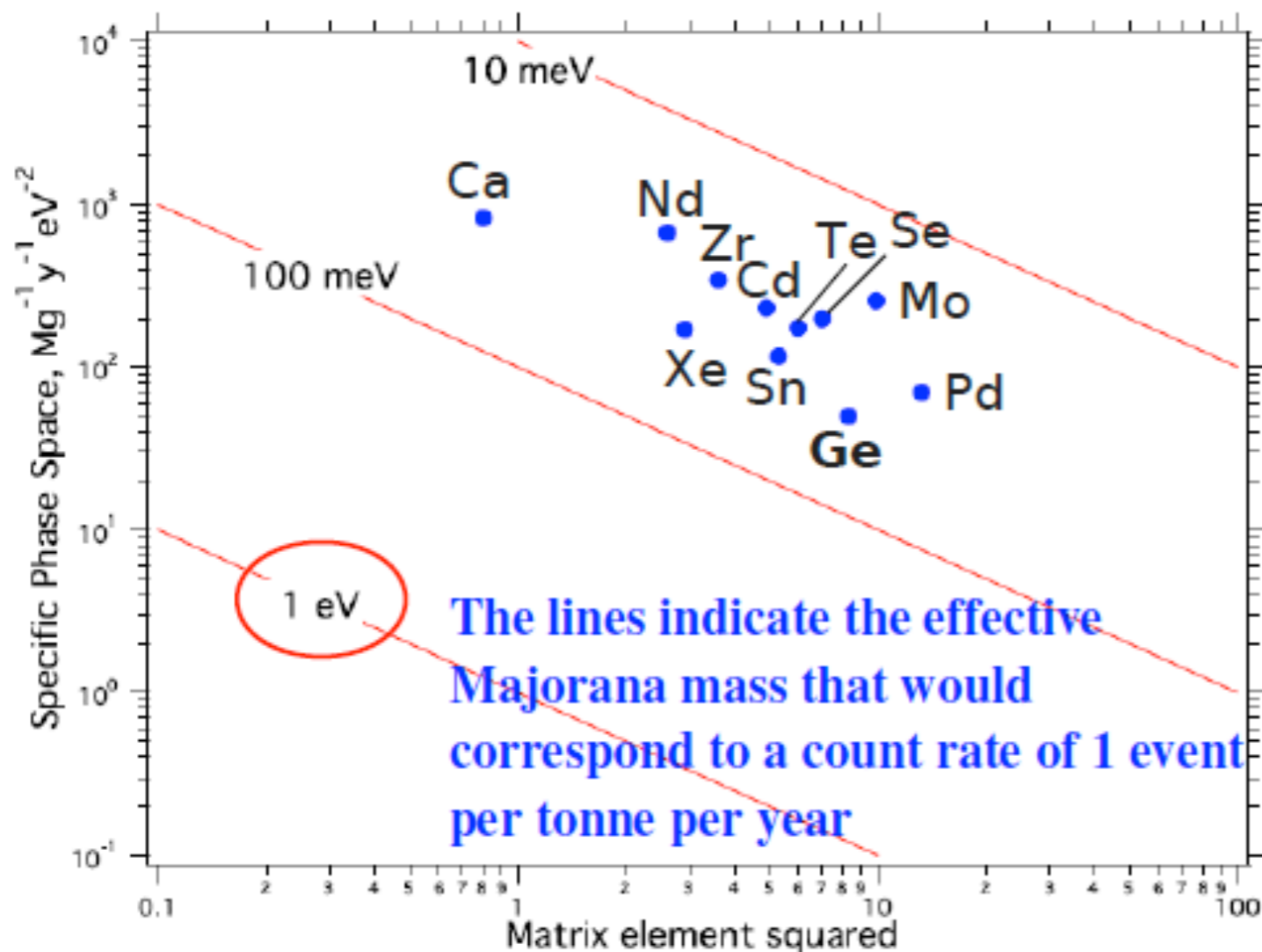
$0\nu\beta\beta$ peak
(normalized to 10^{-2})

kinetic energy K_e of the two electrons
in units of kinematic endpoint (Q)

Smeared by the energy resolution
of the hypothetical detector

Comparing different isotopes

R.G.H. Robertson arXiv:1301.1323



$$(T_{1/2}^{0\nu})^{-1} = G^{0\nu}(Q_{\beta\beta}, Z) |M^{0\nu}|^2 \left(\frac{\langle m_{ee} \rangle}{m_e} \right)^2$$

$G^{0\nu}$ nuclear matrix element
 $|M^{0\nu}|^2$ phase space factor
 $\langle m_{ee} \rangle = \left| \sum_i U_{ei}^2 m_i \right|$ effective Majorana neutrino mass

No theoretical preference

- Phase Space and NME inversely correlated. Tend to compensate.
- Theoretical uncertainties very large

Experimental/practical criteria

- Enrichment cost
- Energy resolution
 - ◆ Narrow peak for discovery
- Background index
 - ◆ Ultraclean components
 - ◆ Avoid surfaces
 - ◆ Especially in a vacuum
- Scalability
 - ◆ Liquids, gases, large crystals

How to look for it...

Challenges of double- β decay experiments

$$\text{Sensitivity on } T_{1/2} \propto \varepsilon \cdot A \cdot \sqrt{\frac{M \cdot T}{b \cdot \Delta E}}$$

ε	detection efficiency
A	isotopic abundance
M	active mass
T	exposure
b	background rate
ΔE	energy resolution

$T_{1/2}^{0\nu} > 10^{25}$ years !!

→ Need:

- high target mass
- high exposure
- low background rate
- good energy resolution

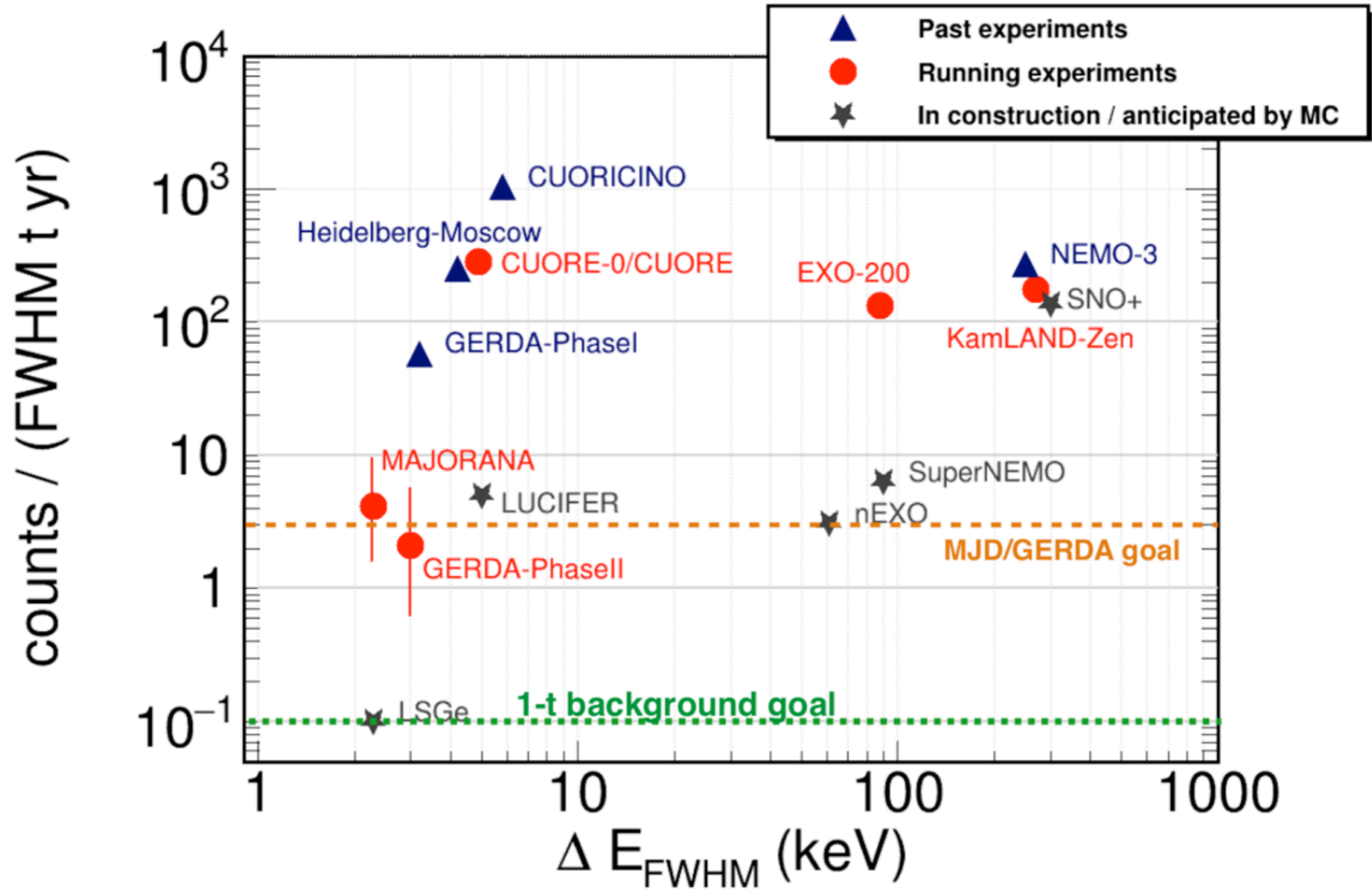


Natural radiation decay rates

A banana	~10 decays/s
A bicycle tire	~0.3 decays/s
1 l outdoor air	~1 decay/min
100 kg of ^{136}Xe (2ν)	~1 decay/10 min

Strength of liquid $\beta\beta$ detectors

$0\nu\beta\beta$ decay	>10000 x rarer than $2\nu\beta\beta$
Age of universe	1.4×10^{10} years



Synoptic comparison (*not most up to date*)

Isotope	Experiment	Exposure (kg yr)	$T_{1/2}^{0\nu\beta\beta}$ average sensitivity (10^{25} yr)	$T_{1/2}^{0\nu\beta\beta}$ (10^{25} yr) 90%CL	$\langle m_\nu \rangle$ (meV) Range from NME*	Reference
^{76}Ge	GERDA	46.7	5.8	>8.0	<120-270	L. Pandola for GERDA Collab, TAUP 2017
	Majorana Demonstrator	10	>2.1	>1.9	<240-520	C.E. Aalseth, arXiv:1710.11608v1
^{130}Te	CUORE	86.3	0.7	>1.5	<140-400	C. Alduino, et al., arXiv:1710.07988v1
^{136}Xe	EXO-200	177.6	3.7	>1.8	<147-398	Albert et al. arXiv: 1707.08707 (2017)
	KamLAND-ZEN	504**	4.9	>11 (run 2)	<60-161	Gando et al., PRL 117 (2016) 082503

Note that the range of “viable” NME is chosen by the experiments and uncertainties related to g_A are not included. ** All Xe. Fiducial Xe is more like ~150 kg yr

To achieve higher sensitivity, the next generation of experiments will be at the ton-scale.

32



Isotope: Germanium
Example: Gerda

Searching in ^{76}Ge

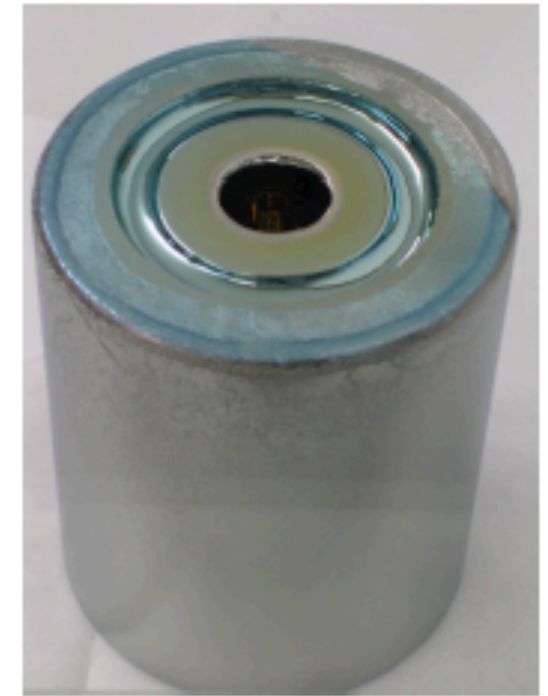
$$S \sim \epsilon \cdot f \cdot \sqrt{\frac{M \cdot t_{\text{run}}}{\text{BI} \cdot \Delta E}}$$

S: sensitivity
 ϵ : efficiency
f: abundance of $0\nu\beta\beta$ isotope
M: detector mass

non-zero background

$$\text{BI} = \text{counts}/(\text{keV} \cdot \text{kg} \cdot \text{yr})$$

t_{run} : measurement time
BI: background index
 ΔE : energy resolution at $Q_{\beta\beta}$



Germanium detector

Advantages of Germanium:

- **High ϵ** : Source = Detector
- **Small intrinsic BI**: High purity Ge
- **Excellent ΔE** : FWHM $\sim (0.1-0.2)\%$
- Well-established technology

Disadvantages of Germanium:

- at $Q_{\beta\beta} = 2039$ keV more challenging to reach **low enough background**
- **Small f of ^{76}Ge** :
7.8% \rightarrow Enrichment needed! $\rightarrow \sim 86\%$ in GERDA
- Limited sources of crystal & detector manufacturers
- Small $G^{0\nu}(Q_{\beta\beta}, Z)$

Gerda @ LNGS: Background reduction

- GERDA situated in LNGS underground laboratories
- 3800 m.w.e.

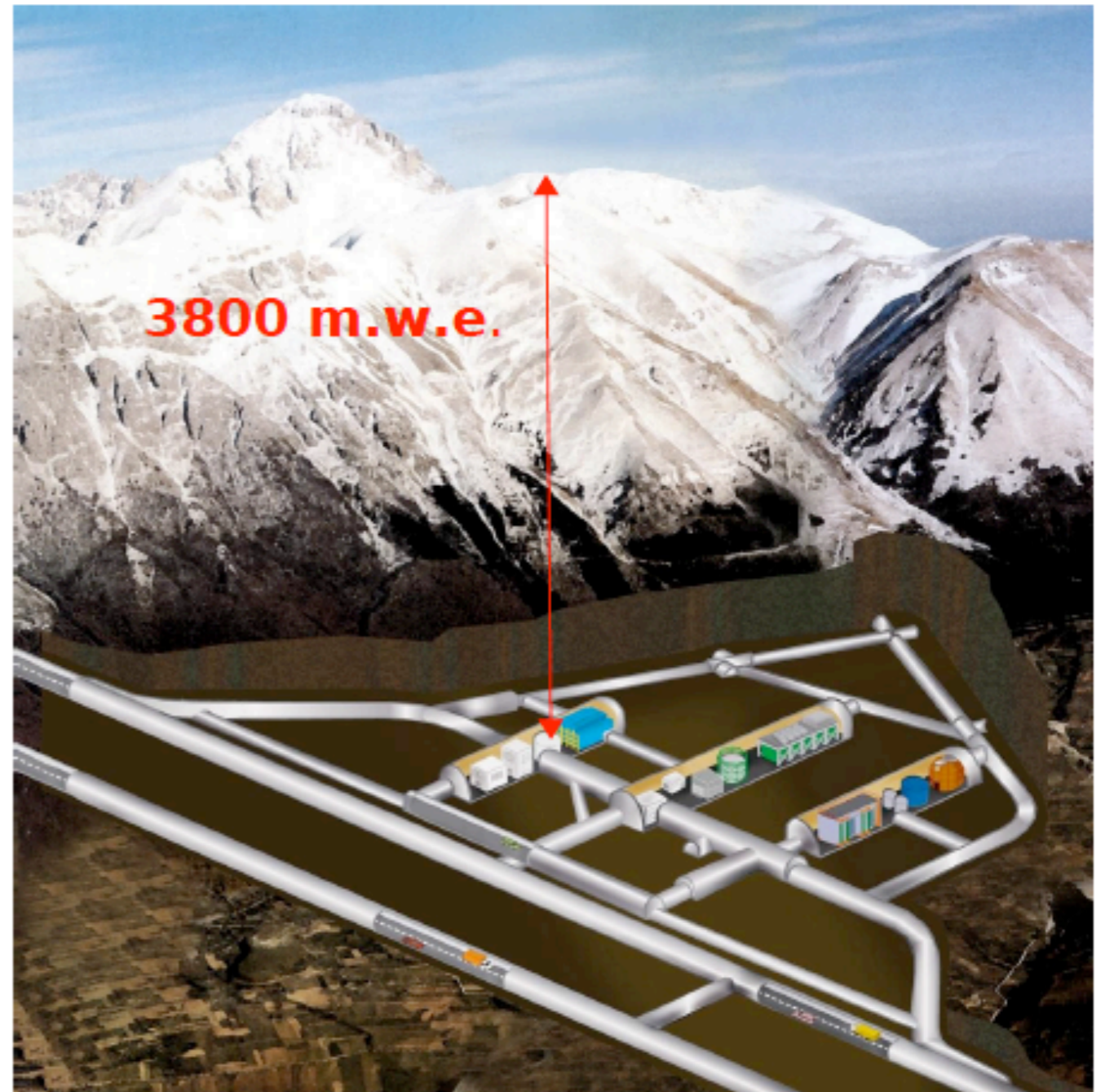
Possible **backgrounds** from:

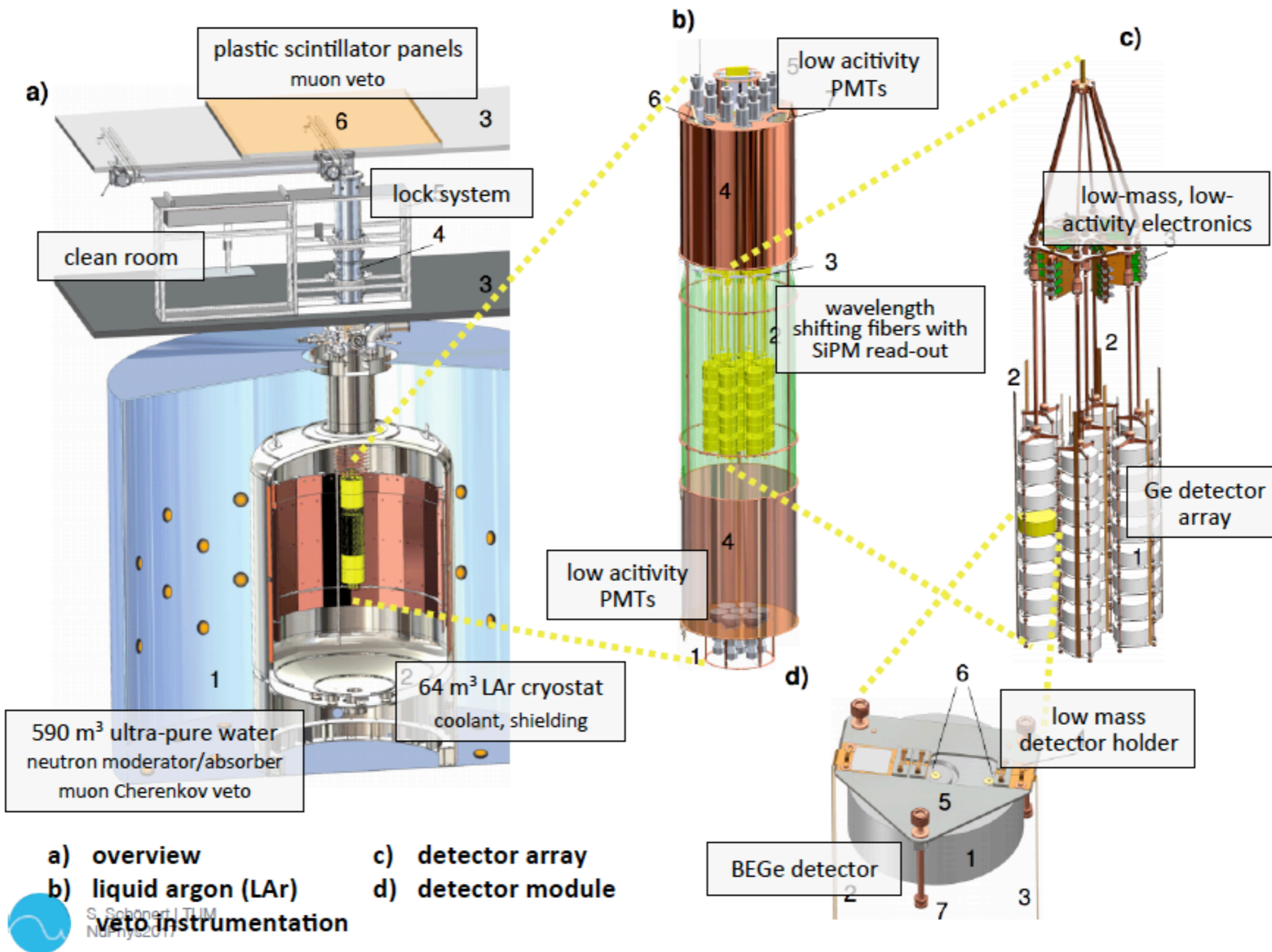
External:

- γ from Th and U chain
- neutrons
- μ from cosmic rays (prompt and delayed)

Internal:

- cosmogenic ^{60}Co ($T_{1/2}=5.3$ yr)
- cosmogenic ^{68}Ge ($T_{1/2}=271$ d)
- Radioactive surface contaminations





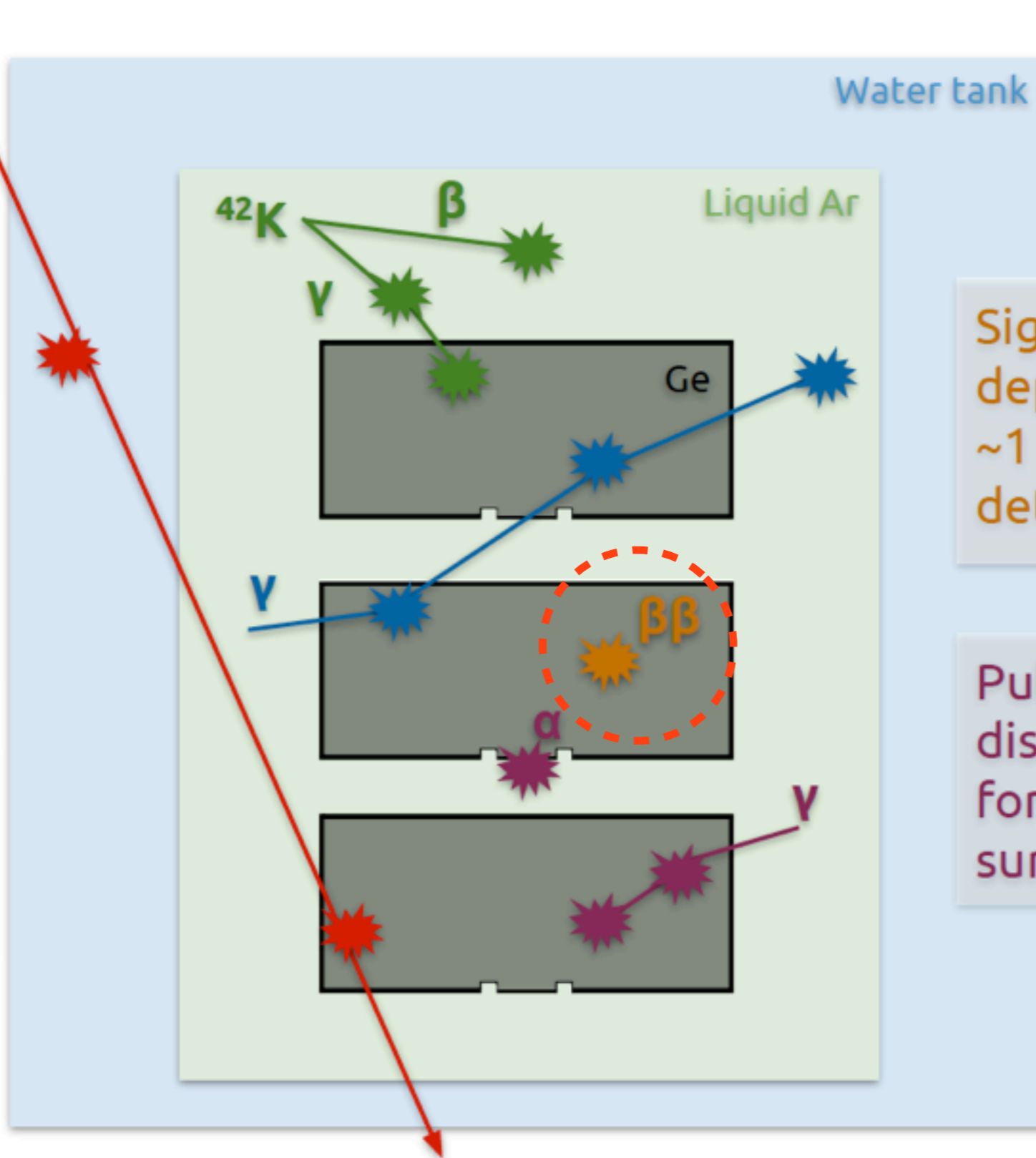
- high-purity germanium (HPGe) detectors enriched in ⁷⁶Ge to (86–88)%: source + detector
- detectors mounted in low-mass holders (to minimize radioactive bkg)
- embedded in liquid argon (LAr): cryogenic coolant and absorber against external radiation
- ultrapure water tank: buffer around cryostat as additional absorber + Cherenkov muon veto

BACKGROUND REDUCTION CONCEPT

Muon veto based on Cherenkov photons in water

LAr veto based on scintillation light from γ s and β s

Detector anti-coincidence of the array



Signal: single energy deposition within $\sim 1 \text{ mm}^3$ of the detector volume

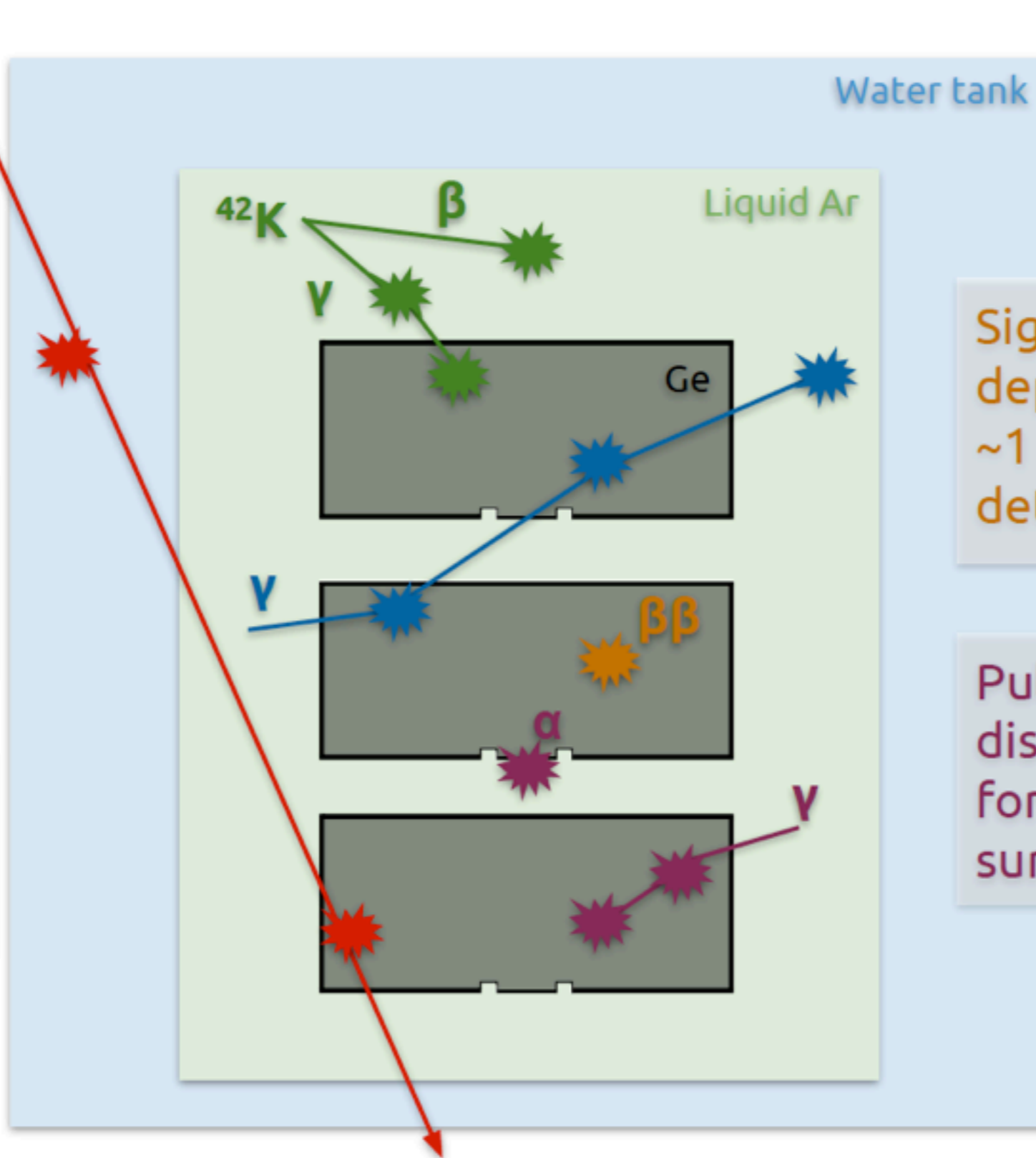
Pulse shape discrimination (PSD) for multi-site and surface events

BACKGROUND REDUCTION CONCEPT

Muon veto based on Cherenkov photons in water

LAr veto based on scintillation light from γ s and β s

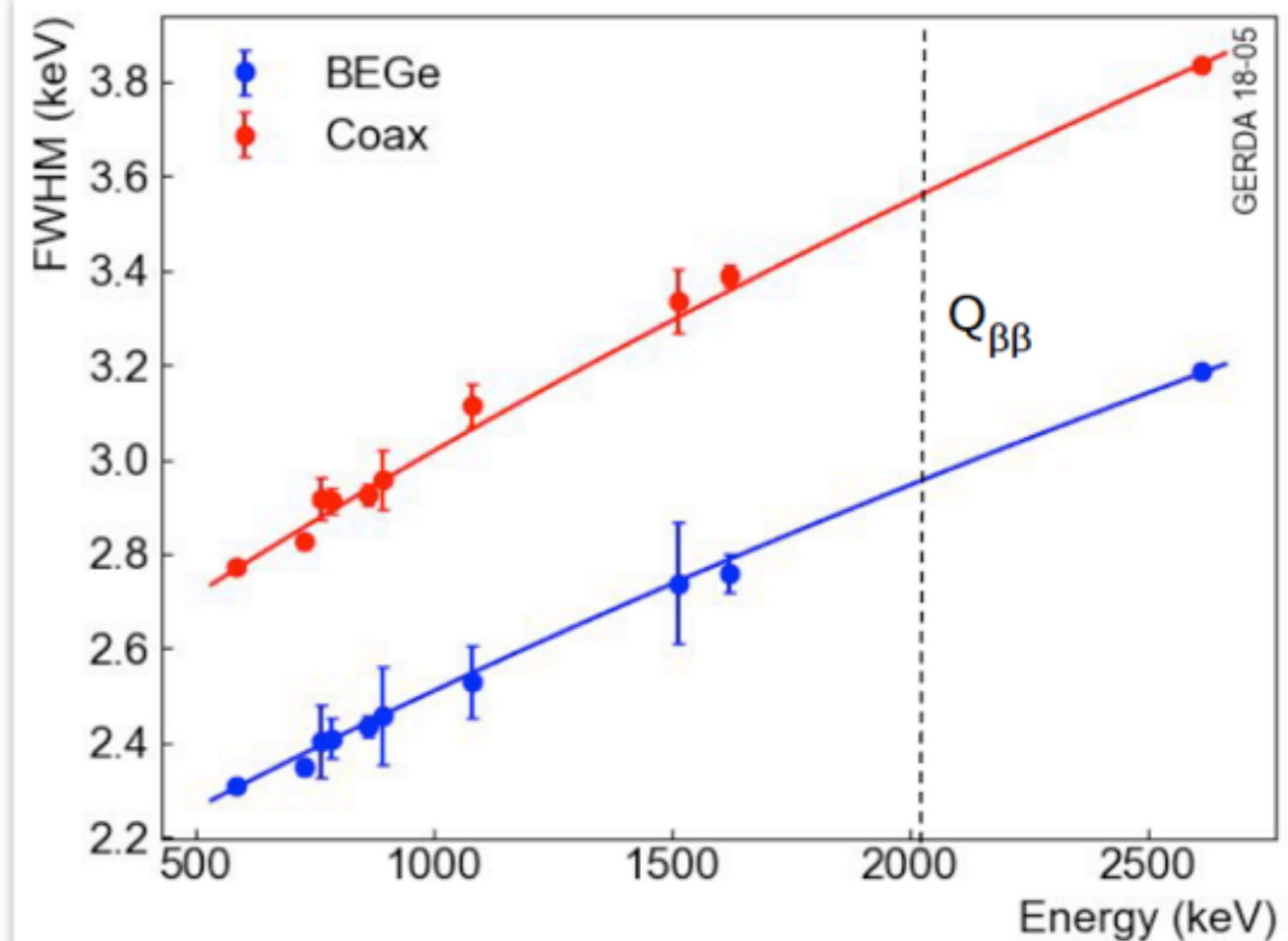
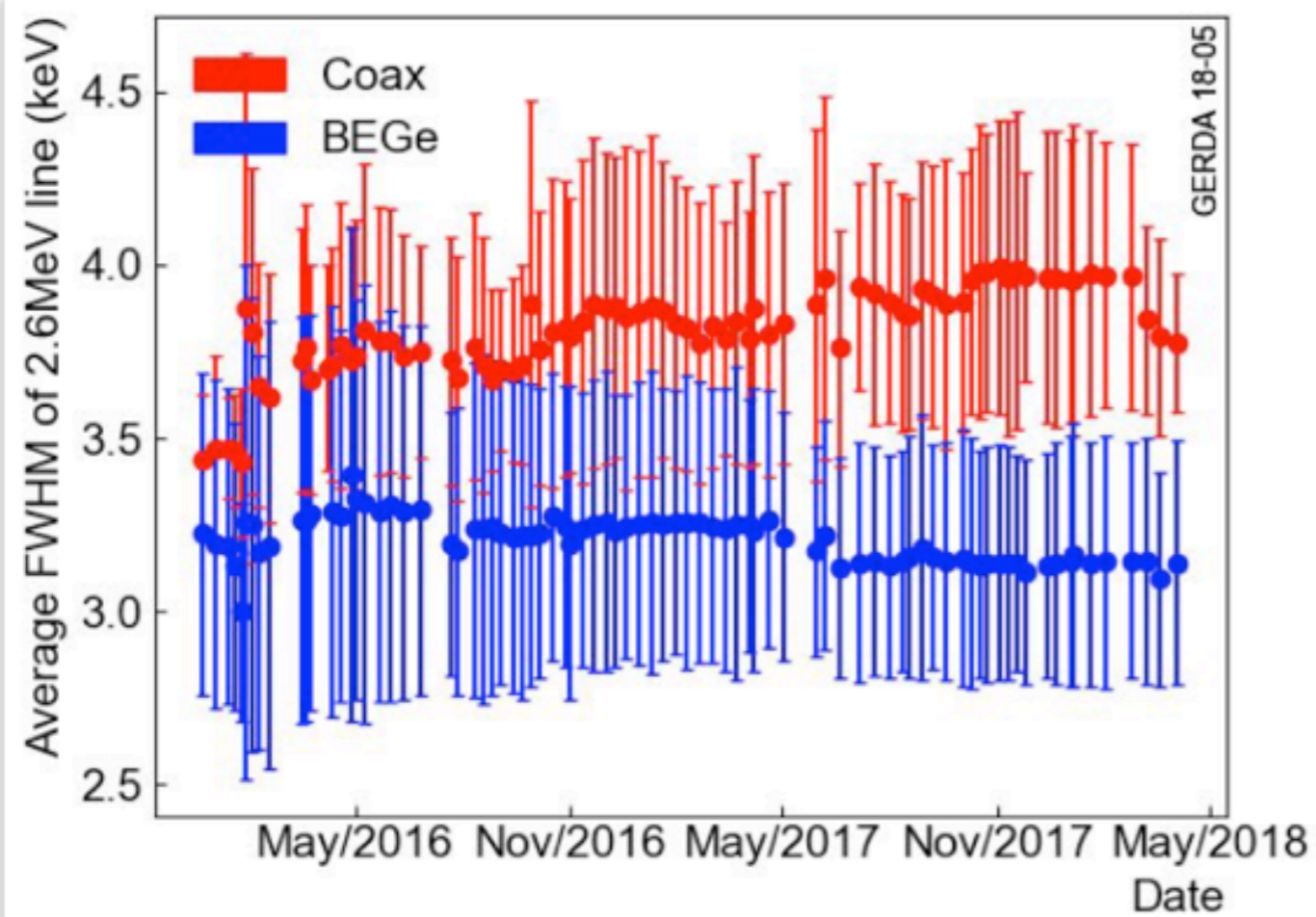
Detector anti-coincidence of the array



Signal: single energy deposition within $\sim 1 \text{ mm}^3$ of the detector volume

Pulse shape discrimination (PSD) for multi-site and surface events

ENERGY RESOLUTION



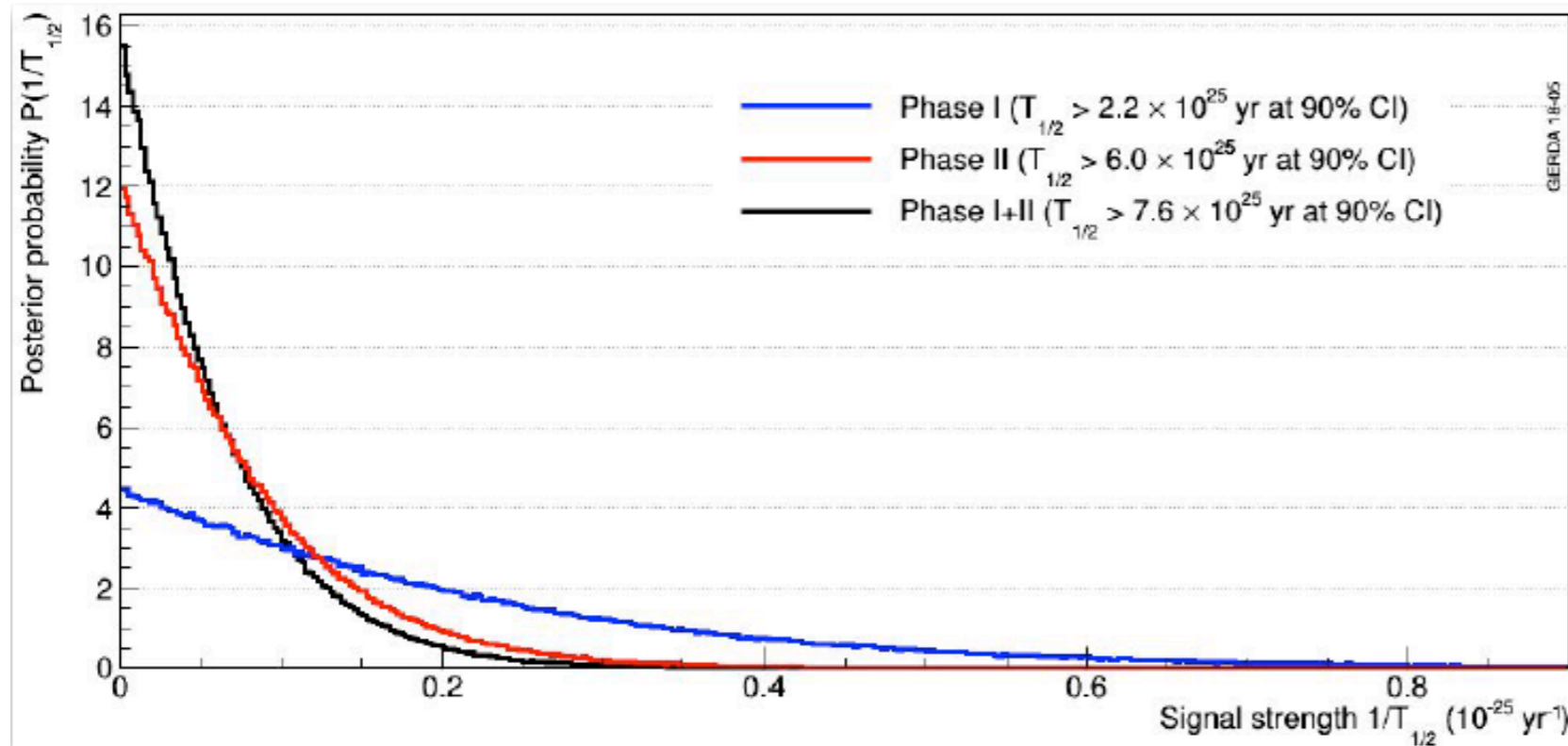
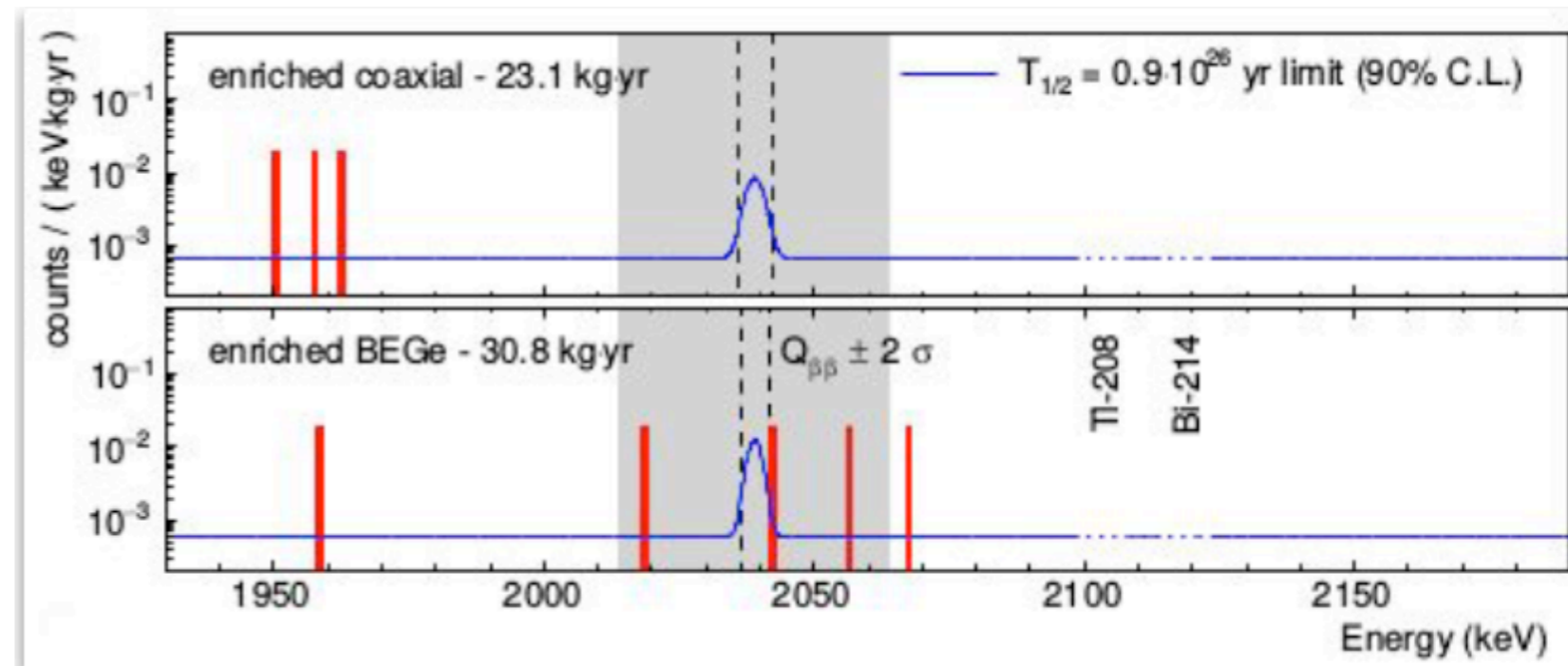
- Weekly calibrations with ^{228}Th sources
- Energy resolution stable over more than two years

- Energy resolution at $Q_{\beta\beta}$
Coax \rightarrow 3.6(1) keV
BEGe \rightarrow 3.0(1) keV

Most recent result, run II-b

Bayesian analysis

- Median sensitivity
 $0.8 \cdot 10^{26}$ yr (90% CI)
- Best fit \rightarrow background only
 $\frac{P(\text{signal+background})}{P(\text{background})} = 0.054$
- $T_{1/2} > 0.8 \cdot 10^{26}$ yr (90% CI)
- Probability of stronger limit 59%

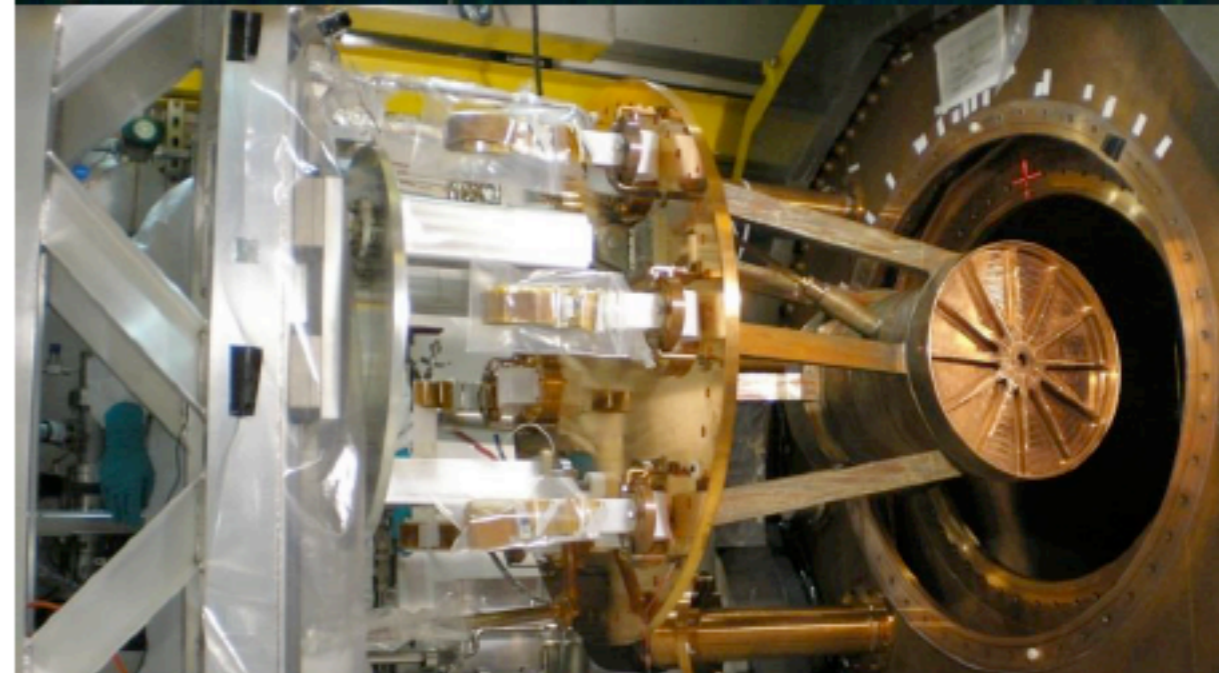


Phys. Rev. Lett. 120, 132503 + summer 2018 updates

Isotope: Te, Xe

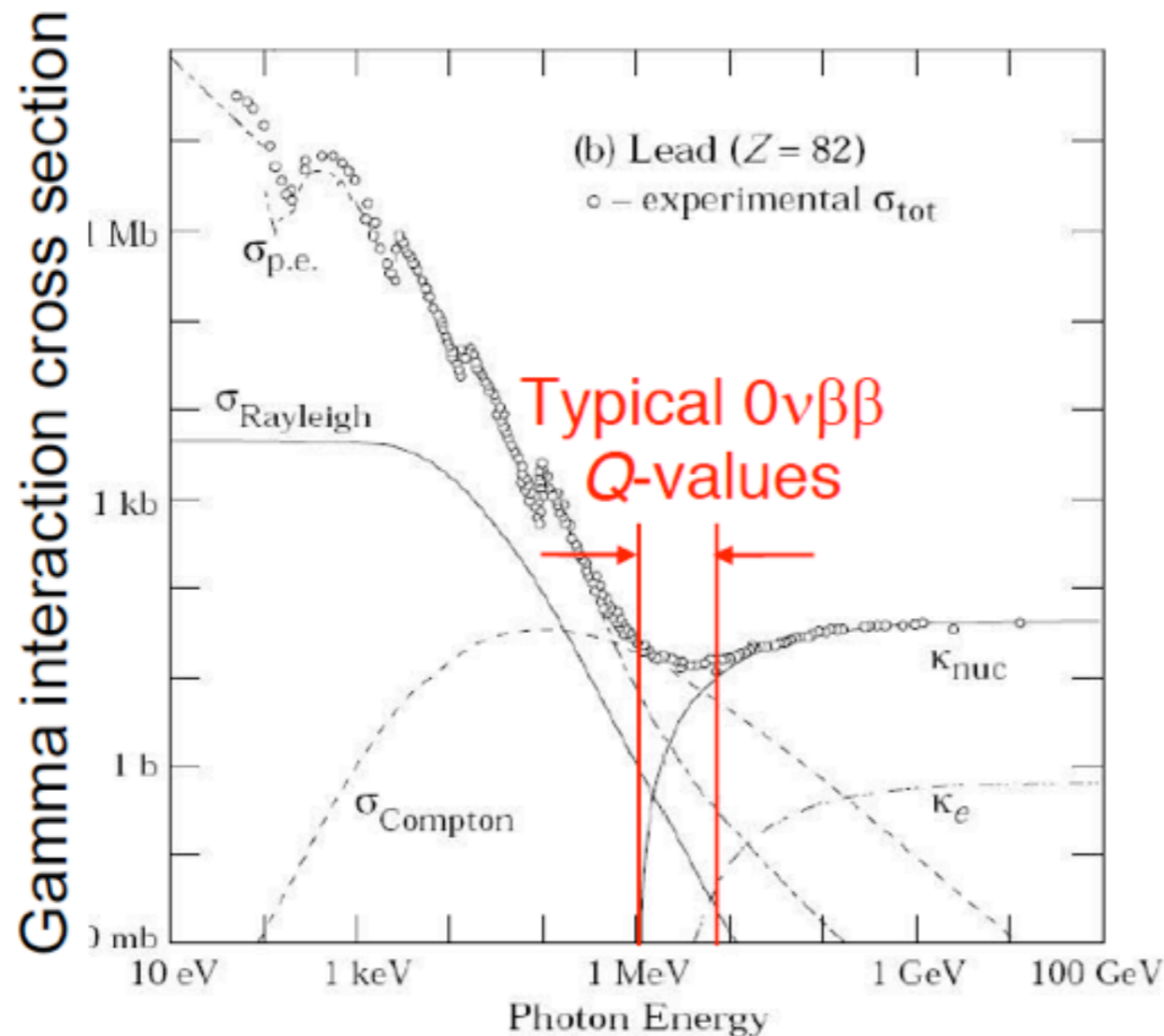
Examples: LS

(SNO+, KLZ, EXO)



Why LS?

γ backgrounds – a challenge in $0\nu\beta\beta$ search



Shielding a detector from MeV gammas is difficult!

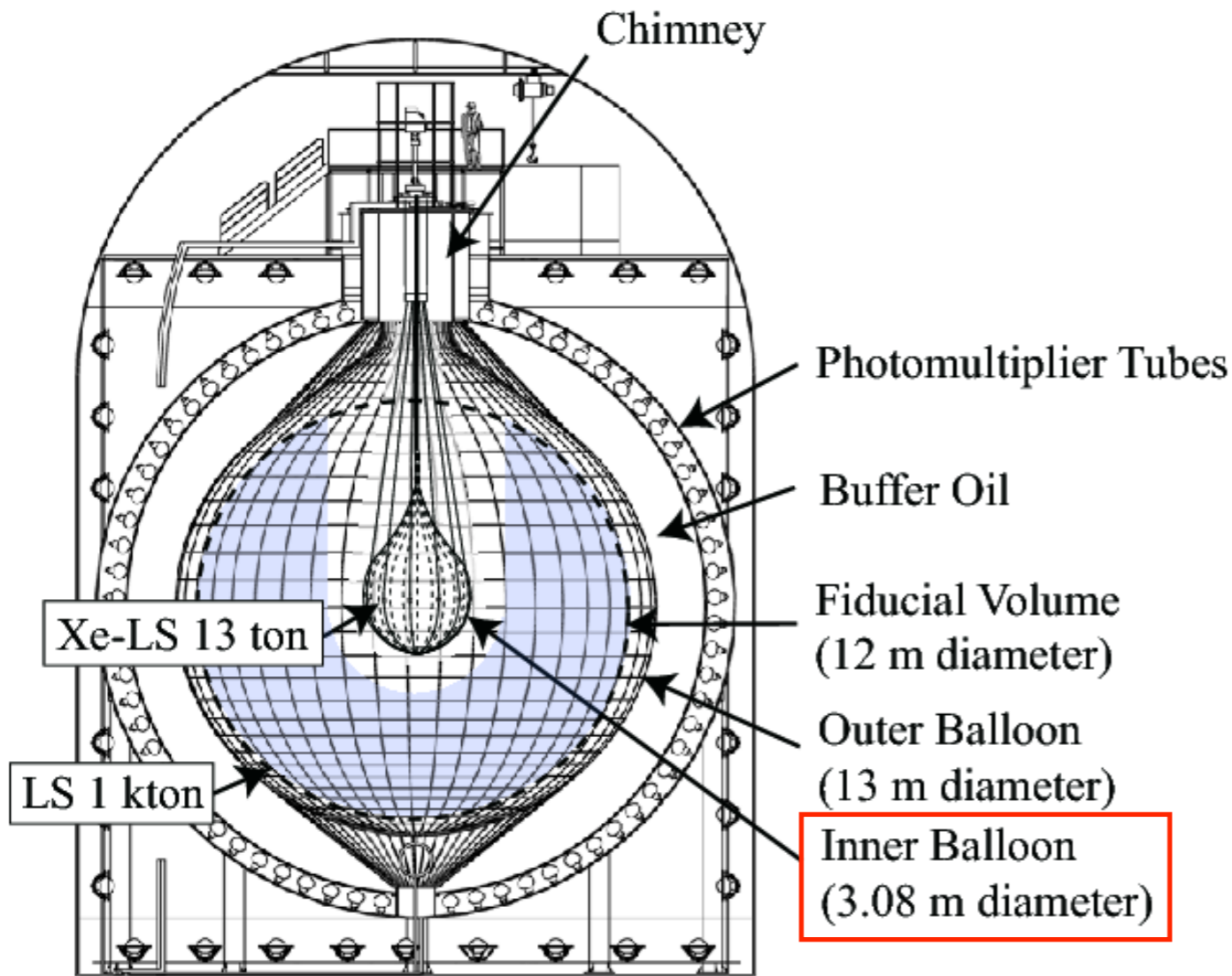
Example:

γ -ray interaction length in Ge is 4.6 cm, comparable to the size of a germanium detector.

1 km underground in Kamioka

Current world best limit from

KamLAND-Zen

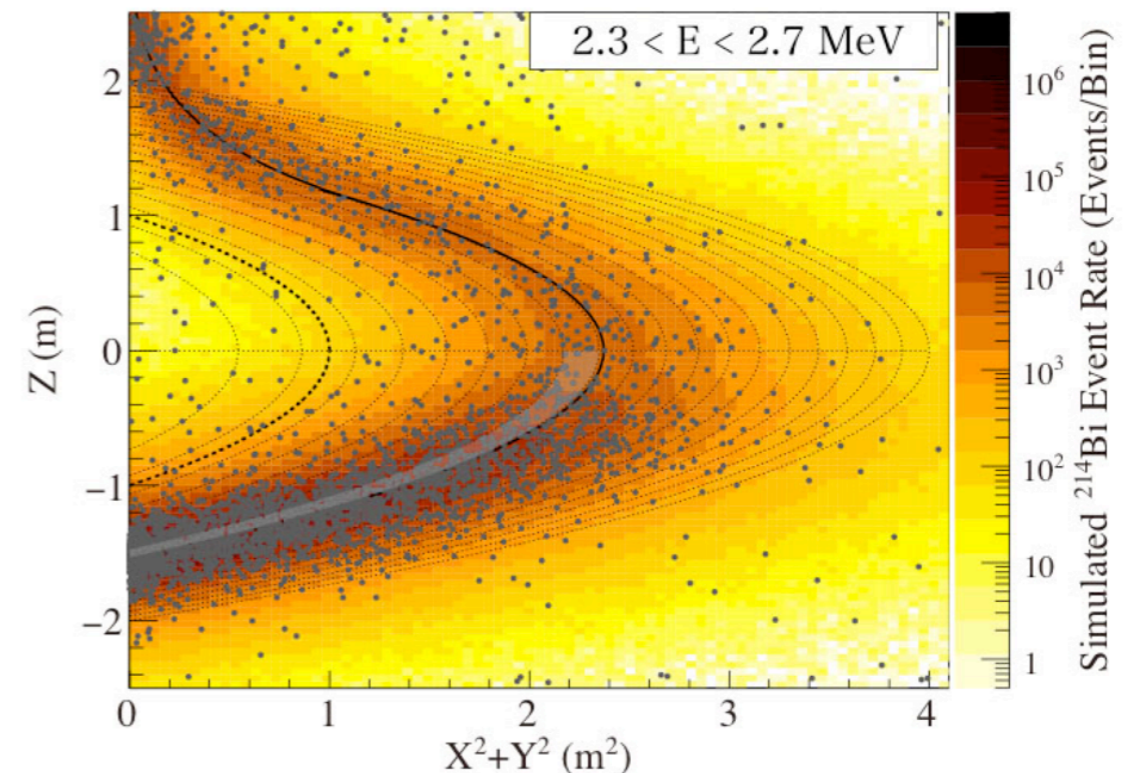


90% enriched ^{136}Xe
320kg for phase-1
380kg for phase-II
750kg for Zen 800 (to start in months)

largest amount so far

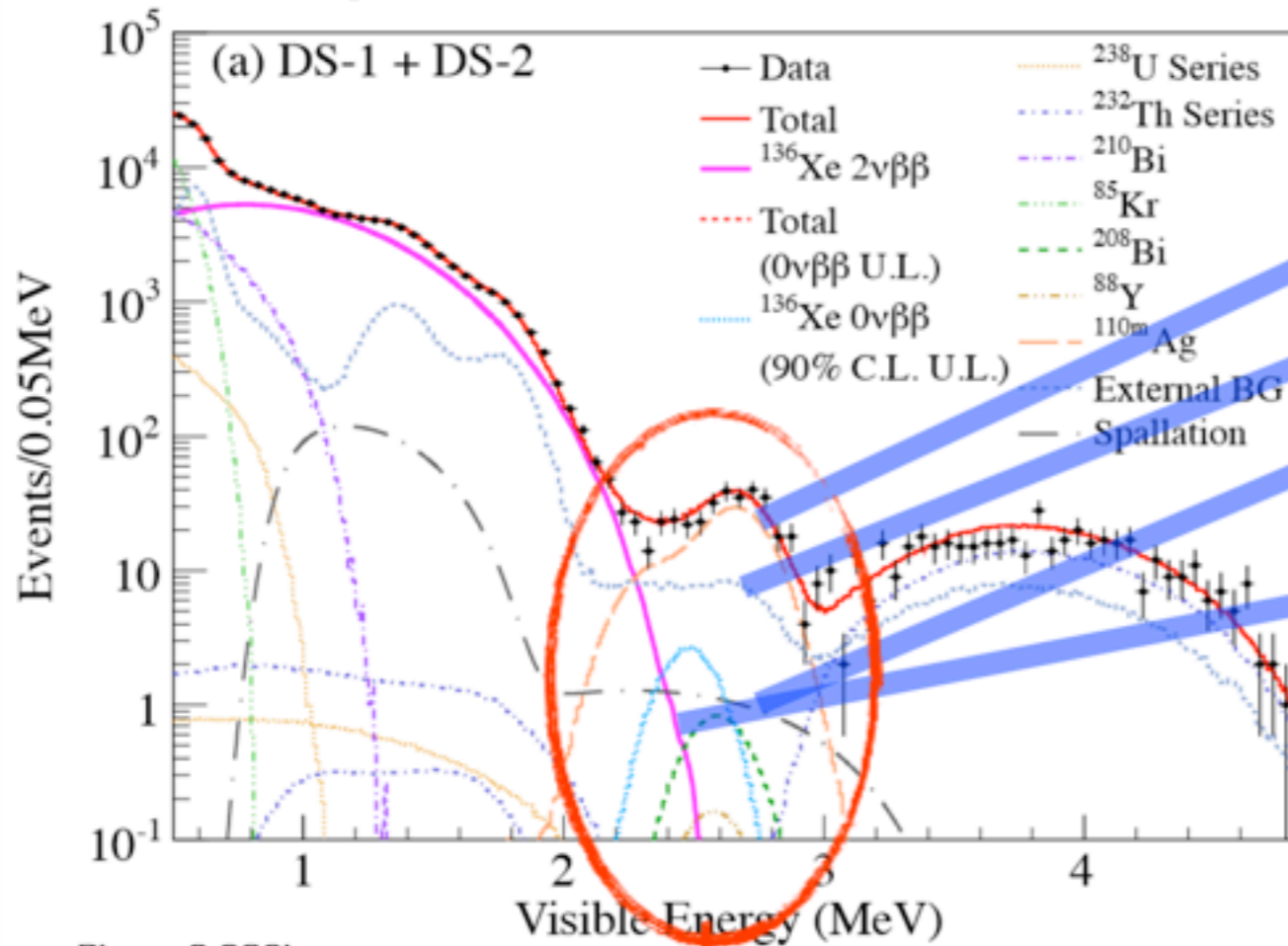
<https://arxiv.org/pdf/1605.02889.pdf>

- Xe+LS allows to have source and detector at once, minimizing other materials
- enriched LS (decane, PC): 90.77% ^{136}Xe
 - $^{136}\text{Xe} \rightarrow ^{136}\text{Ba} + 2e^-$
 - $Q = 2458 \text{ keV}$, higher than most γ rays from common radioactive nuclides
- scintillation only
- getting to several tons of Xe feasible keeping bkg low ("easy" to purify)
- exploits **existing** detection infrastructure and **radio-purity** of KamLAND
 - 1200 m³, U: $3.5 \cdot 10^{-18}\text{g/g}$, Th: $5.2 \cdot 10^{-17}\text{g/g}$
 - reactor neutrino detector (KamLAND) acts as photon screen for KLZ



E.g.: <http://iopscience.iop.org/article/10.1088/1742-6596/888/1/012031>

Phase-1 320kg



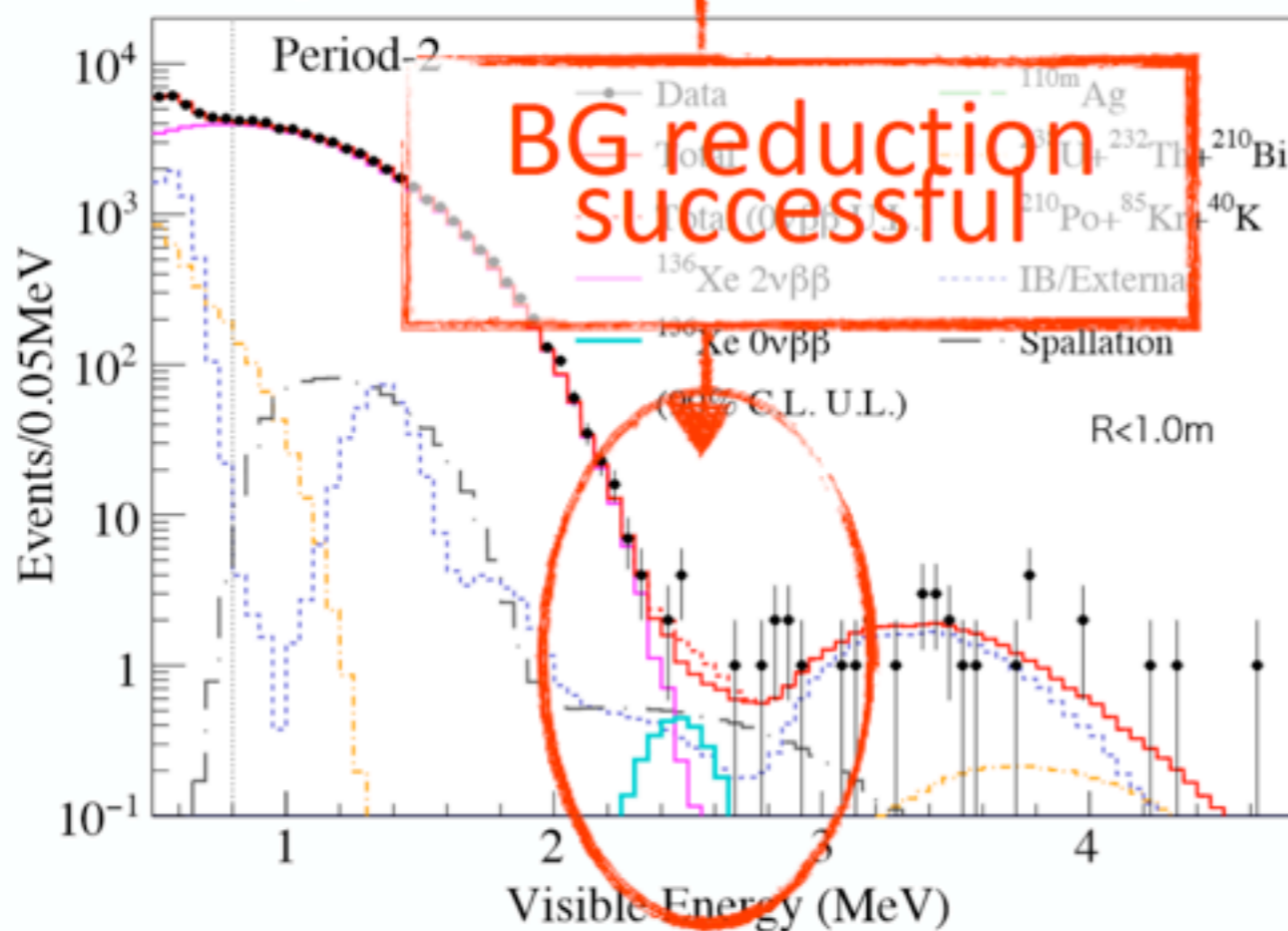
Phase-1,2
combined results

$$T_{1/2}^{0\nu} > 1.07 \times 10^{26} \text{ yr}$$

$$\langle m_{\beta\beta} \rangle < (61 - 165) \text{ meV}$$

- $^{110\text{m}}\text{Ag}$ LS
- ^{214}Bi balloon
- ^{10}C LS
- $2\nu 2\beta$ LS

Phase-2 380kg



- Phase-I: Oct 2011 to Jun 2012
- $T_{1/2}^{0\nu} > 1.9 \times 10^{25} \text{ yr}$
- dominant ^{110}Ag from Fukushima!
- Xe-LS purification campaign until Oct 2013
- Phase-II: Nov 2013 to Oct 2015
- exposure of 504 kg yr of ^{136}Xe
- $\sigma(E)/E = 7.3\%/\sqrt{E(\text{MeV})}$

NOT most up to date

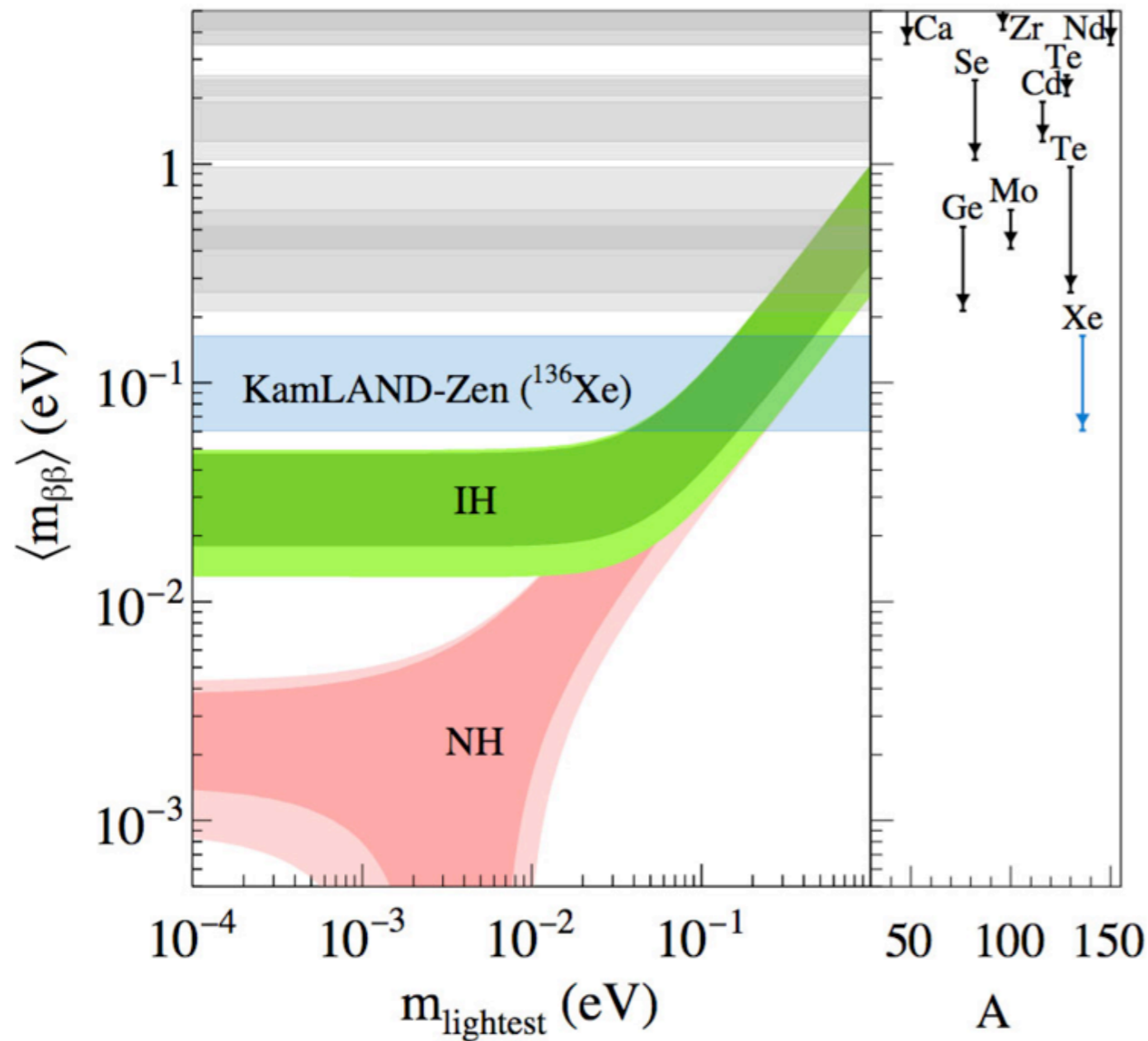


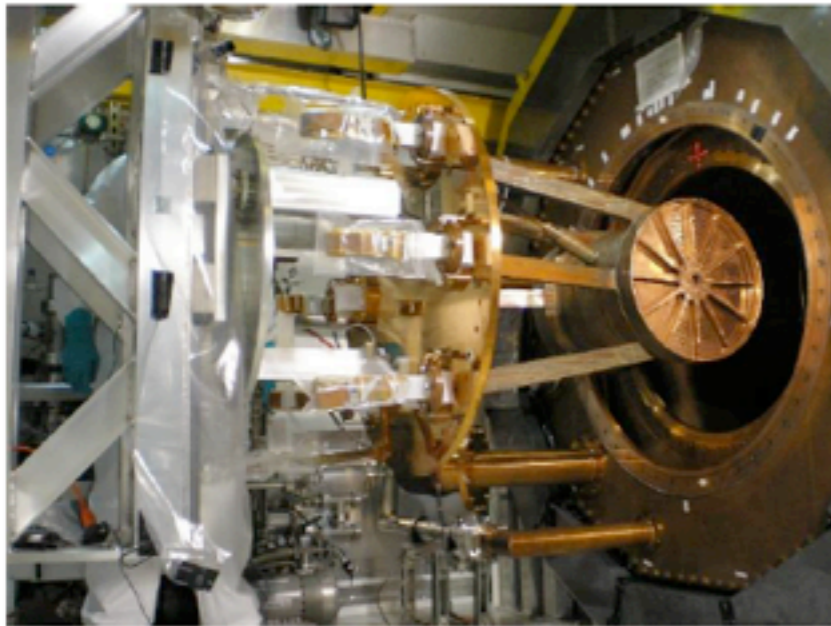
Figure 5. Effective Majorana neutrino mass $\langle m_{\beta\beta} \rangle$ as a function of the lightest neutrino mass, m_{lightest} . The dark shaded regions are from the best-fit neutrino oscillation parameters for the NH and IH mass hierarchies. Light shaded regions are the 3σ ranges of the oscillation parameter uncertainties. Horizontal bands indicate 90% C.L. upper limits on the $\langle m_{\beta\beta} \rangle$ from KamLAND-Zen and other experiments.

Remember: assumes one calculation on **NME**, but large variations (up to factor 3!) from different nuclear models (see Giunti-Kim p.504)

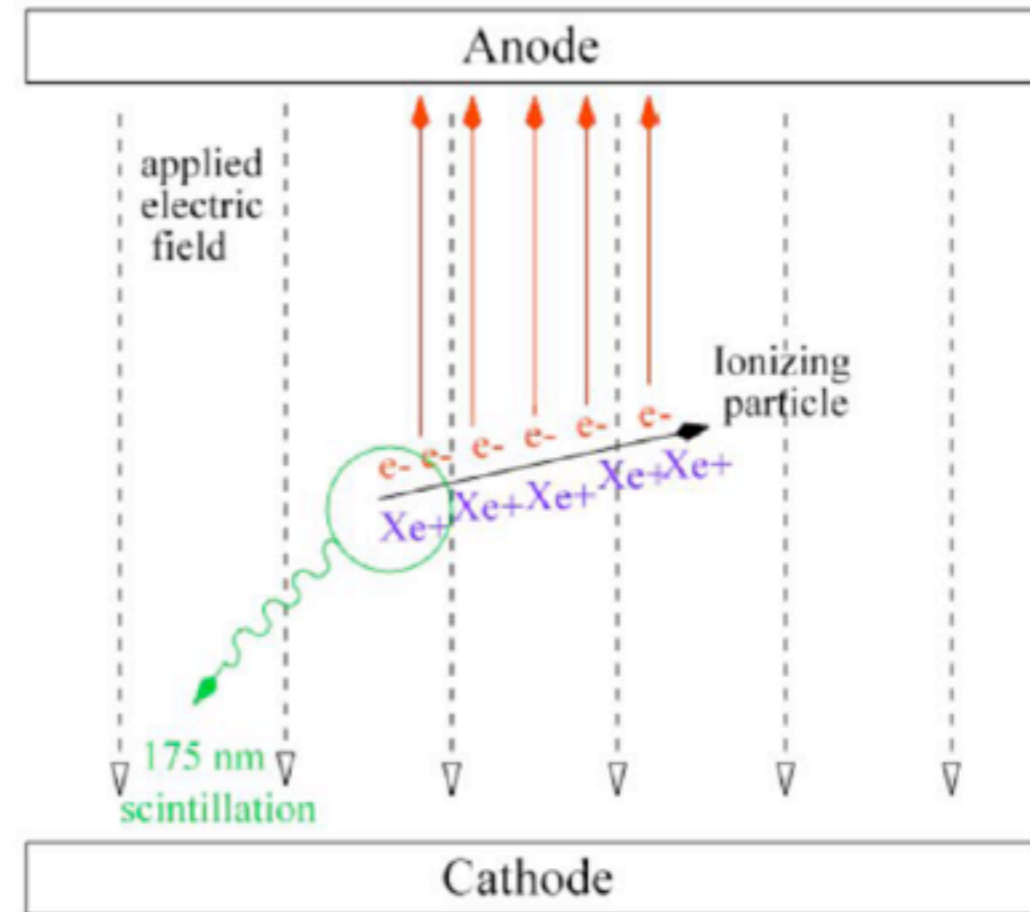
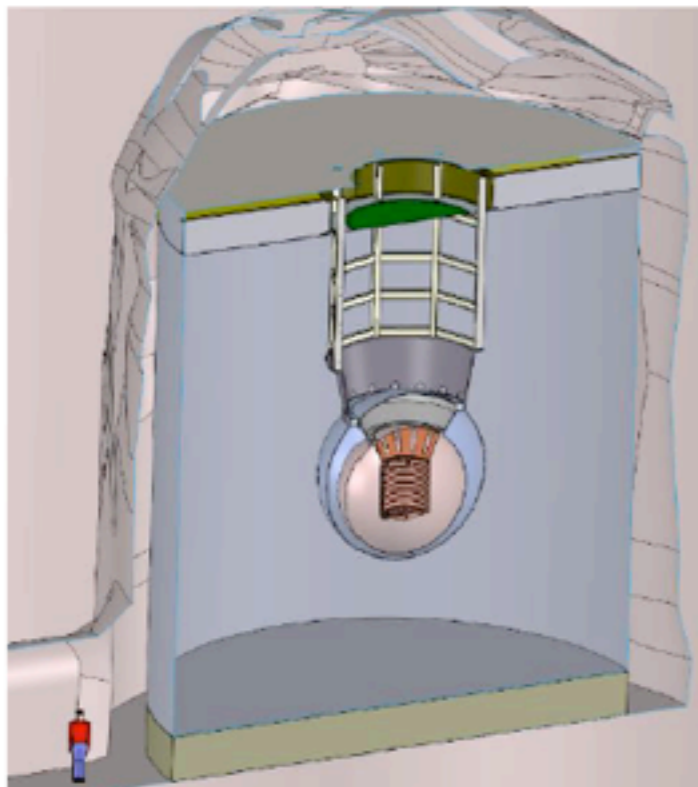
Searching for $0\nu\beta\beta$ in ^{136}Xe

Phased approach:

1. EXO-200: 200kg liquid-Xe TPC



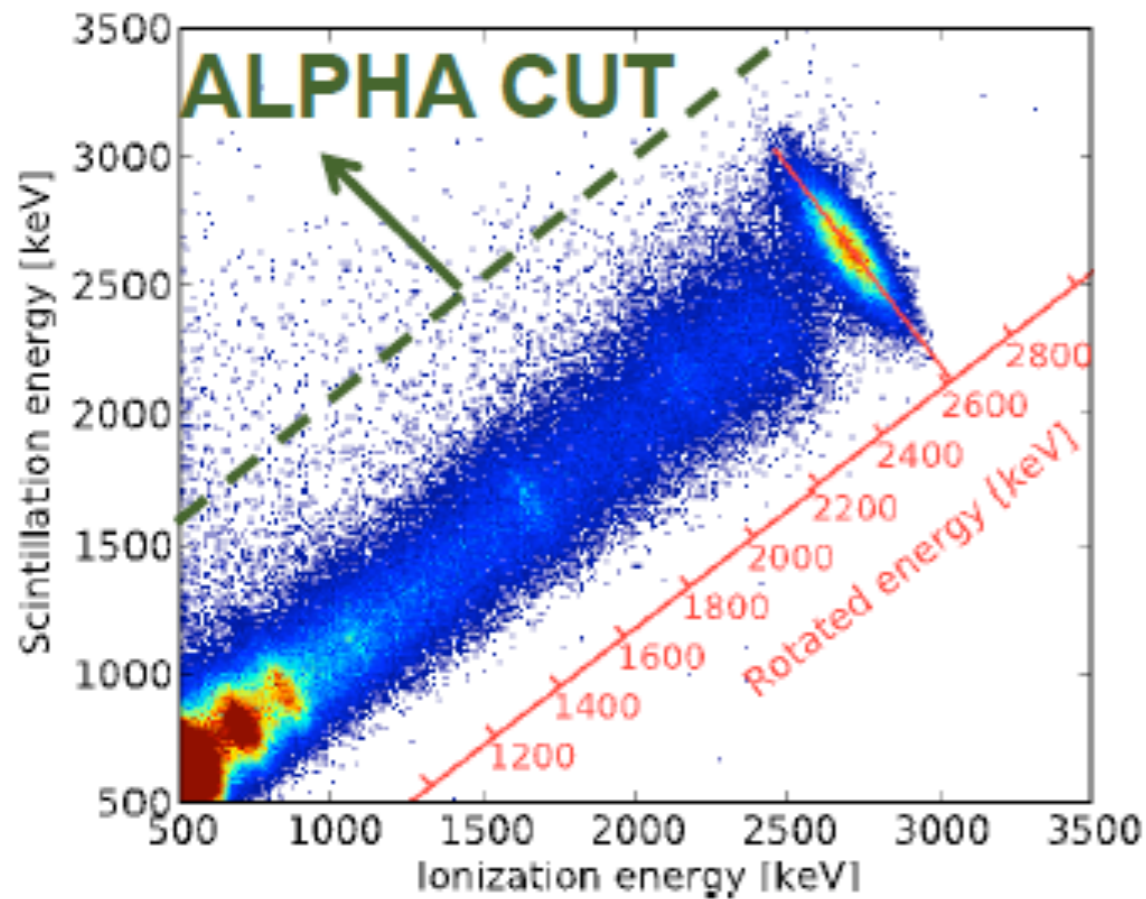
2. nEXO: future 5-ton liquid Xe (SNO lab cryopit)



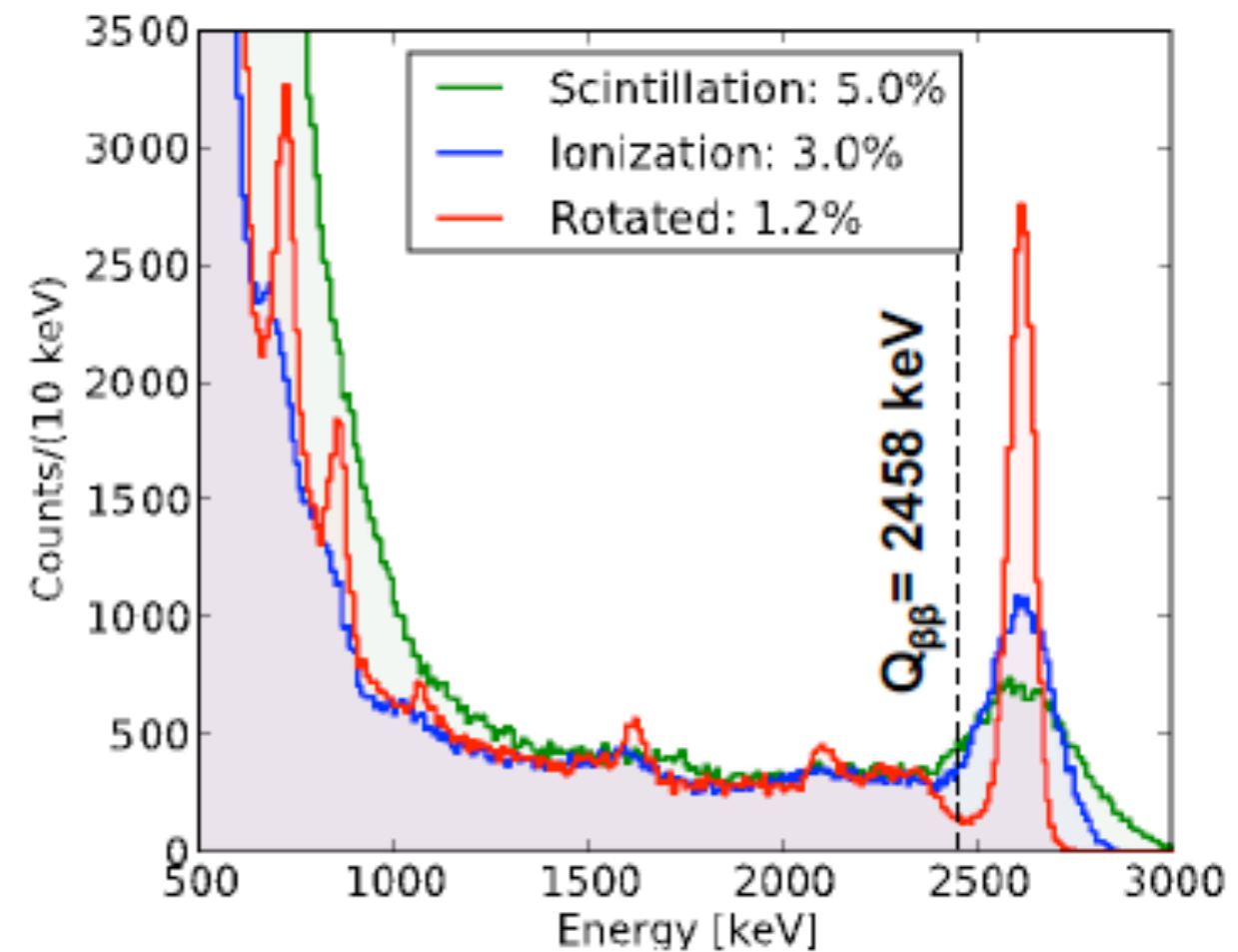
Liquid-Xe Time Projection Chamber

- Liquid Xe at 168K
- Detection of scintillation light and secondary charges
- 2D read out of secondary charges at segmented anode
- Full 3D event reconstruction:
 1. Energy reconstruction
 2. Position reconstruction
 3. Event Multiplicity

Scintillation vs. ionization, ^{228}Th calibration:

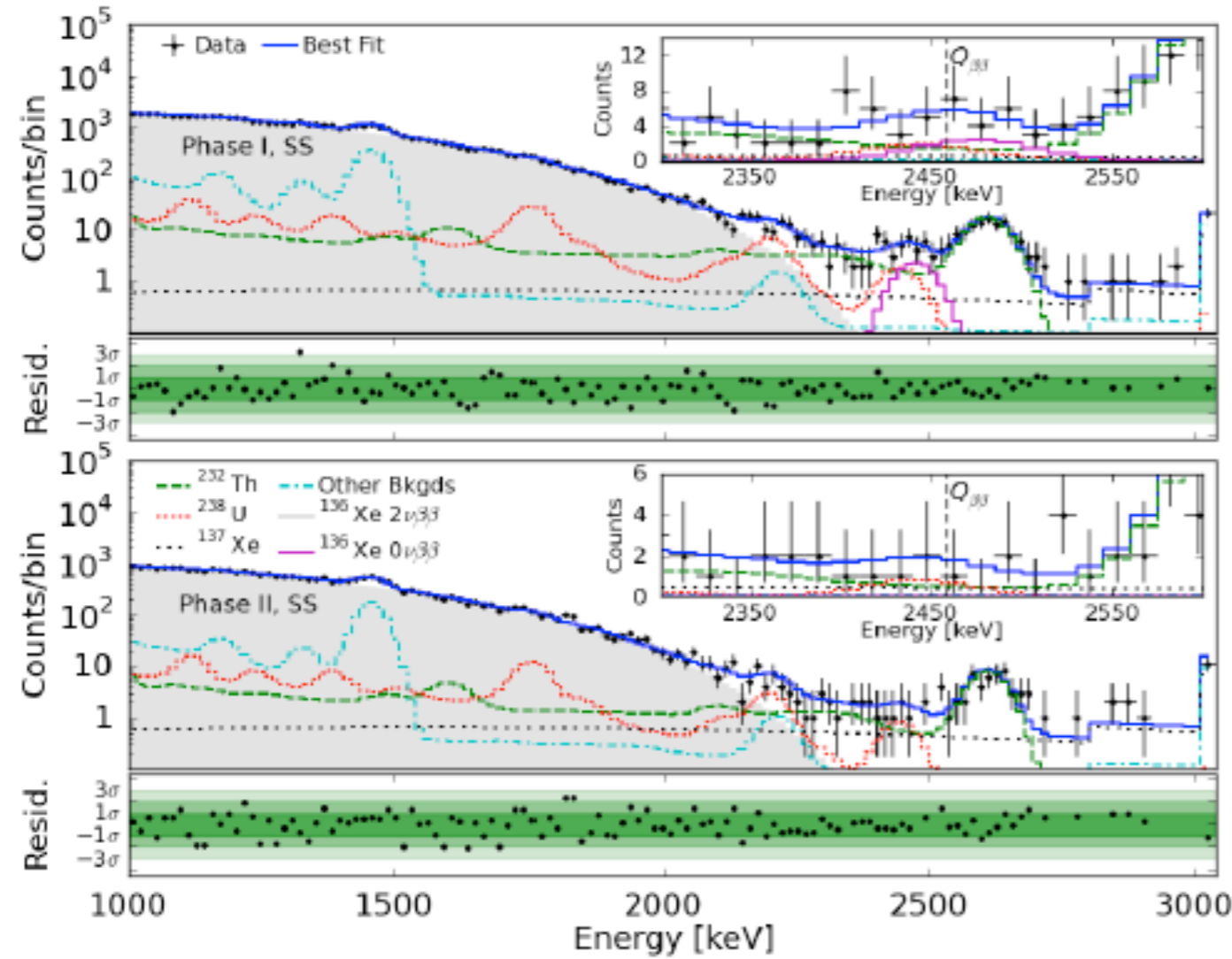


Reconstructed energy, ^{228}Th calibration:



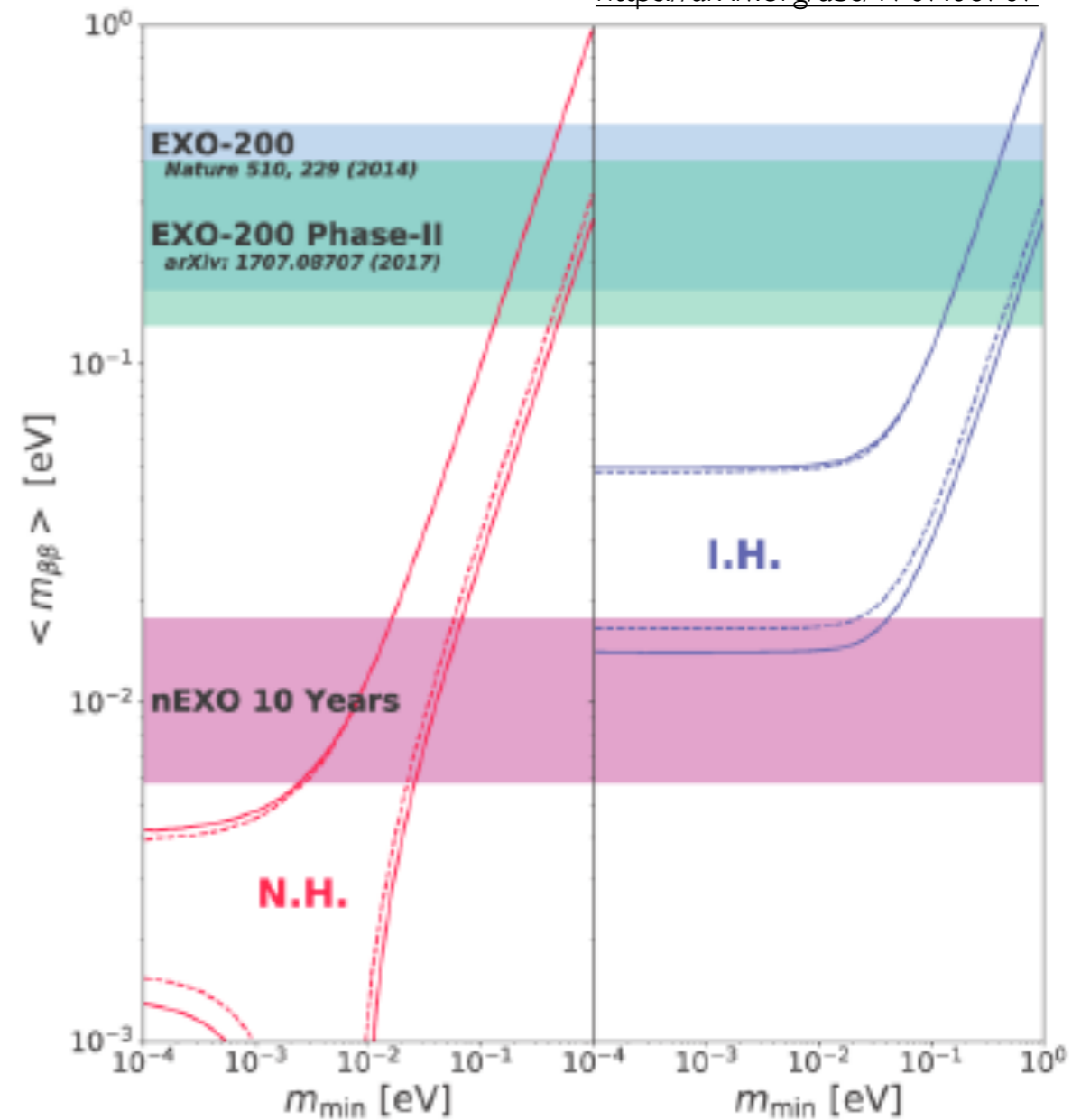
- E resolution better for ionization (3.5%) than scintillation (7%)
- combined gets down to $\sim 1.2\%$ at Q value
- correlated measurements
 - rotation angle provides best resolution (from calibration with ^{228}Th)

- Combine Phase I + Phase II profiles



- $BI \sim (1.5 \pm 0.2) 10^{-3}$
- Bkg rejection exploits event topology like Gerda

<https://arxiv.org/abs/1707.08707>



Sensitivity of 3.7×10^{25} yr (90% CL)

$$T_{1/2}^{0\nu\beta\beta} > 1.8 \times 10^{25} \text{ yr}$$

$$\langle m_{\beta\beta} \rangle < 147 - 398 \text{ meV}$$

(90% C.L.)

Exo200-II

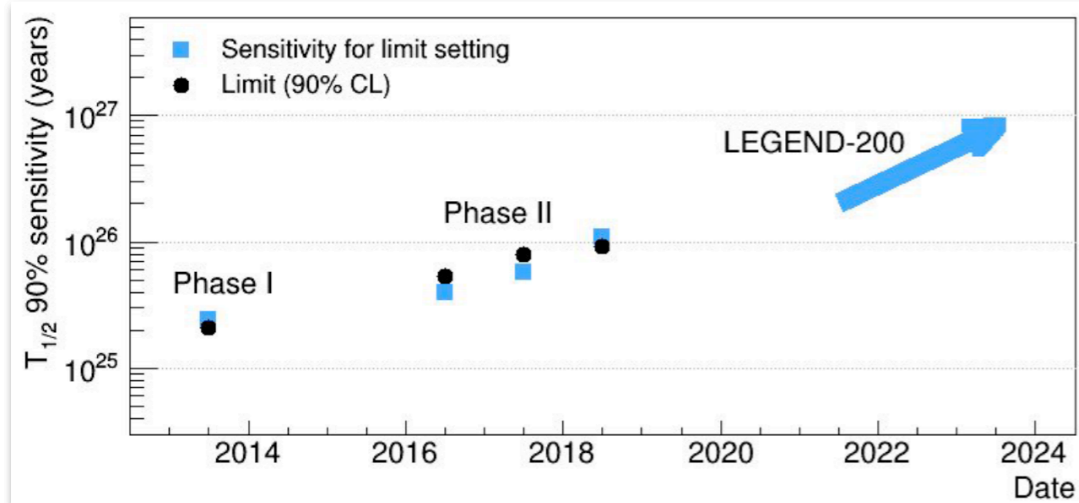
Future of Ge

LEGEND program



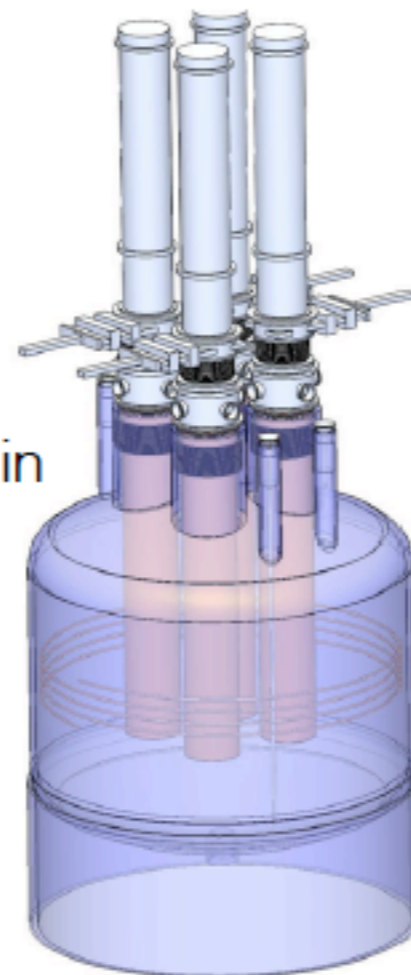
LEGEND-200 (first phase):

- up to 200 kg of detectors
- BI ~ 0.6 cts/(FWHM \cdot t \cdot yr)
- use existing GERDA infrastructure at LNGS
- design exposure: 1 t \cdot yr
- Sensitivity 10^{27} yr

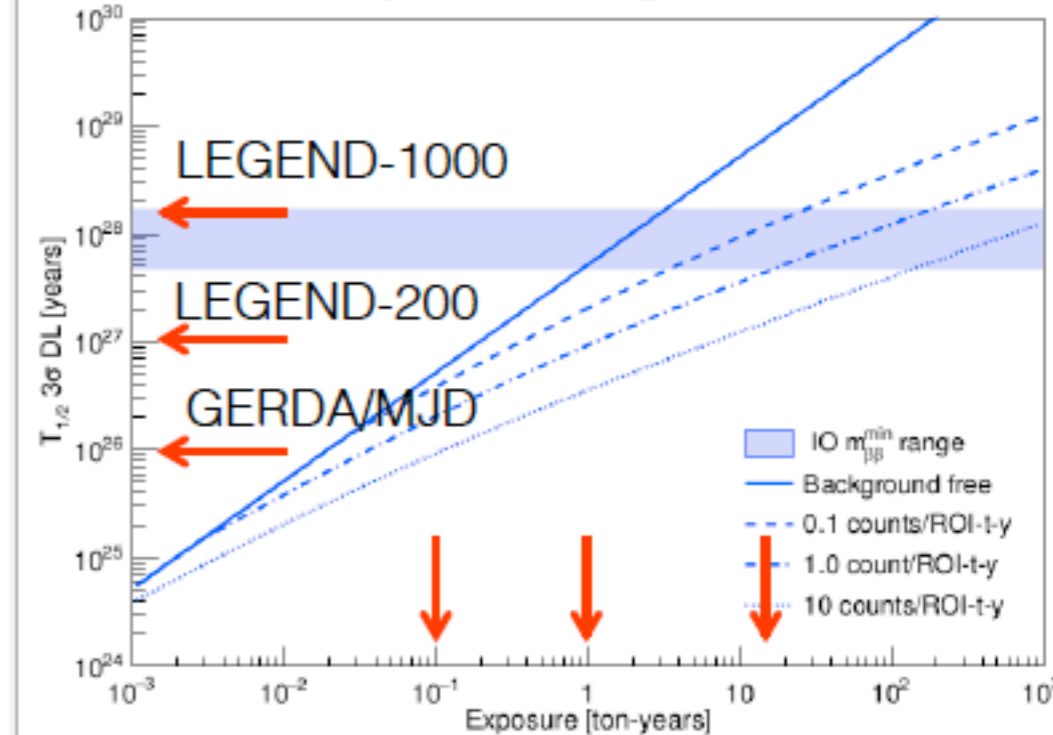


LEGEND-1000 (second phase):

- 1000 kg of detectors (deployed in stages)
- BI < 0.1 cts/(FWHM \cdot t \cdot yr)
- Location tbd
- Design exposure 12 t \cdot yr
- $1.2 \cdot 10^{28}$ yr



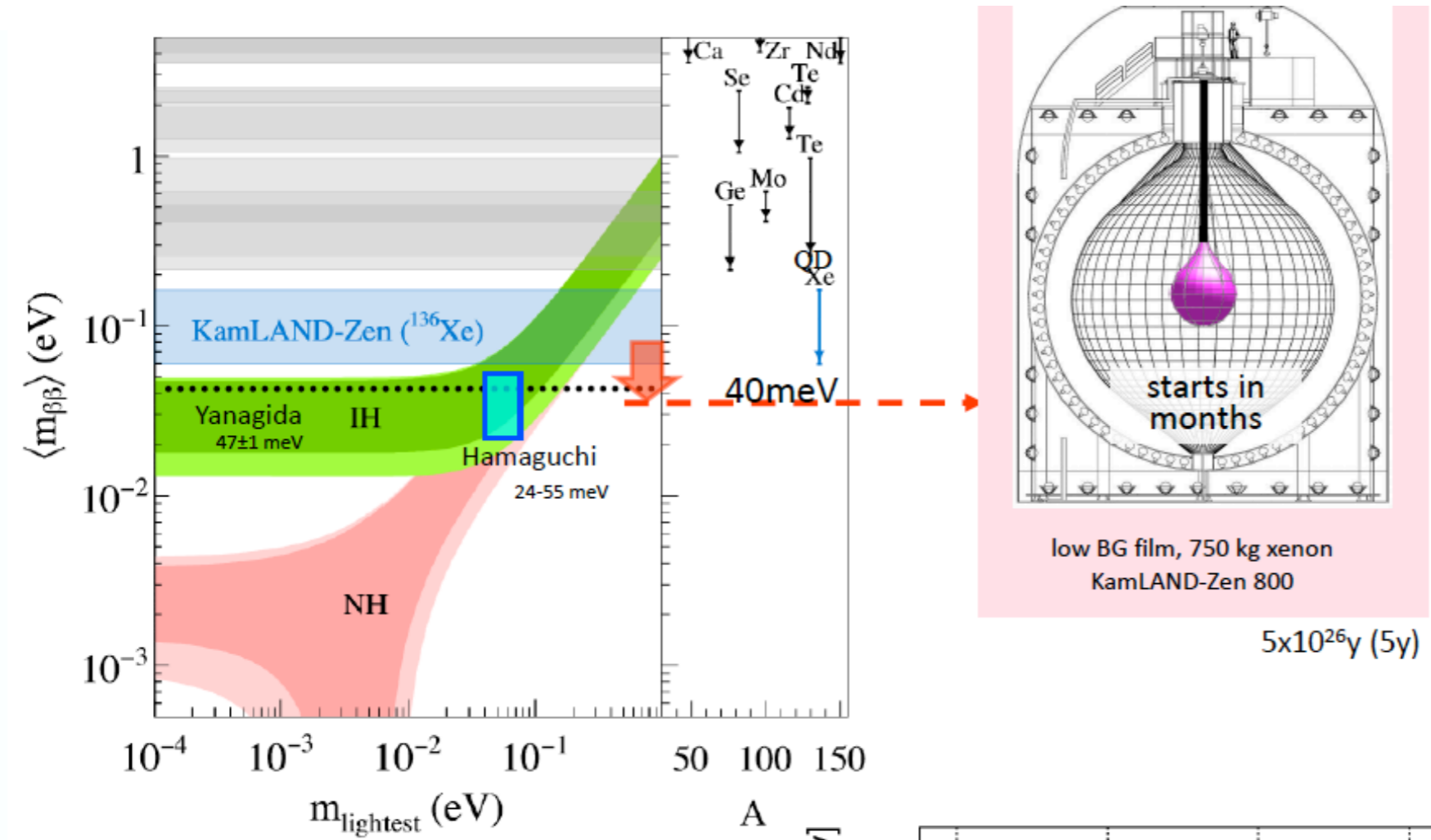
Sensitivity for 3σ signal discovery



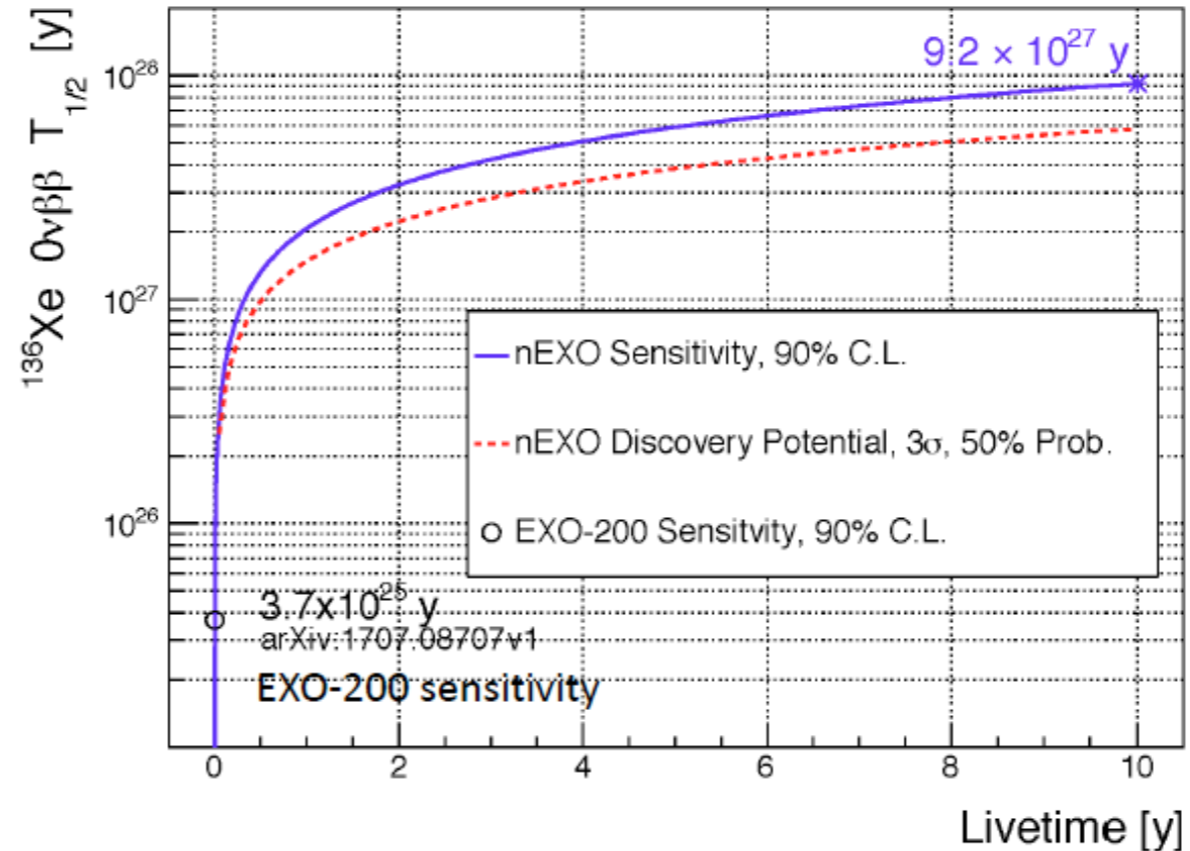
17 meV discovery sensitivity for "worst case" NME of 3.5



Future of Xe



A



Methodology:

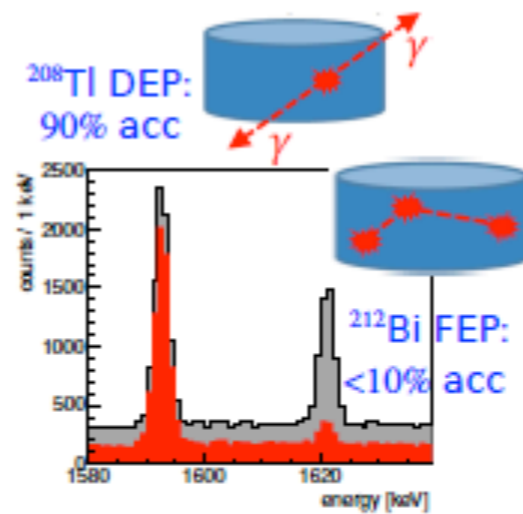
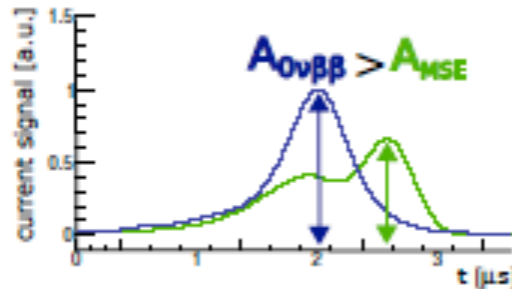
- 3860 kg fiducial Xe
- 90% enrichment
- 1% $\sigma E/E$ resolution
- Realistic background projections based on measurements
- EXO200-like analysis

Back-up

Phase II upgrade: BEGe detectors

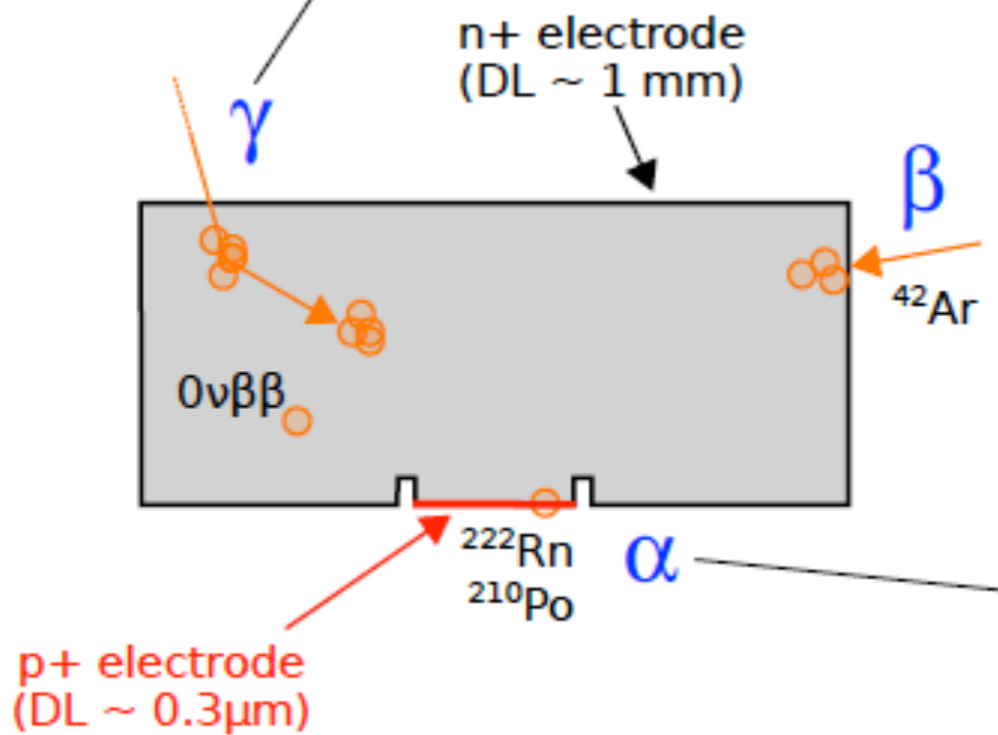
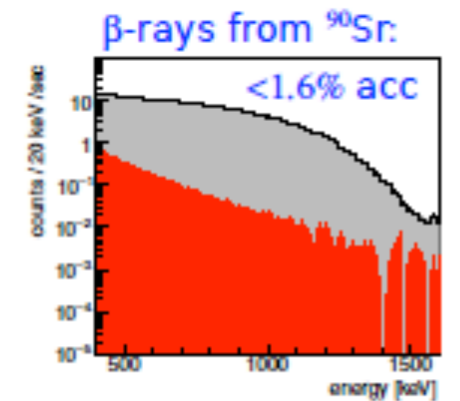
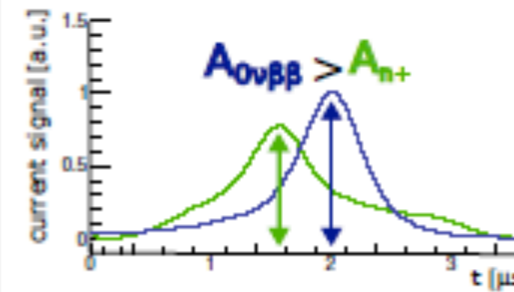
γ interactions:

- multiple Compton scattering (MSE)
- sequence of peaks in current signal
- Double escape peak (DEP): proxy for $0\nu\beta\beta$ events



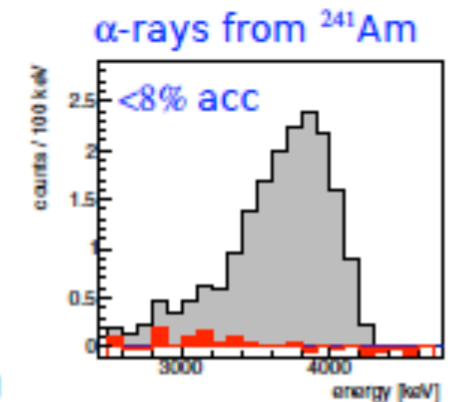
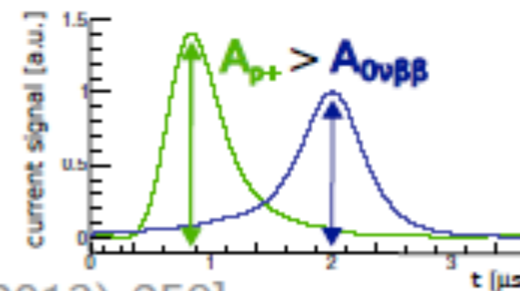
events on n+ surface:

- semiconductor junction \rightarrow weak E field
- slow current signal



events on p+ electrode:

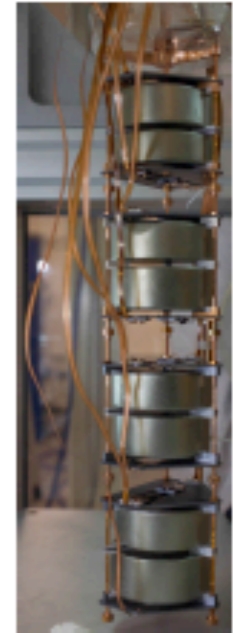
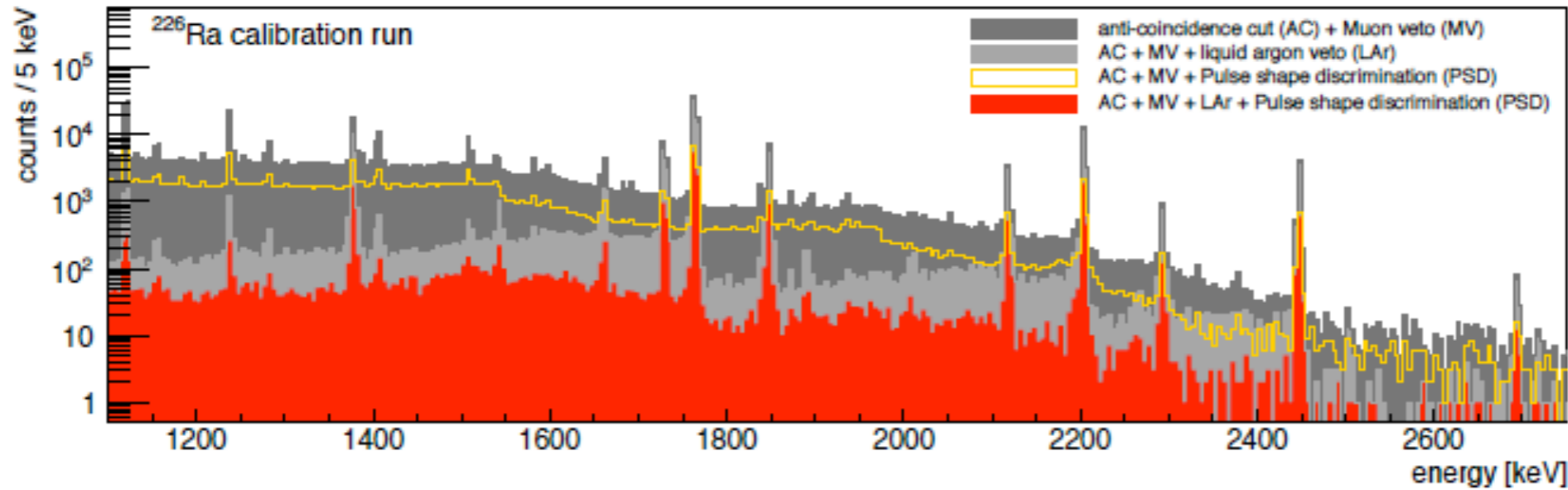
- electron drift faster than holes
- faster charge signal



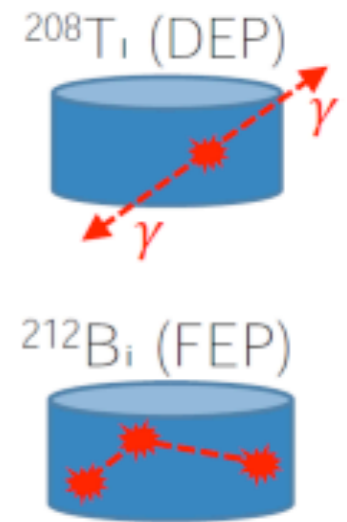
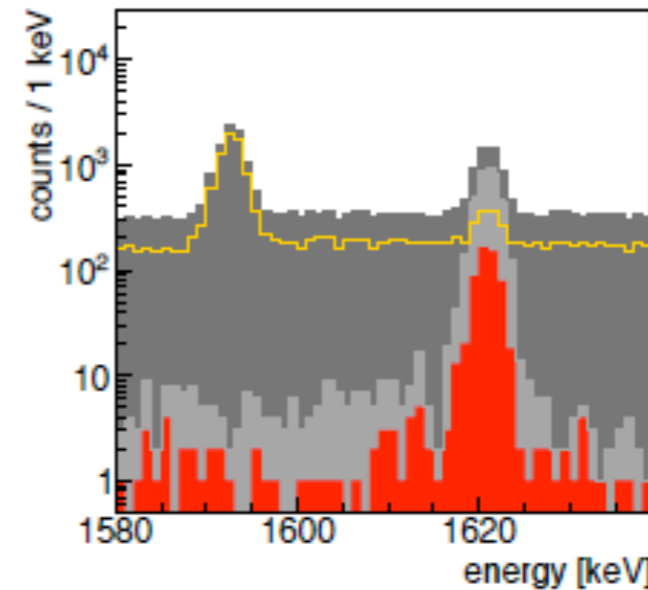
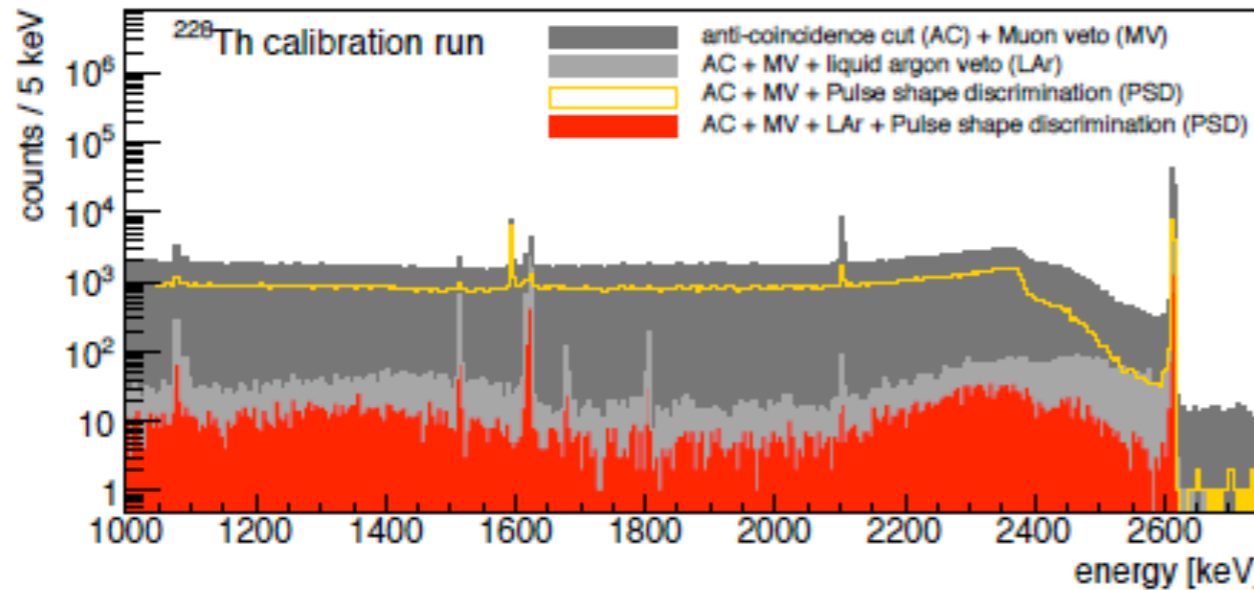
[JINST 6 2011 P03005, JINST 4 2009 P10007, EPJC 73 (2013) 258]

PSD and LAr veto during Phase II commissioning

^{226}Ra calibration run (single BEGe string in GERDA):



^{228}Th calibration run:



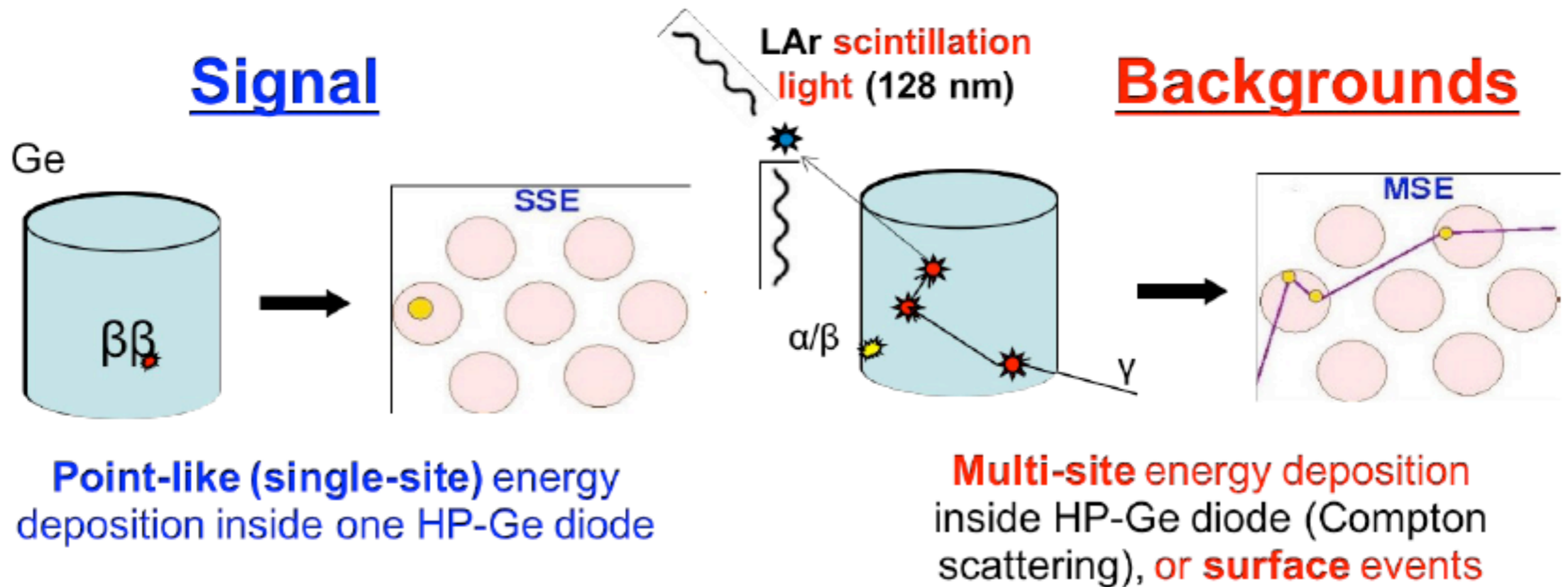
Combined suppression factors: 27 ± 2 (for ^{226}Ra) and 300 ± 28 (for ^{228}Th)

Suppression depends on isotope, location and detector configuration

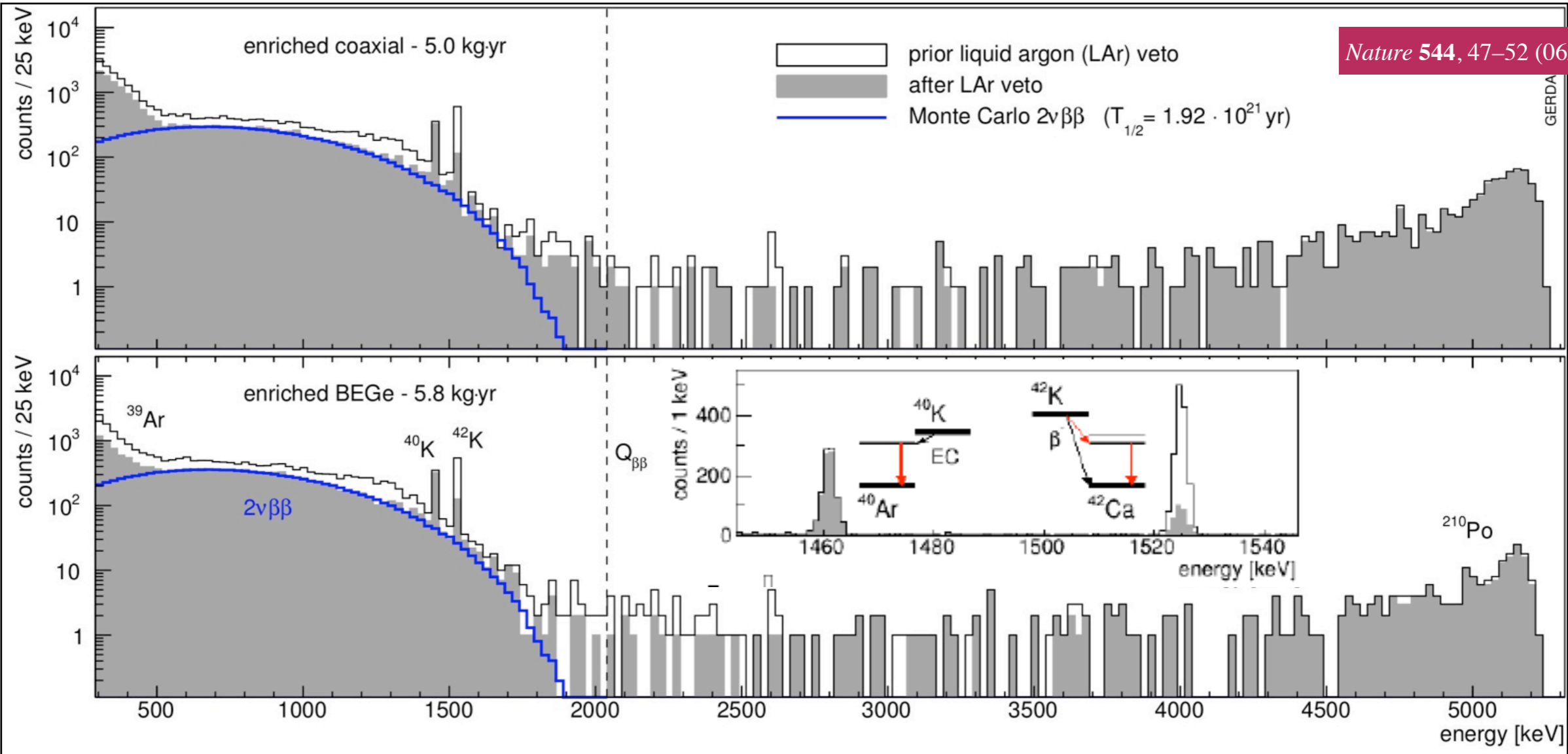
Matteo Agostini (GSSI/LNGS)

- main components before LAr veto/PSD:
- o α from $^{210}\text{Po}, ^{226}\text{Ra}$
 - o β from ^{42}K
 - o γ from $^{214}\text{Bi}, ^{208}\text{Tl}$

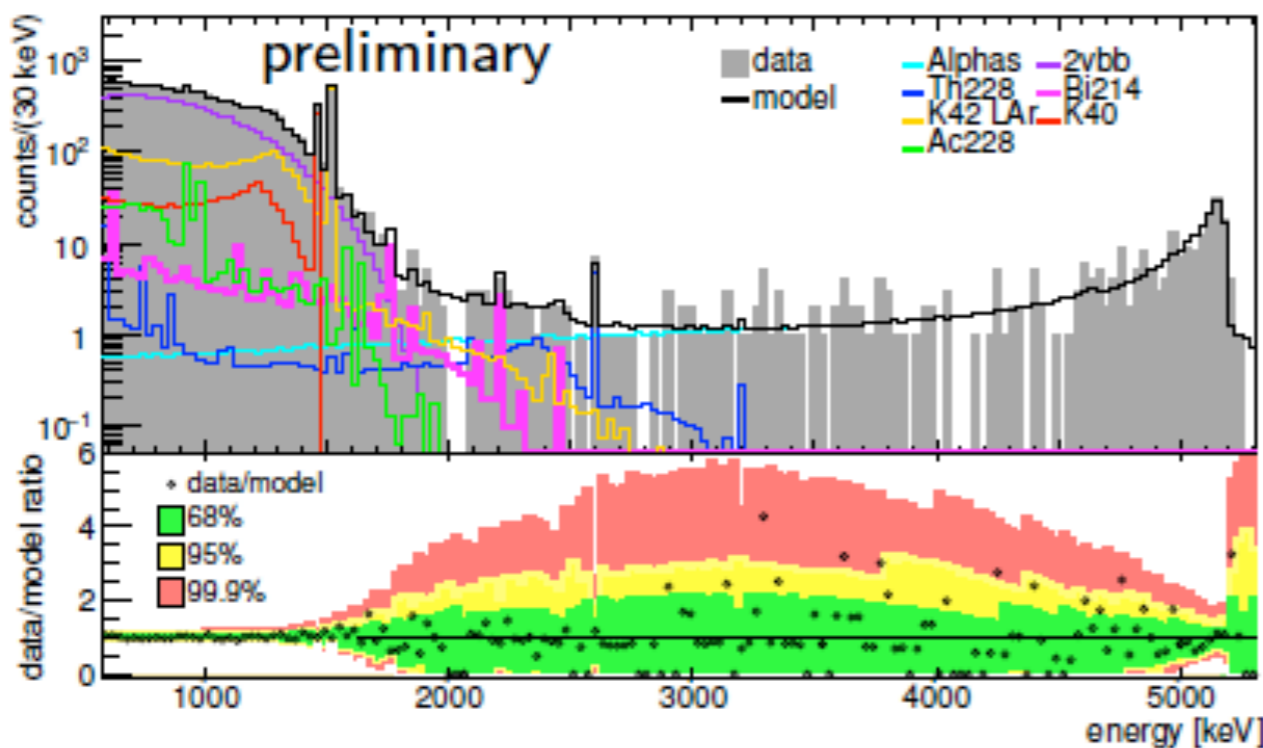
Active background reduction tools



- **Anti-coincidence with the muon veto (MV)**
- **Anti-coincidence between detectors (cuts multi-site) (AC)**
- **Active veto using LAr scintillation (LAr Veto)**
- **Pulse shape discrimination (PSD)**

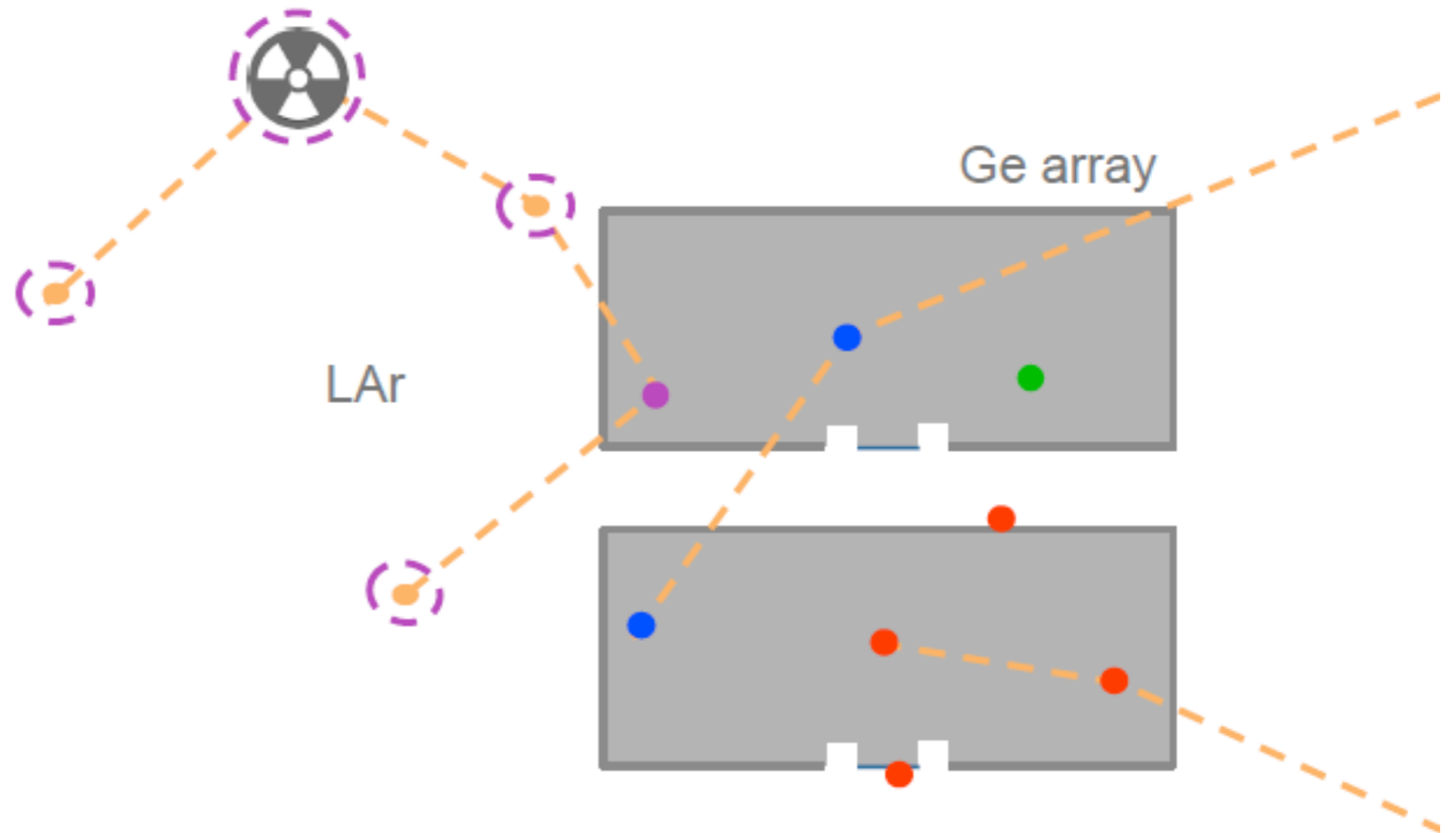


BEGe: global fit $p\text{-value}=0.3$



- same isotopes as in Phase I
- Th/Ra contributions consistent with screening results
- main components before LAr veto/PSD:
 - α from ^{210}Po , ^{226}Ra
 - β from ^{42}K
 - γ from ^{214}Bi , ^{208}Tl
- flat background in the ROI

photo sensors



-> discriminate backgrounds from **point like** (single site) **bb** interactions by detector-anticoincidence (**AC**), pulse shape discrimination (**PSD**), liquid argon (**LAr**) veto

“PSD” now enhanced (**new BEGe**) and “LAr” now possible (**LAr bath**)

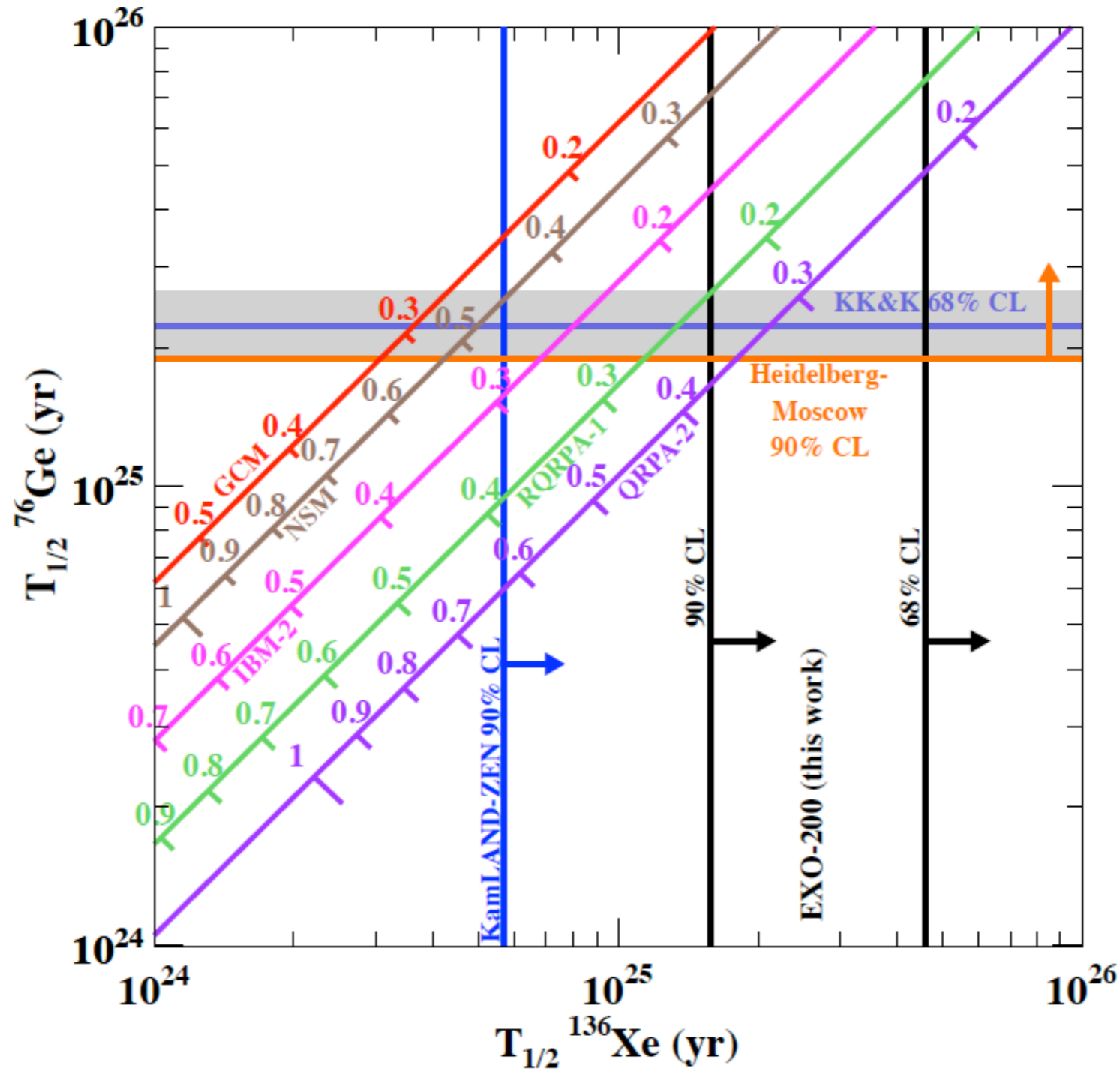


FIG. 6: Relation between the $T_{1/2}^{0\nu\beta\beta}$ in ^{76}Ge and ^{136}Xe for different matrix element calculations (GCM [20], NSM [21], IBM-2 [22], RQRPA-1 [23] and QRPA-2 [5]). For each matrix element $\langle m \rangle_{\beta\beta}$ is also shown (eV). The claim [4] is represented by the grey band, along with the best limit for ^{76}Ge [19]. The result reported here is shown along with that from [7].

Phase II final array configuration

- ▶ Deployed in Dec 2015
- ▶ 30 enriched BEGe (20 kg)
- ▶ 7 enriched Coax (15.8 kg)
- ▶ 3 natural Coax (7.6 kg)

⇒ 35.8 kg of enr detectors

