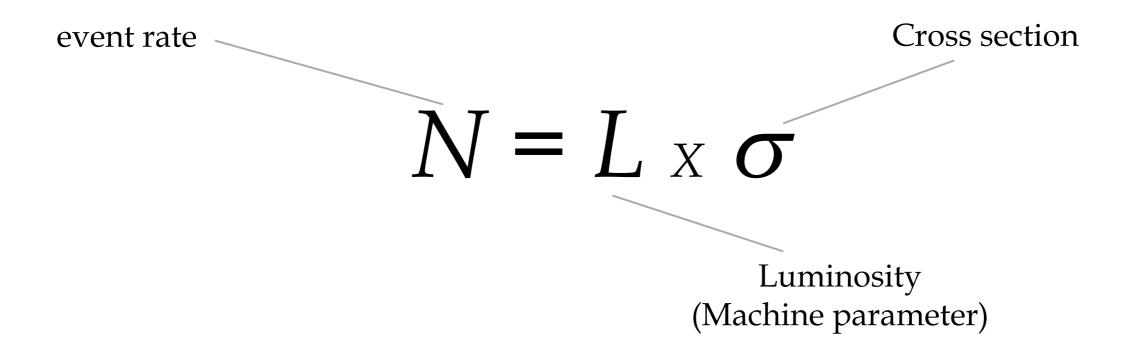
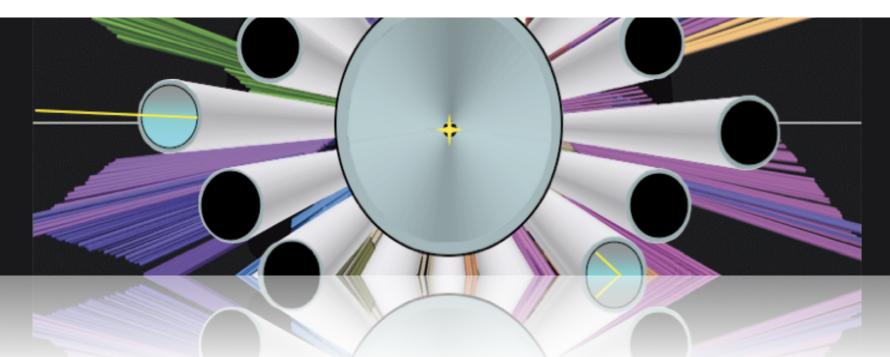


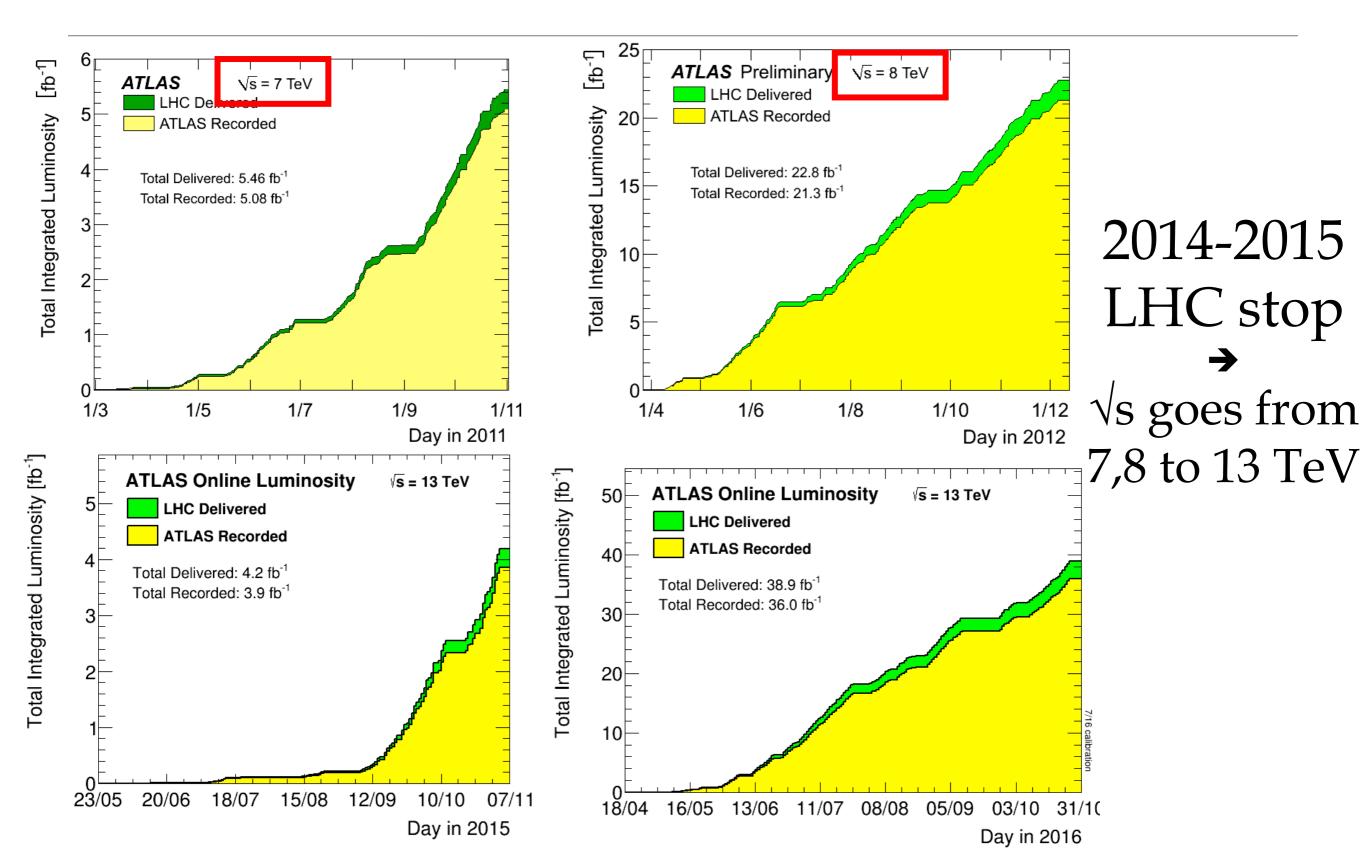
Luminosity @ LHC How to measure cross sections ...



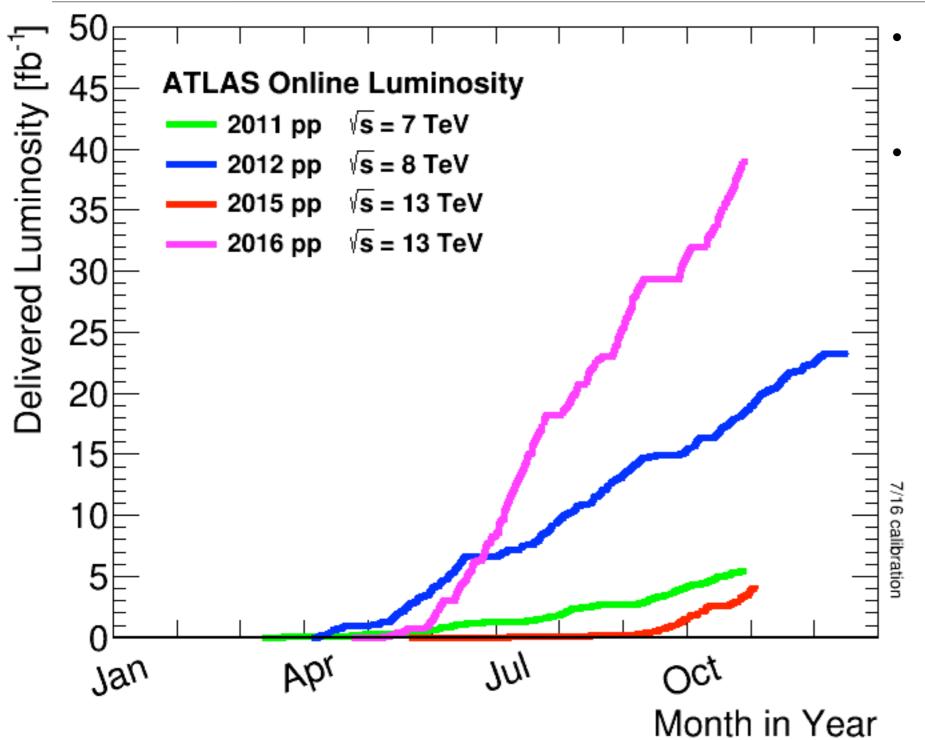




Luminosities in run-I/II @ LHC



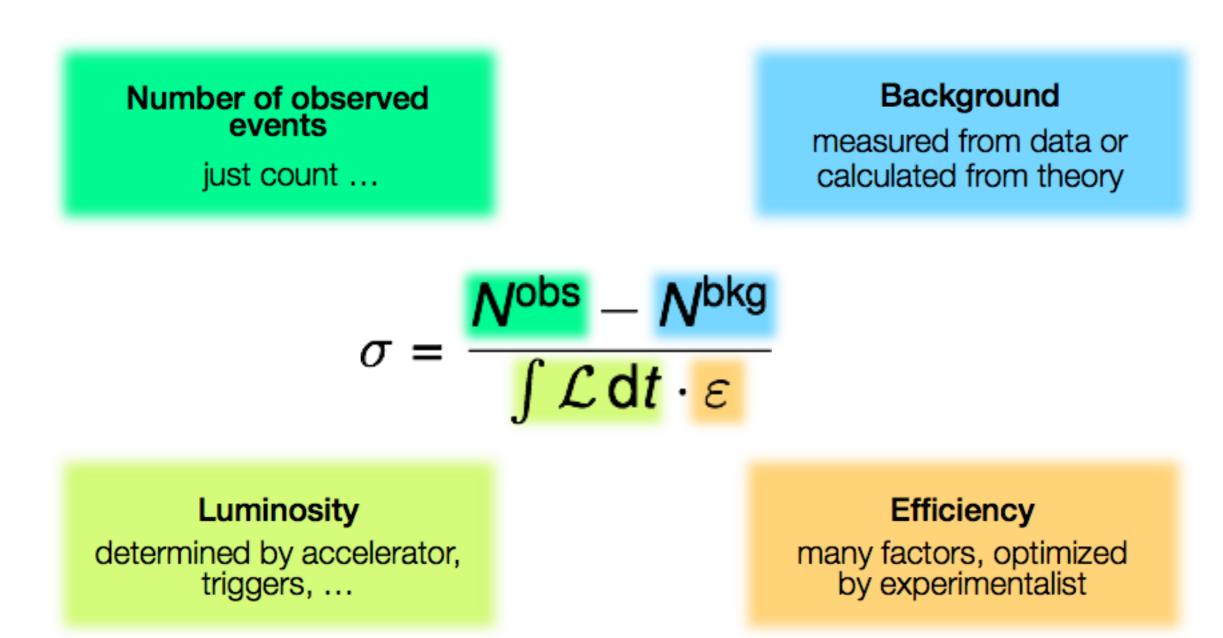




- Slope changes significantly and increases with year
- Summer run / winter stop



Cross Section & Luminosity





How to measure luminosity

- 1. Direct bunch profile and intensity measurements
 - Van der Meer scan (VdM)
 - Beam-Gas-Imaging (BGI)
- 2. Based on optical theorem
 - Forward scattering at very low angles
 - Cross-calibration of luminosity detectors CMS
 - Challenging, program ongoing

... and to monitor it with time

use of tracking detectors & calorimeters

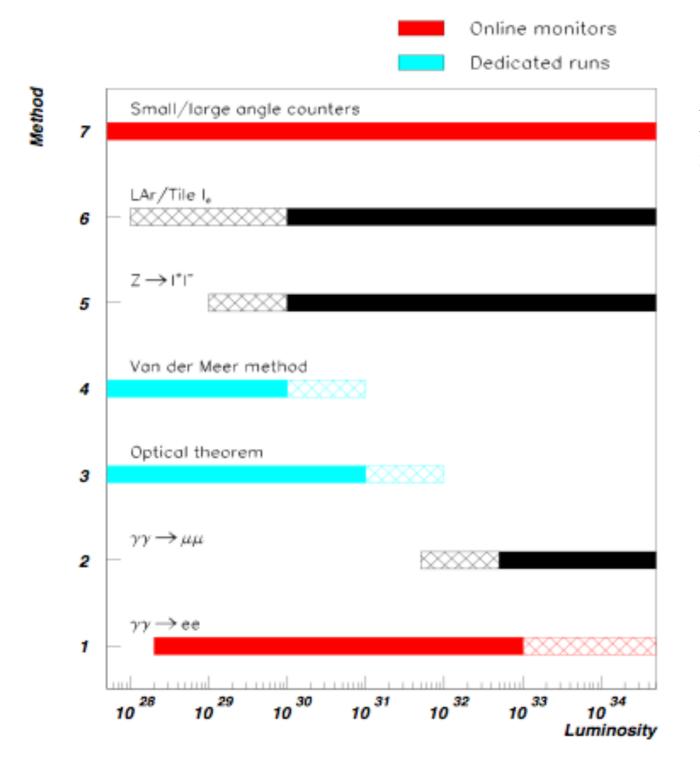
ALICE, ATLAS, CMS, LHCb

LHCb

ATLAS with ALFA, CMS with TOTEM



Luminosity Determination @ LHC

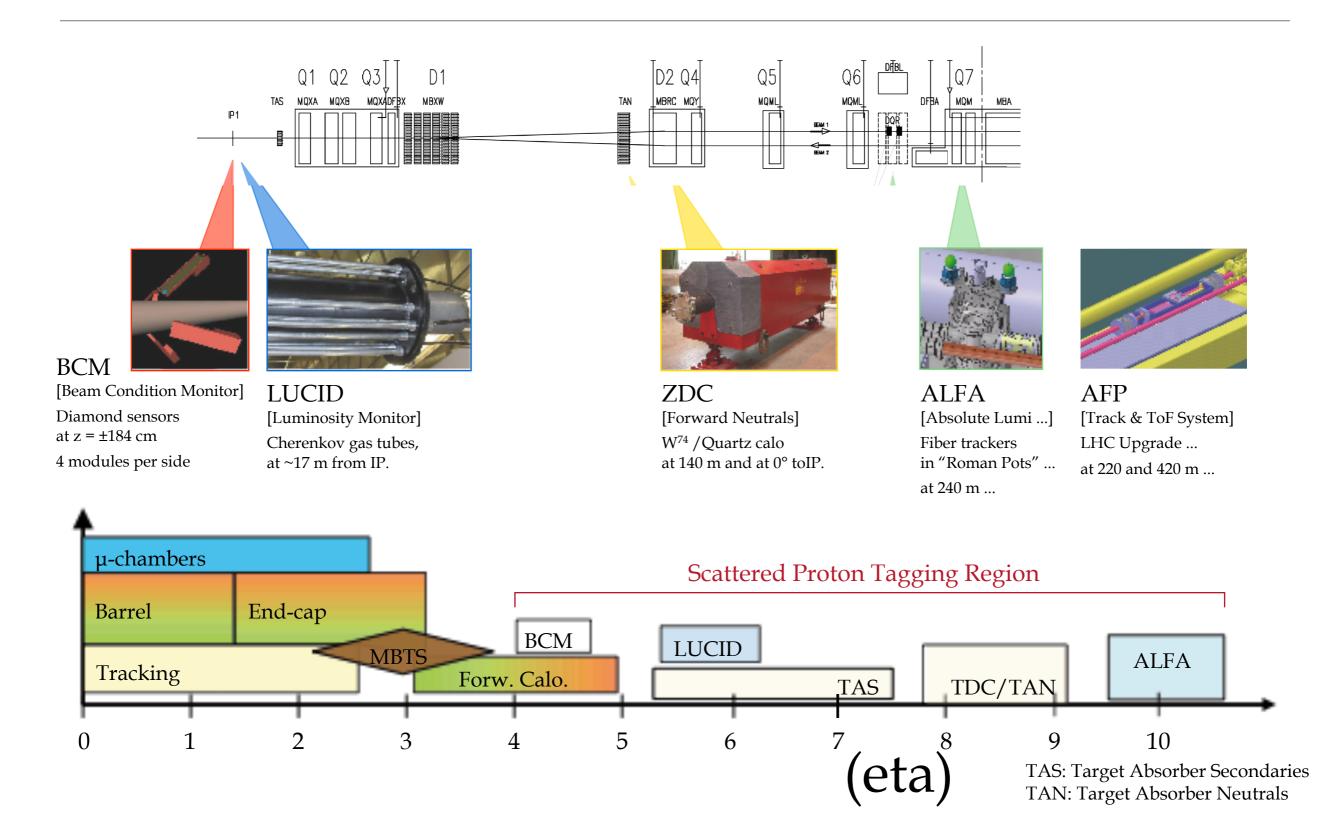


Methods as summarized in ATLAS TDR

[ATLAS Technical Design Report, Vol. I]



Luminosity via Forward Scattering





External material



Measurement of the luminosity at LHC

Gabriel Anders CERN

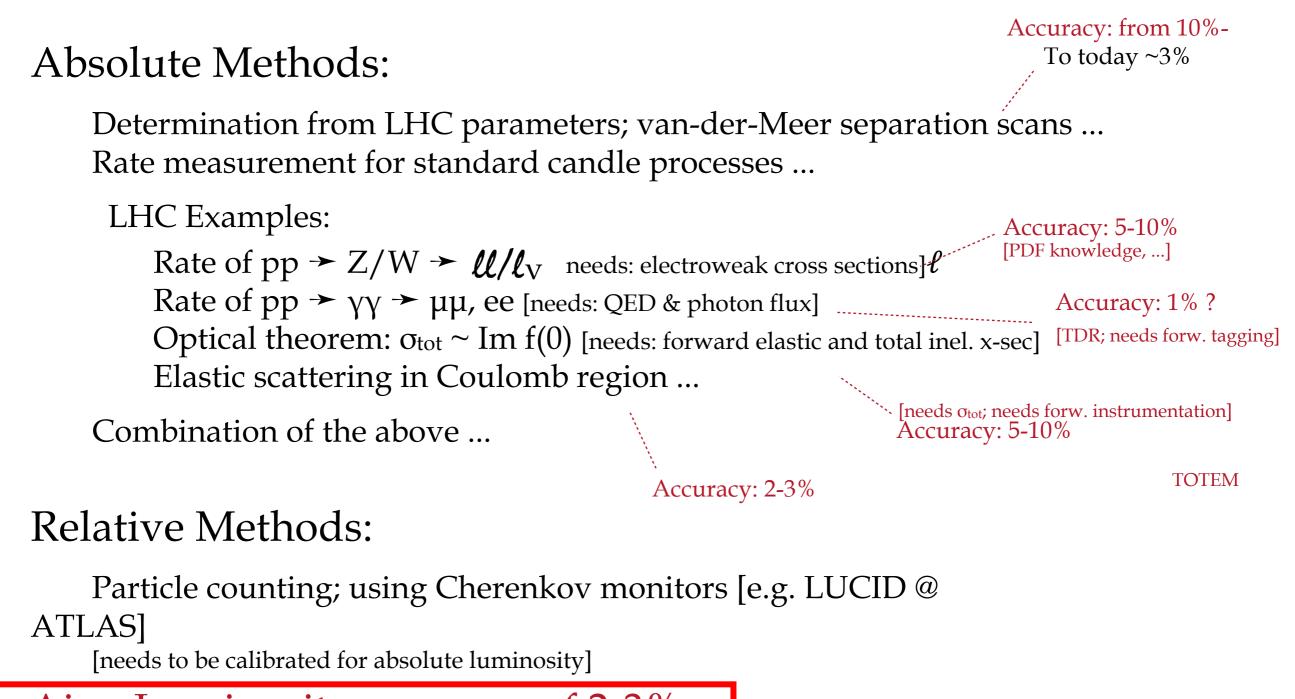


On behalf of the ALICE, ATLAS, CMS and LHCb collaborations

August 11th, 2014 Physics at LHC and beyond (Quy Nhon, Vietnam)



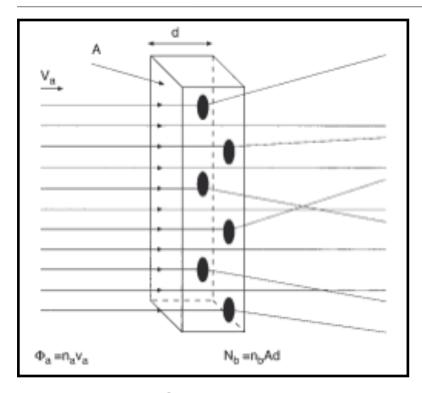
Luminosity Determination @ LHC (old slide)



Aim: Luminosity accuracy of 2-3% ...



Cross Section & Luminosity



$$\Phi_{a} = \frac{\dot{N}_{a}}{A} = n_{a}v_{a}$$

$$\Phi_{a}: \quad \text{flux}$$

$$n_{a}: \quad \text{density of particle}$$

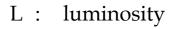
n_a: density of particle beamv_a: velocity of beam particles

$$\dot{N} = \Phi_a \cdot N_b \cdot \sigma_b$$

N: reaction rate

- N_b : target particles within beam area
- σ_a : effective area of single scattering center

 $L = \Phi_a \cdot N_b$



Instantaneous Luminosity rate of events $\dot{N} \equiv L \cdot \sigma$

$$N = \sigma \cdot \int L \, dt \qquad \sigma = N/L$$

integrated luminosity

Collider experiment:

 $\sim 10^{11}$

~ 2800

~ 11 kHz

 $\sim .0005 \, \text{mm}^2$

 $\sim 10^{34} \, \mathrm{cm}^{-2} \, \mathrm{s}^{-1}$

 N_x

А

n

f

L

$$\Phi_{a} = \frac{\dot{N}_{a}}{A} = \frac{N_{a} \cdot n \cdot v/U}{A} = \frac{N_{a} \cdot n \cdot f}{A}$$

$$L = f \frac{nN_{a}N_{b}}{A} = f \frac{nN_{a}N_{b}}{4\pi\sigma_{x}\sigma_{y}}$$

$$LHC:$$

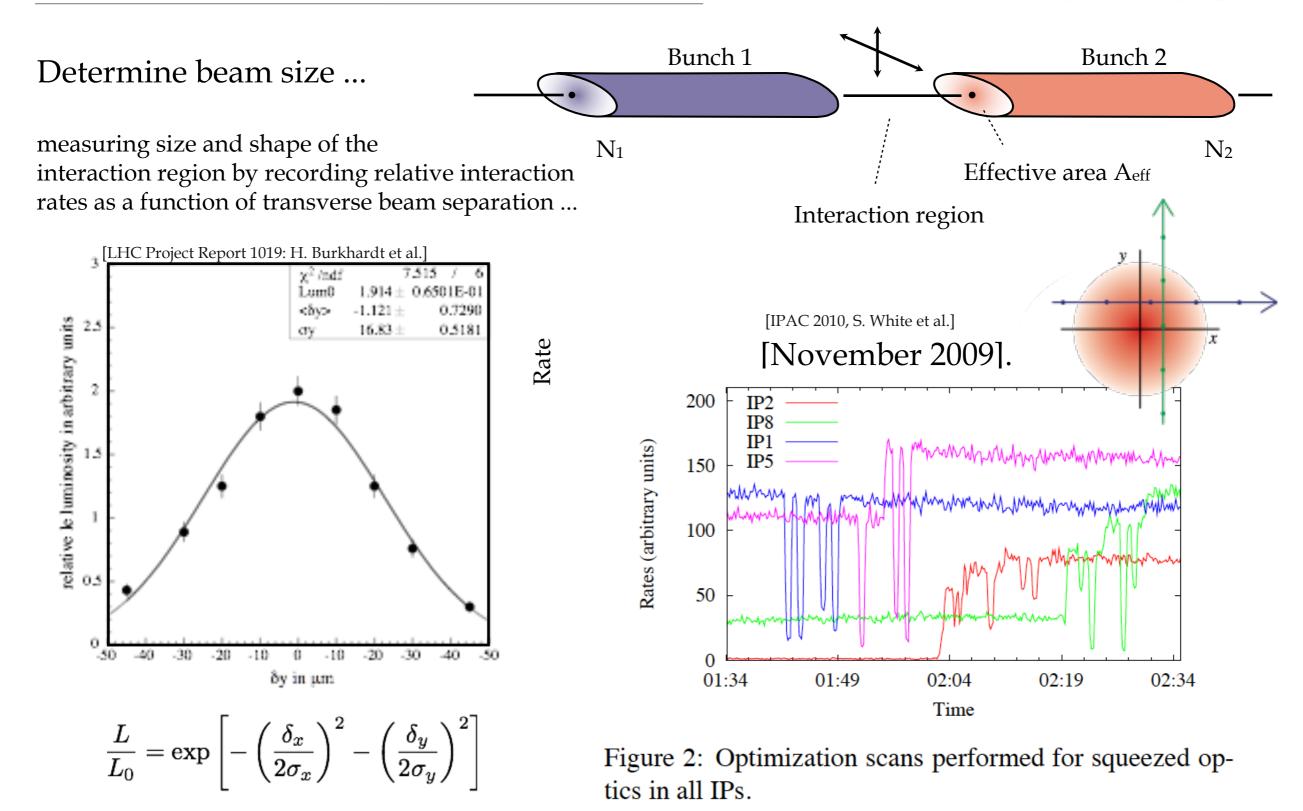
$$N_{a}: \text{ number of particles per bunch (beam A)} \\ N_{b}: \text{ number of particles per bunch (beam B)}$$

- U : circumference of ring
- n : number of bunches per beam
- v : velocity of beam particles
- f : revolution frequency
- A : beam cross-section
- σ_x : standard deviation of beam profile in x
- σ_y : standard deviation of beam profile in y



Van-der-Meer Separation Scan

teraction regions ATLAS (IP1), ALICE (IP2), CMS (IP5) and LHCb (IP8). All interaction regions are equipped with





Shape of the beam : 1 g or 2 g?

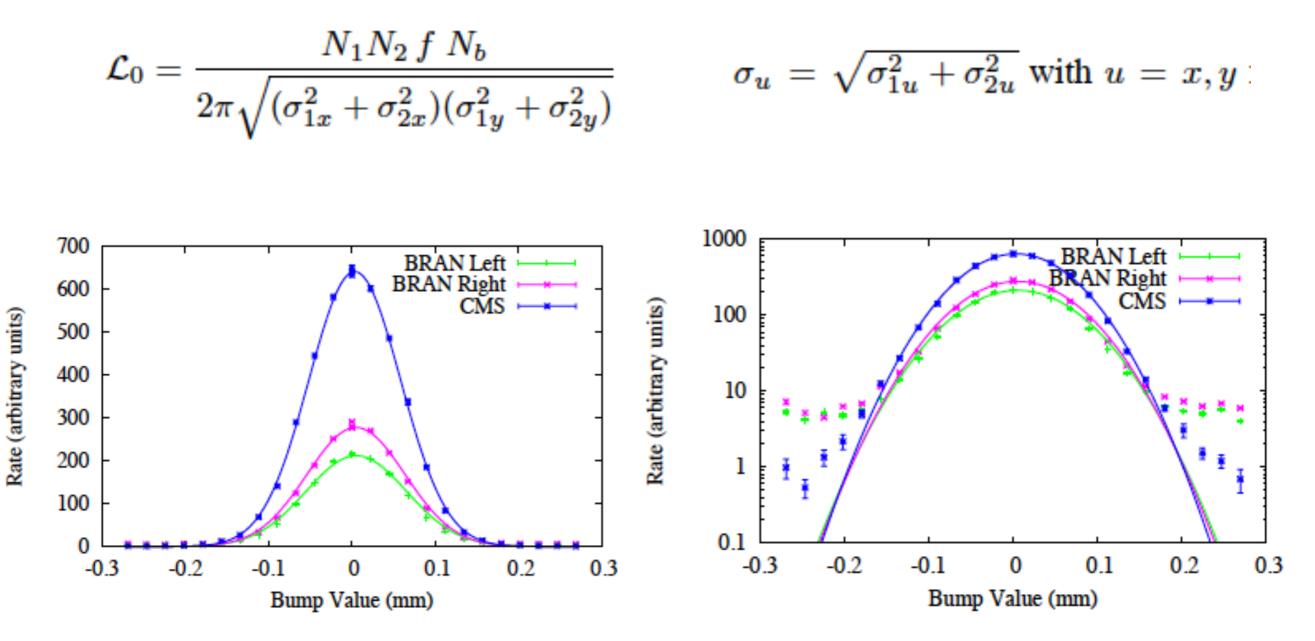
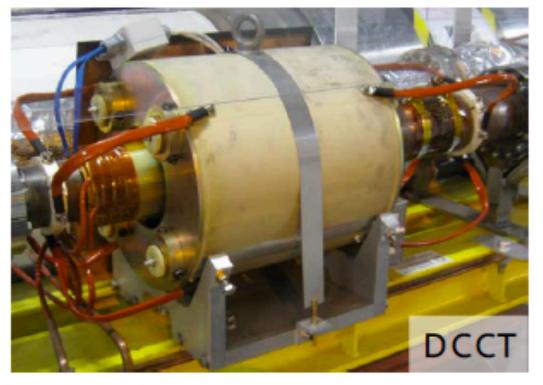


Figure 4: Same horizontal scan in IP5 shown in logarithmic scale with pure Gaussian fits.



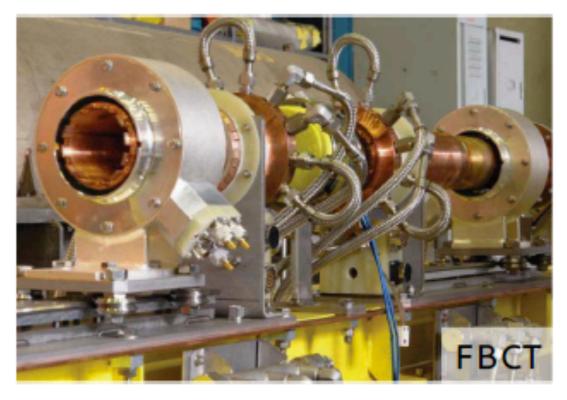
Measuring beam populations

DC Current Transformer



total current measurement with high accuracy two in each beam

Fast Beam Current Transformer



- bunch-by-bunch current measurement
- two in each beam
- Relative fraction of total current in each BCID from FBCT
- Normalization to overall current scale provided by DCCT

CERN-ATS-Note-2012-026 CERN-ATS-Note-2012-028 CERN-ATS-Note-2012-029



The LHC is operated at the radio frequency (RF) of about 400 MHz, which corresponds to exactly 35640 RF bins of about 2.5 ns length and equidistantly distributed over the ring circumference. These RF bins are conventionally numbered from 1 to 35640. Nominally, only one out of ten bins is filled with a bunch. By convention, these bins are numbered 1, 11, 21, 31, ... 35631, and they are each associated with a bunch slot spanning 25 ns and numbered from 1 to 3564. The slot, also called Bunch Crossing ID (BCID), is just a convenient index to discuss the LHC measurements in customary "40 MHz" parlance. For luminosity calibration measurements, it is important to remember that the base RF of the LHC is 400 MHz. The captured particles of an LHC bunch are contained within an RF bucket 1–1.5 ns long (4 sigma length). Ideally, all particles should be contained within the nominally filled RF bins. Experience has shown that this is typically correct to an accuracy of about 1–2% for LHC p beams and about 5% for LHC Pb beams (except when problems with, for example, the RF cavities occur). To obtain a precision better than this on the bunch populations of the nominally filled RF bins, it is necessary to consider the full longitudinal distribution of the two rings. Figure 1 shows a typical distribution for a Pb beam. One observes that particles are captured in every RF bin. Conventionally, the small bunches in those RF bins which are within the 12.5 ns range around the center of a nominally filled RF bin are called satellite bunches, while those which are outside this range are lumped altogether in the so-called ghost charge.

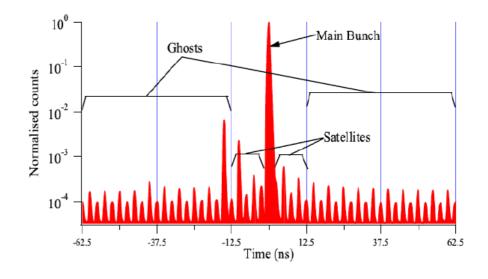
The total beam population of beam j = 1 or 2 (measured with the DCCTs [3]) is assumed to be the sum of the following components

where Nmain, j is the charge of all slots nominally filled with a high intensity bunch (a 'main' bunch), Nghost, j is the ghost charge and Npilots, j the charge of all slots containing a 'pilot' bunch (not used in all fills, see below). In our definition, the term Nmain, j is what is needed to determine the scale of the cross section, after correcting for the effects of satellite bunches.

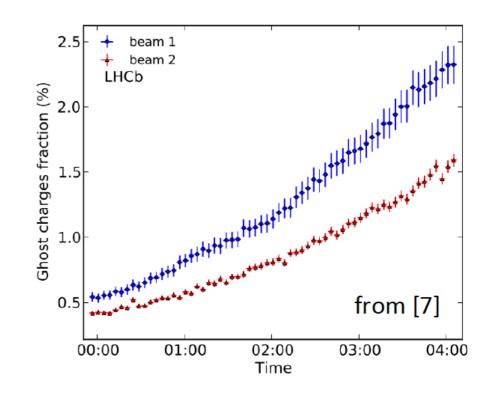


Bunch current measurements

- Currents are crucial input to VdM scan analysis
 - DC Beam Current Transformer (DCCT)
 - total circulating charges
 - Fast Beam Current Transformer (FBCT)
 - fraction of charge in each bunch
 - In 2010 uncertainty on bunch current product (10%) dominated luminosity uncertainty, due to major offort this uncertainty is well below 0.5% today [13]



- Corrections for ghost and satellite bunches
 - Fill dependent, but typically < 1%
 - Measured with various methods
 - Synchrotron radiation by LDM (for satellite bunches) [6]
 - BGI in LHCb VELO with SMOG (for ghost charge) [7]





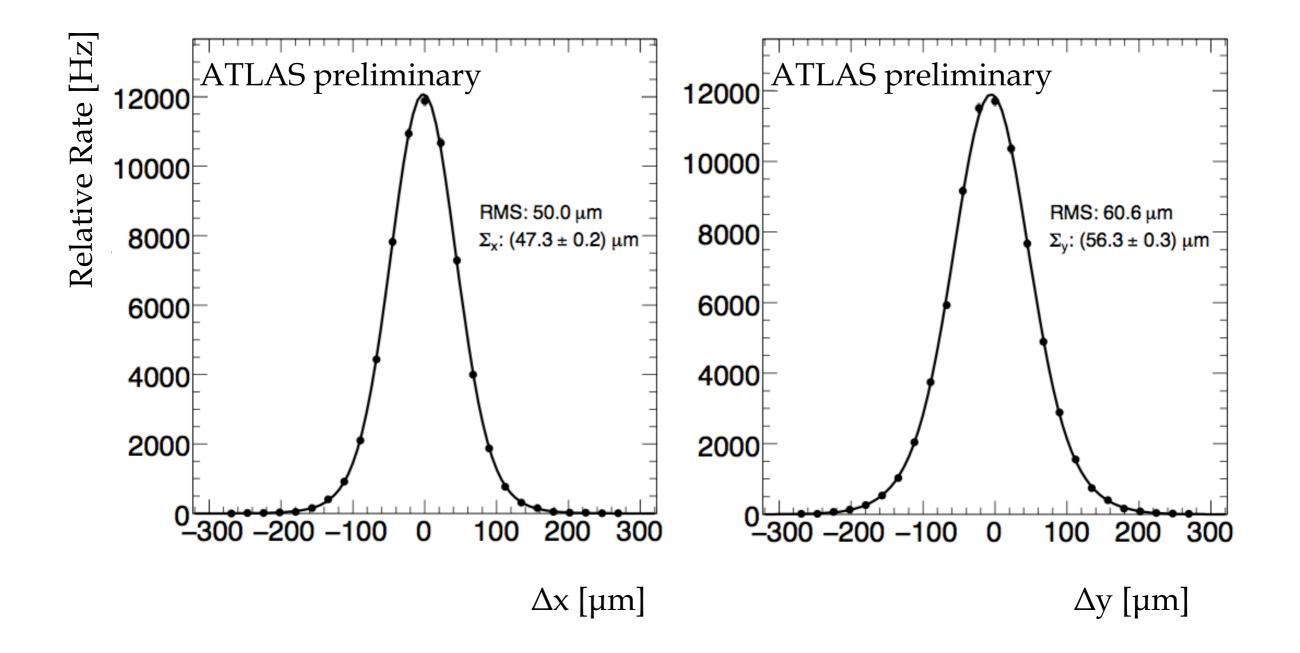
VdM scans in ATLAS

Table 2 Summary of the main characteristics of the 2010 and 2011 vdM scans performed at the ATLAS interaction point. Scan directions are indicated by "H" for horizontal and "V" for vertical. The values of luminosity/bunch and μ are given for zero beam separation.

Scan Number	Ι	II–III	IV-V	VII–IX
LHC Fill Number	1059	1089	1386	1783
Date	26 Apr., 2010	9 May, 2010	1 Oct., 2010	15 May, 2011
Scan Directions	1 H scan	2 H scans	2 sets of	3 sets of
	followed by	followed by	H plus V scans	H plus V scans
	1 V scan	2 V scans	-	(scan IX offset)
Total Scan Steps per Plane	27	27	25	25
	$(\pm 6\sigma_{\rm b})$	$(\pm 6\sigma_{\rm b})$	$(\pm 6\sigma_{\rm b})$	$(\pm 6\sigma_{\rm b})$
Scan Duration per Step	30 s	30 s	20 s	20 s
Bunches colliding in ATLAS & CMS	1	1	6	14
Total number of bunches per beam	2	2	19	38
Typical number of protons per bunch $(\times 10^{11})$	0.1	0.2	0.9	0.8
Nominal β -function at IP [β^*] (m)	2	2	3.5	1.5
Approx. transverse single beam size σ_b (μ m)	45	45	57	40
Nominal half crossing angle (μ rad)	0	0	± 100	± 120
Typical luminosity/bunch ($\mu b^{-1}/s$)	$4.5 \cdot 10^{-3}$	$1.8 \cdot 10^{-2}$	0.22	0.38
μ (interactions/crossing)	0.03	0.11	1.3	2.3



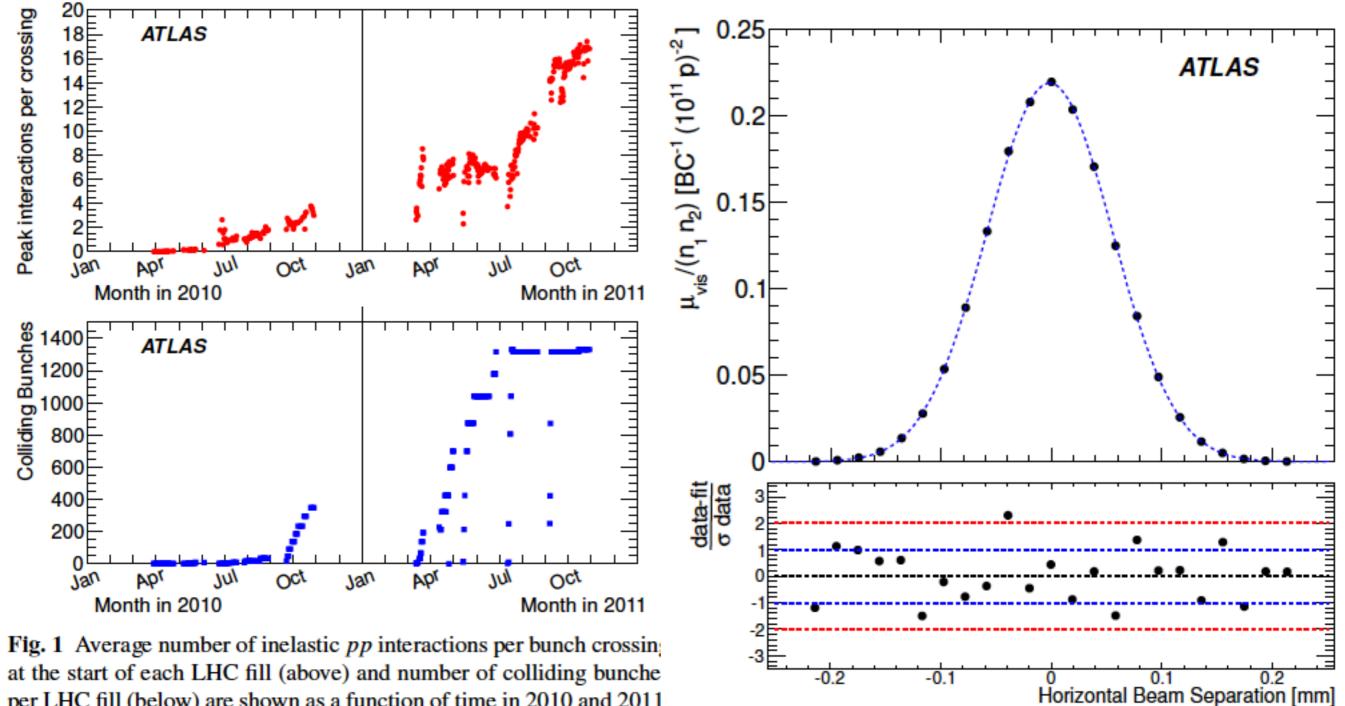
ATLAS Beam Profiles



[Scans from May 2010]



Profile of VdM scan



per LHC fill (below) are shown as a function of time in 2010 and 2011 The product of these two quantities is proportional to the peak lumi nosity at the start of each fill.



Systematic errors in luminosity measurement

Table 6 Relative systematic uncertainties on the determination of the visible cross-section σ_{vis} from vdM scans in 2010. The assumed correlations of these parameters between scans is also indicated.

Scan Number	Ι	II–III	IV-V	
Fill Number	1059	1089	1386	
Beam centring	2%	2%	0.04%	Uncorrelated
Beam-position jitter	-	_	0.3%	Uncorrelated
Emittance growth				
and other non-reproducibility	3%	3%	0.5%	Uncorrelated
Fit model	1%	1%	0.2%	Partially Correlated
Length scale calibration	2%	2%	0.3%	Partially Correlated
Absolute length scale	0.3%	0.3%	0.3%	Correlated
Beam-beam effects	-	_	0.7%	Uncorrelated
Transverse correlations	3%	2%	0.9%	Partially Correlated
μ dependence	2%	2%	0.5%	Correlated
Scan subtotal	5.6%	5.1%	1.5%	
Bunch population product	5.6%	4.4%	3.1%	Partially Correlated
Total	7.8%	6.8%	3.4%	



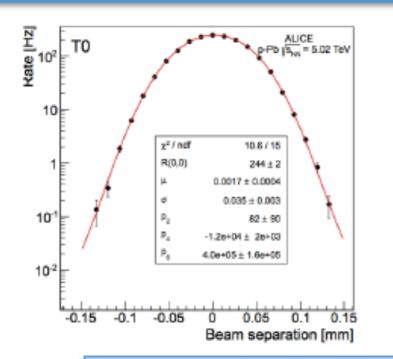
Uncertainties - 1

 Only a selection of the most important systematic uncertainties is listed in the following

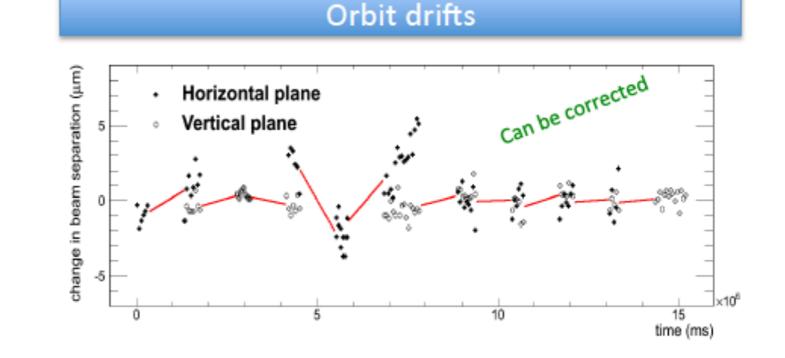
Calibration uncertainties	VdM scan	BGI		
	Scan curve model	Bunch shape model		
	Factorizability	(accounts for factorizability)		
	Beam-Beam effects	Vertexing resolution		
	Orbit drifts	Detector alignment & crossing angle		
	Reproducibility			
Calibration transfer uncertainties from low $\mathcal L$	μ-dependence			
calibration to high ${\mathcal L}$ physics	Radiation effects			
Monitoring uncertainty	Long-term stability			



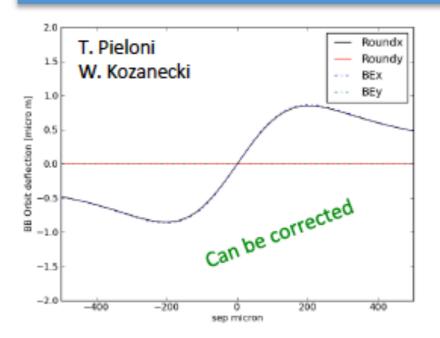
Uncertainties - 2



Choice of scan curve model



Beam-beam effects



Beam-beam deflection

Orbit shift dependent on beam separation

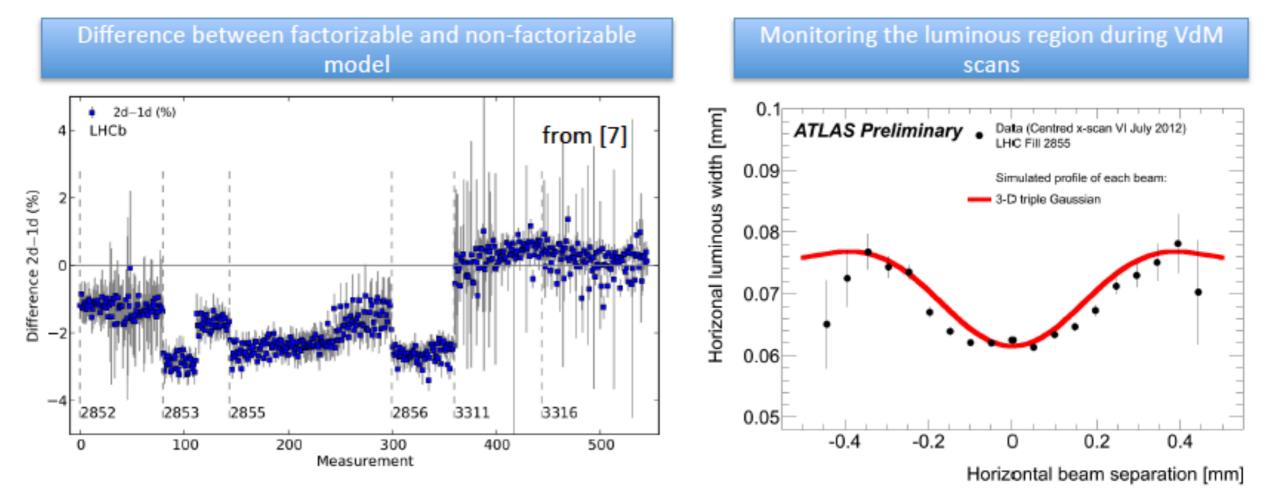
Dynamic β

Beam sizes vary during VdM scan since beams exert focussing/ defocussing force on each other



Uncertainties - 3

- Non-factorizability of beam densities could be tracked down as the source for significant inconsistencies in some VdM scans
 - Its effect on VdM scans is new territory and was first studied at LHC
- Two approaches to deal with the factorizability problem
 - Accelerator experts prepare good beams which have approx. factorizable densities
 - Experiments measure the non-factorizability and develop new methods to correct for it (based on BGI, luminous-region evolution during scan)



Snapshot of Luminosities uncertainties

Parts of table reproduced from [11]

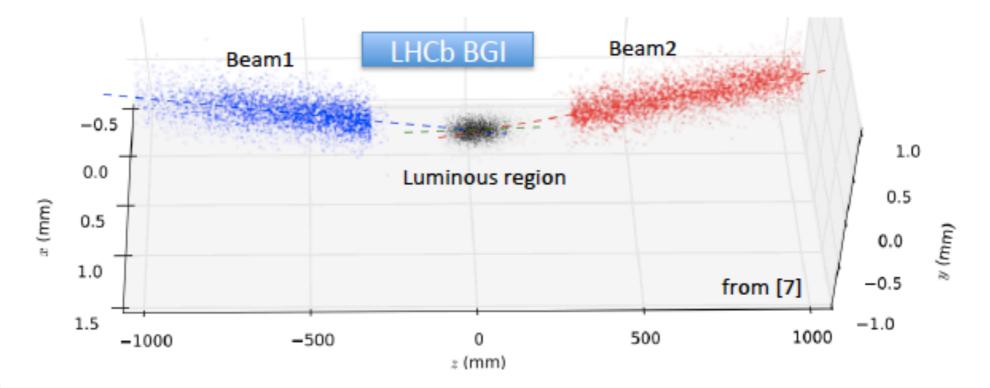
	ALICE	ATLAS	CMS	LHCb
Running period	2013	2011	2012	2012
Sqrt(s) [TeV]	5.02	7	8	8
Running mode	Pb-p	p-p	р-р	р-р
Reference	[8]	[9]	[10]	In the process of being made publicly available
Absolute calibration method	VdM	VdM	VdM	VdM + BGI *
$\Delta \sigma_{\rm vis} / \sigma_{\rm vis}$ [%]	2.8	1.53	2.3	1.12
μ-dependence [%]	1.0	0.50	<0.1	0.17
Long-term stability [%]		0.70	1.0	0.22
Subtraction of luminosity backgrounds [%]		0.20	0.5	0.13
Other luminosity-dependent effects [%]		0.25	0.5	-
Total luminosity uncertainty [%]	3.0	1.8	2.6	1.2

This snapshot represents a selection of the latest luminosity calibration results publicly available



An alternative approach: BGI

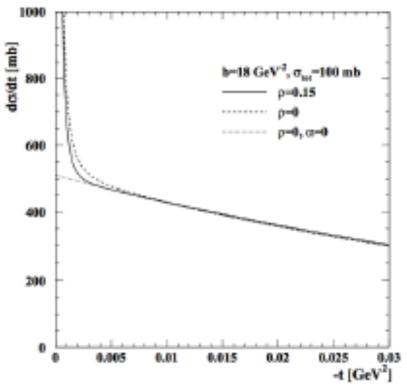
- Beam-Gas imaging (pioneered by LHCb) [1]
 - Reconstruct interaction vertices of protons with residual gas
 - Infer beam shape near interaction point (IP) and extrapolate to IP
- Combination of Beam-Gas and Beam-Beam vertices
 - Simultaneous fit to individual beam and luminous region shapes yields beam overlap integral and then luminosity
 - Beams do not need to be moved (hence no beam-beam corrections, etc.)
 - Overall calibration uncertainty dominated by vertex resolution
 - Several important systematic uncertainties are independent from VdM scan analysis





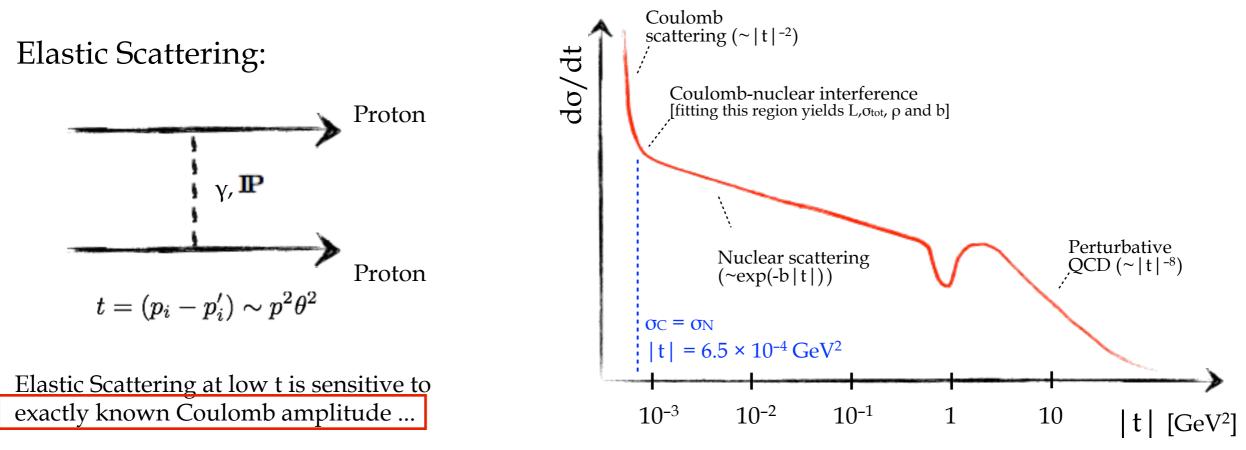
Optical theorem basics

- TOTEM for CMS and ALFA for ATLAS are able to perform absolute luminosity measurements
- Based on Optical theorem
 - Measurements of the total rate in combination with the t-dependence of the elastic cross section (TOTEM)
 - Measurements of elastic scattering rates in the Coulomb interference region(ALFA)



- Requires dedicated LHC fills with special magnet settings
- Roman pots far from the interaction points (about 200 m)
- Measurements at very low interaction rates
 - Cross-calibration of dedicated luminosity detectors
 - Extrapolation of calibration to typical physics conditions introduces big uncertainties
- Valuable cross check but at LHC not competitive to VdM scans for integrated luminosity measurements



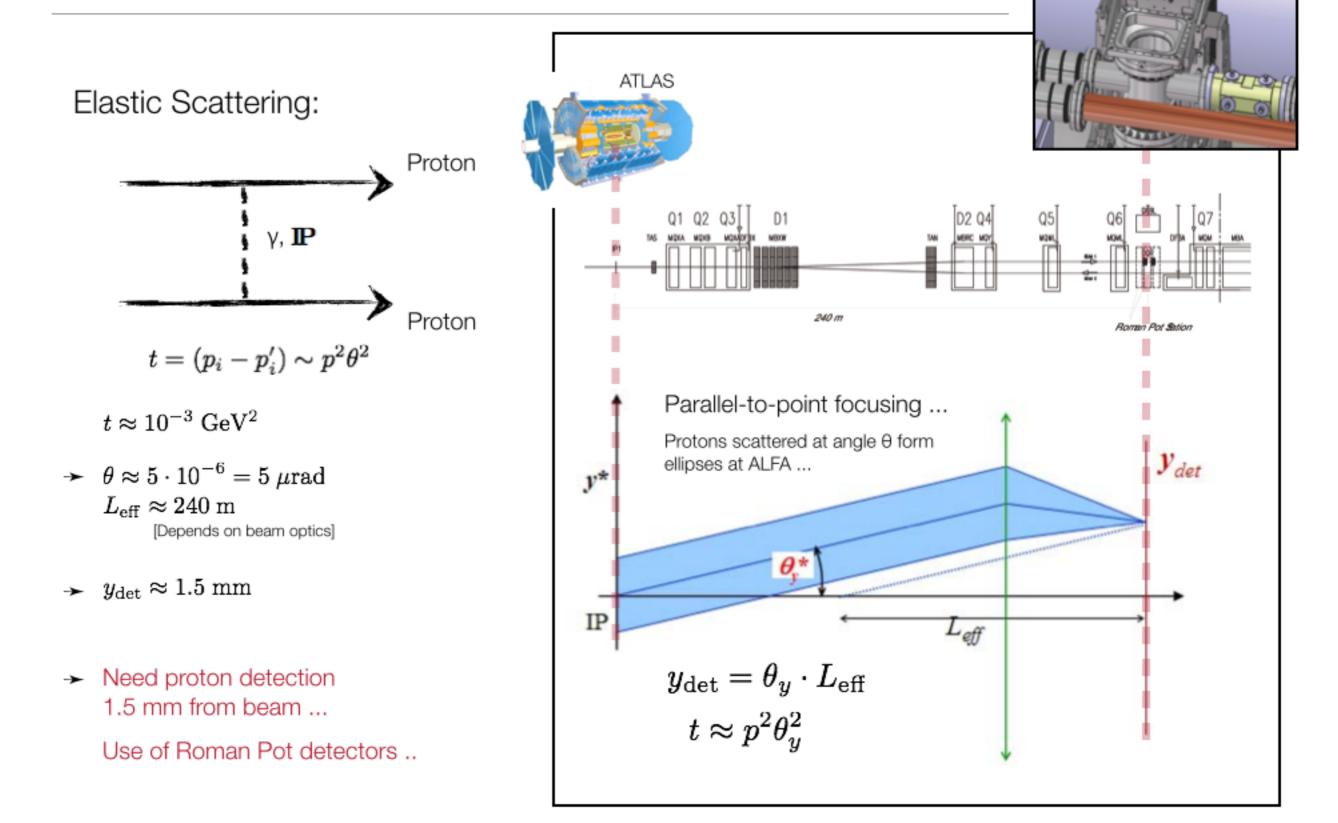


Shape of elastic scattering distribution can also be used to determine total cross section, σ_{tot} , and the parameters ρ and b ...

Perform fit to:

$$\frac{dN}{dt} = L \left(\frac{4\pi\alpha^2}{|t|^2} - \frac{\alpha\rho\sigma_{\text{tot}}e^{\frac{-b|t|}{2}}}{|t|} + \frac{\sigma_{\text{tot}}^2(1+\rho^2)e^{-b|t|}}{16\pi} \right) \quad \text{with:} \\ \frac{16\pi}{\rho} : \\$$

- : ratio of the real to imaginary part of the elastic forward amplitude
- b : nuclear slope
- σ_{tot} : total pp $\rightarrow X$ cross section



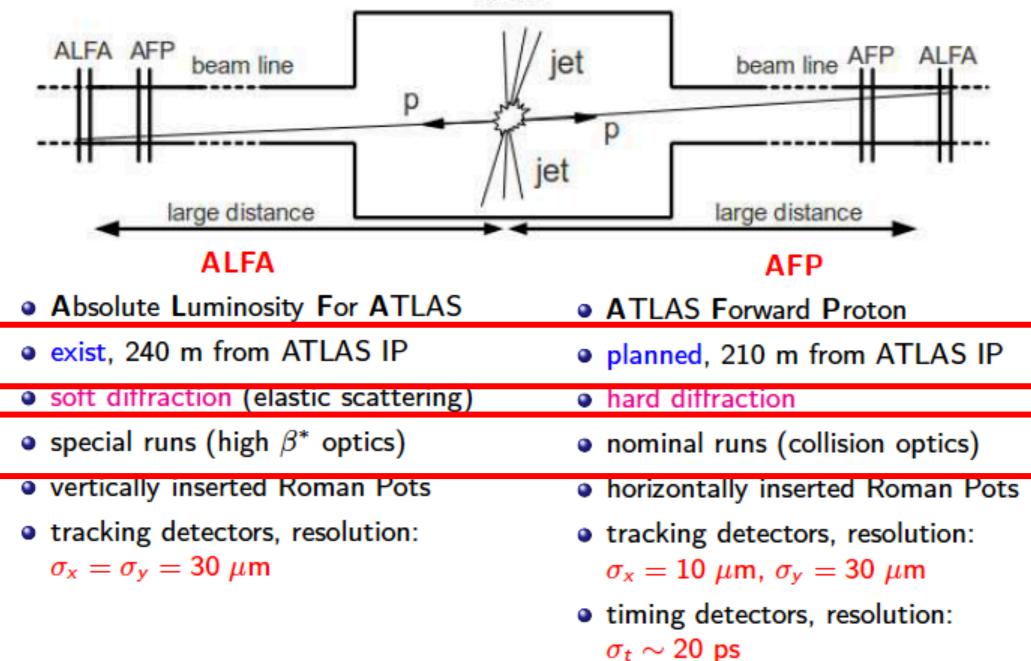
ALFA



AFP & ALFA : geometry

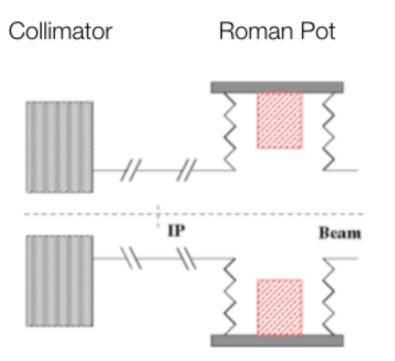
Forward Detectors @ IP1

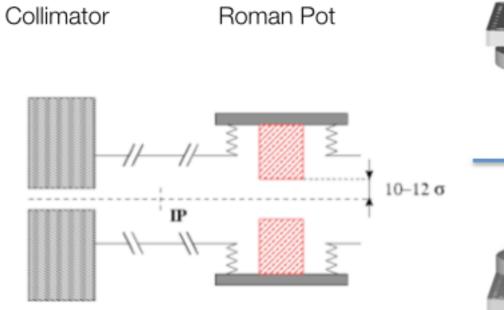
Intact protons \rightarrow natural diffractive signature \rightarrow usually scattered at very small angles (µrad) \rightarrow detectors must be located far form the Interaction Point. ATLAS

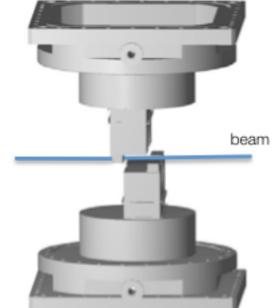


Similar Devices @ IP5: CMS-TOTEM.









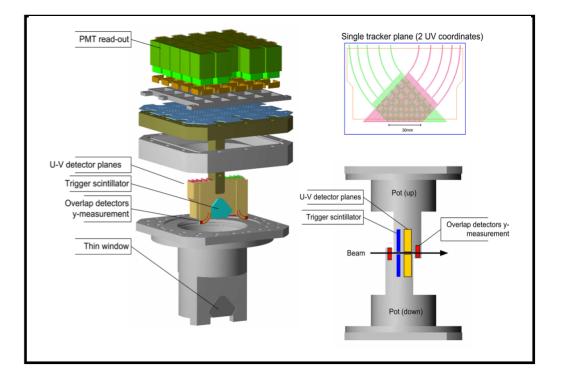
Roman Pots, based on modified Totem design, used to move detectors near to stable beam.

Detectors in vertical plane only.

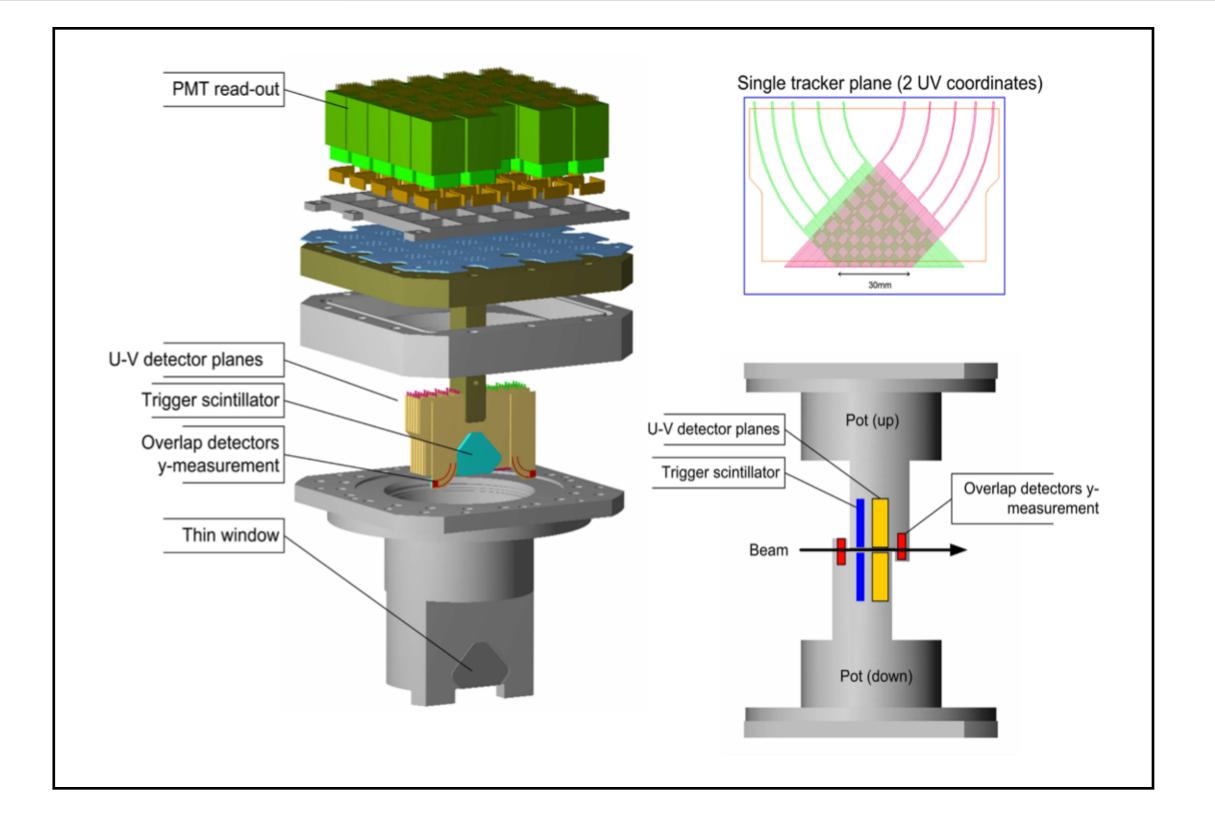
Calibration:

Beam positioning monitors (BPMs) and hit multiplicities used to calibrate detector positions with respect to beam

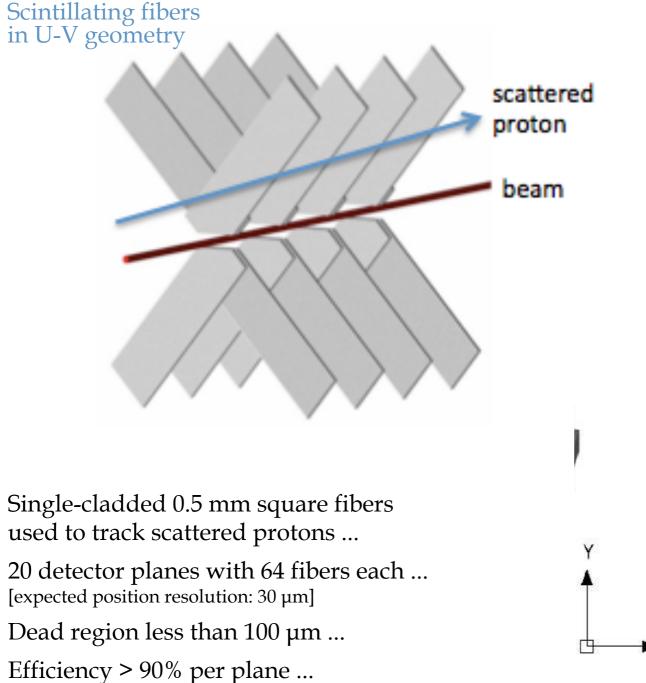
Overlap extrusions used to calibrate distance between upper and lower detectors





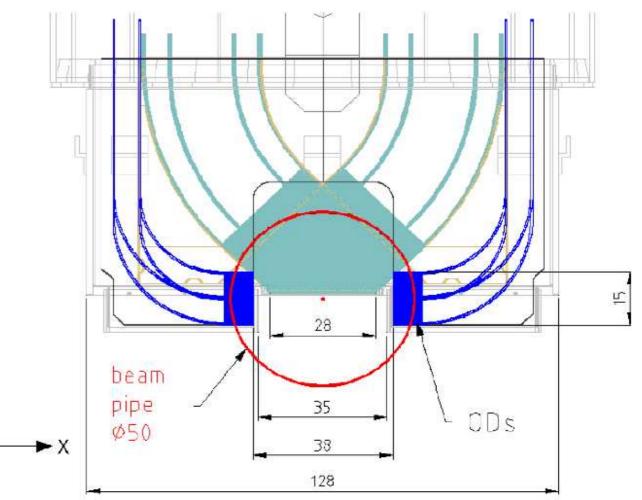






Schematic view of tracker module ...

Sensitive area with U-V geometry (light blue) ... Overlap detectors and fibers (dark blue) ... LHC Beam pipe (red) ...

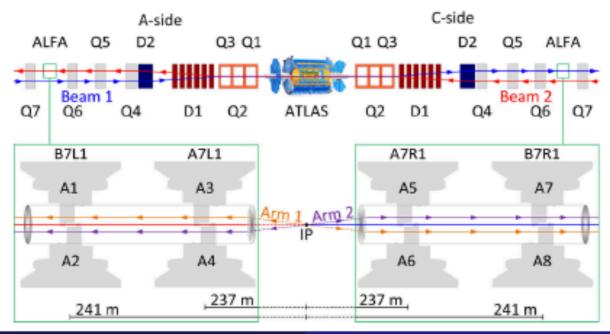


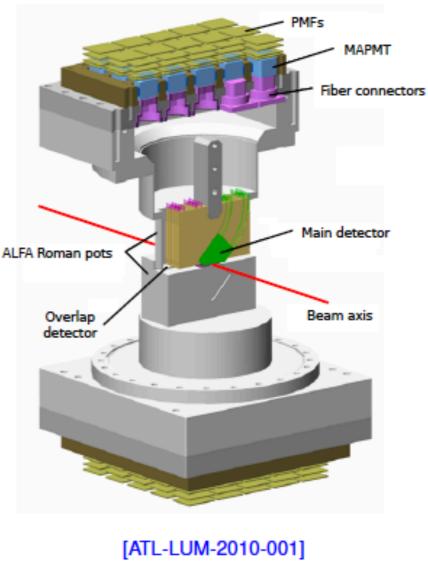


ALFA detector

The Absolute Luminosity For ATLAS (ALFA) detector

- Build to measure elastically scattered protons at μrad angles.
- Located 240 m from the ATLAS interaction point (IP) inside Roman Pots.
- Approaches outgoing beams in vertical direction.
- The main detector (MD) is build of 10 × 2 orthogonal layers of scintillating fibers.
 - The fiber width of 500 μm and layer staggering gives \approx 30 μm tracking resolution.
- The overlap detectors (OD) also use scintillating fibers and are used for detector alignment.
- Trigger tiles of scintillating plastic cover MDs and ODs.





HESZ2015September 10, 2015 3 / 21

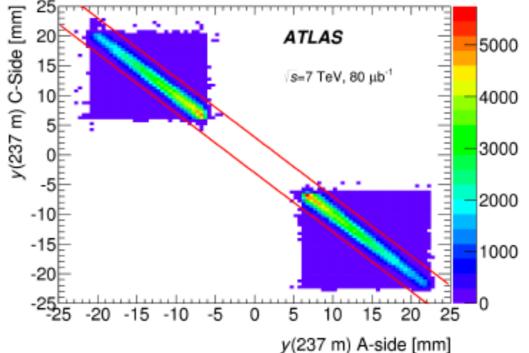
200



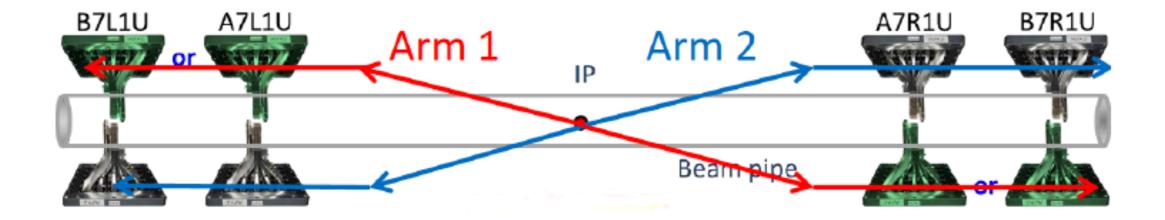
ALFA detector : signal events

Elastic event selection

- Elastic events are selected with tracks in all four stations in an arm.
- The tracks are also required to fulfill certain correlations between inner-outer stations and between A-side and C-side.



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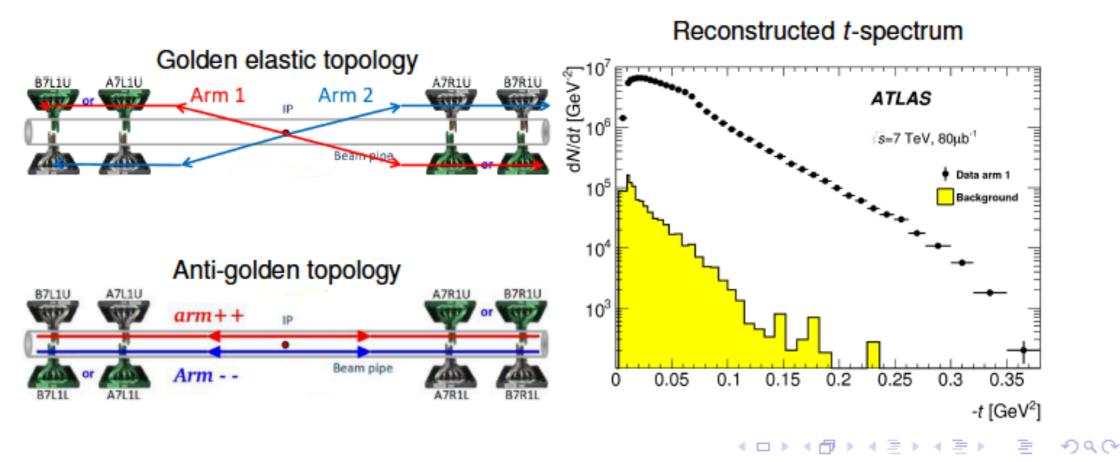
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ALFA detector : background events

Background

- Sources of irreducible background is:
 - 1) two incident halo particle,
 - 2) a single diffractive proton and a halo particle,
 - 3) double pomeron exchange with two protons in ALFA.
- A t-spectrum for background is determined from anti-golden events by flipping the coordinates of one of the tracks.
- $\bullet\,$ Background fraction is \sim 0.5% and halo+halo is the dominant source.

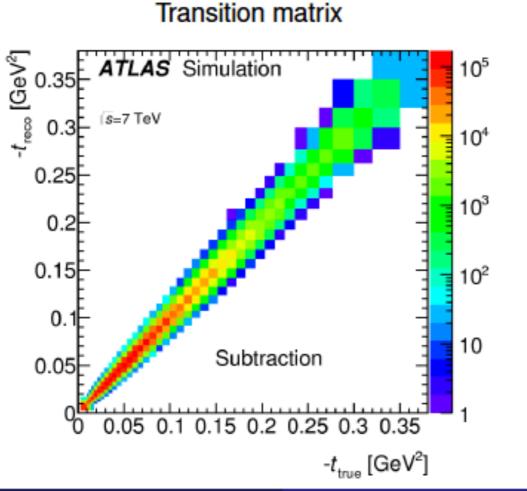




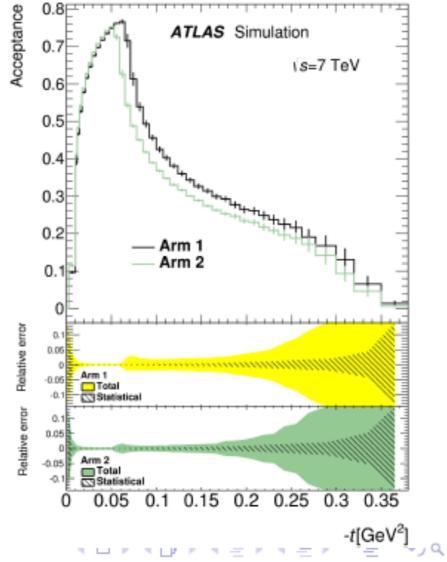
ALFA : acceptance & unfolding

Simulation: Acceptance & unfolding

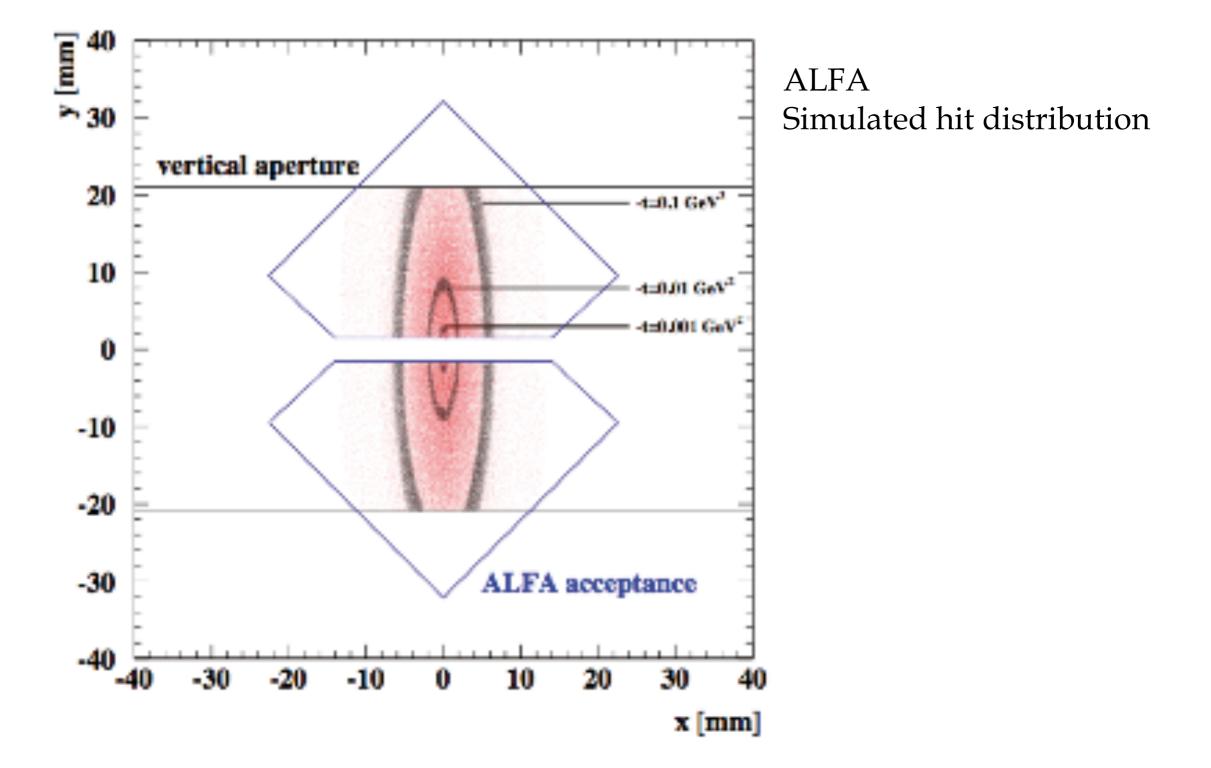
- The measured t-spectrum is affected by detector resolution and acceptance and must be corrected for these effects.
- PYTHIA8 used as elastic scattering generator.
- Beam transport from IP to ALFA done using MadX.
- Simulated tracks are used to find a reconstructed t.
- Transition matrix used to unfold the raw t-spectrum.



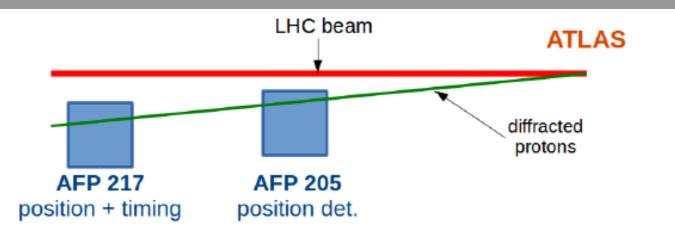
Acceptance







AFP detector

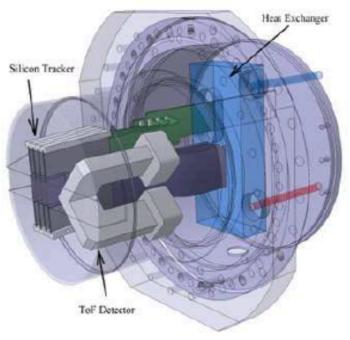


Near station (205 m from ATLAS IP):

• position detectors: 4 layers, staggered.

Far station (217 m from ATLAS IP):

- position detectors,
- ToF detectors: 4 x 4 bars.



Goals:

ROMA Tre

- debug the detector; explore the environment close to the LHC beam,
- special runs at low- μ , focusing on high-rate diffractive physics processes,
- staged installation:
 - Winter 2015-2016 shutdown installation of a single AFP arm with two Roman pot stations, the 0+2 AFP configuration (AFP0+2),
 - Winter 2016-2017 shutdown installation of the second detector arm.



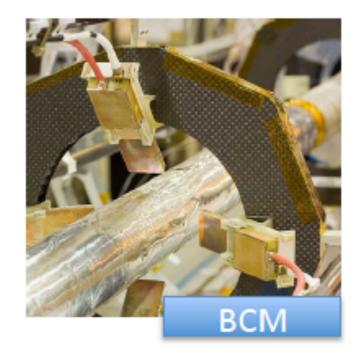
ATLAS Luminosity detectors

LUCID

What between VdM scans?

- Dedicated luminosity monitor (5.6 < $|\eta|$ < 6.0)
- Cherenkov tubes
- Zero-counting and hit-counting algorithms
- Beam Condition Monitor (BCM)
 - Designed as beam protection system
 - Diamond-based sensor (| η | ~ 4.2)
 - Zero-counting algorithms
- Silicon detectors
 - Track counting in Pixel and SCT
- Calorimeter currents (bunch-integrating)
 - TileCal PMT currents
 - LAr HV currents: ECC, FCal







Summary of Luminosity Monitors

Device	Algorithms	Technology	BCID-aware	ACR Desk
<u>BCM</u>	Event counting	Diamond semi-conductors	•	ID
LUCID	Event (Hit) counting Particle flux	Cerenkov quartz windows + PMTs	•	Calo
FCAL	Particle flux	LAr under HV		Calo
MBTS	Event counting	Scintillators + PMTs	•	Trigger
TILE	Particle flux	Scintillator tiles + PMTs		Calo
EMEC	Particle flux	LAr under HV		Calo
ТРХ	Cluster counting	Hybrid pixel		(TBD)
DBM	Event counting Cluster counting Track counting	Diamond pixels	•	ID



Early LHC Luminosity Measurement

-uminosity / 10^{27} [cm⁻²s⁻¹ Particle counting: Example Fill 00000000000 Charged Tracks (MBTS) Same trend! Calorimeter deposits (LAR) 0.8 [Normalization via Monte Carlo] 0.6 ATLAS Preliminary MBTS Forward Particles (LUCID) LHC fill 1022;√s=7 TeV 🔶 LAr 0.4 - LUCID [Relative Method; normalization to MBTS/LAr] 0.2 dt = 75.6 ± 15.1 (stat ⊕ syst) µ b⁻¹ 60 Total Integrated Luminosity [pb⁻¹] Luminosity / 10²⁷ [cm⁻²s⁻¹] ATLAS Online Luminosity $\sqrt{s} = 7 \text{ TeV}$ **Example Fill** HC Delivered 50 ATLAS Recorded 40 Total Delivered: 48.9 pb⁻¹ 0.8 Total Recorded: 45.0 pb⁻¹ 30 0.6 **ATLAS** Preliminary MBTS LHC fill 1022;√s=7 TeV LUCID Norm. to MBTS 20 0.4 LAr Norm. to MBTS Total integrated 10 Luminosity 2010 0.2 dt = 75.6 ± 15.1 (stat ⊕ syst) μ b⁻¹ 22:00 02:00 00:00 08:00 04:00 06:00 10:00 24/03 21/04 19/05 16/06 14/07 11/08 08/09 06/10 03/11 Day in 2010 UTC Time: April 4, 2010



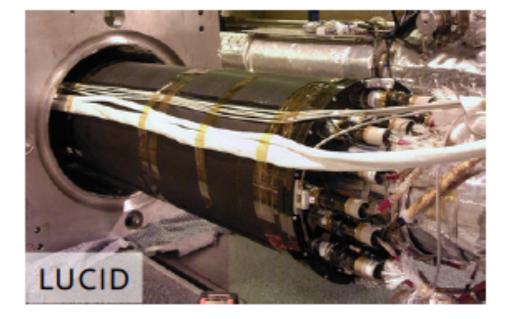
LUCID & BCM

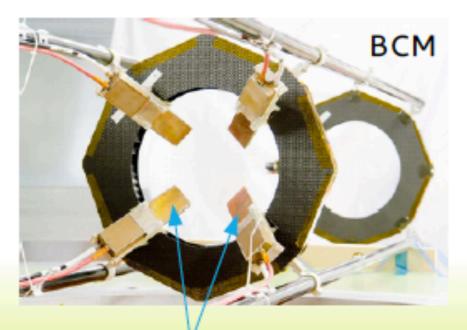
Bunch-by-bunch luminosity:

- LUCID
 - Dedicated Luminosity Monitor
 (5.6 < |η| < 6)
- Beam Condition Monitor (BCM)
 - Diamond sensors (|η| = 4.2)
 - Horizontal and vertical pairs
- Inner detector system
 - Primary vertex counting (|η| < 2.5)
 - Special conditions needed

Bunch-blind luminosity:

- Calorimeter currents
 - TileCal PMT (|η| < 1.7)
 - FCal HV (3.2 < |η| < 4.9)



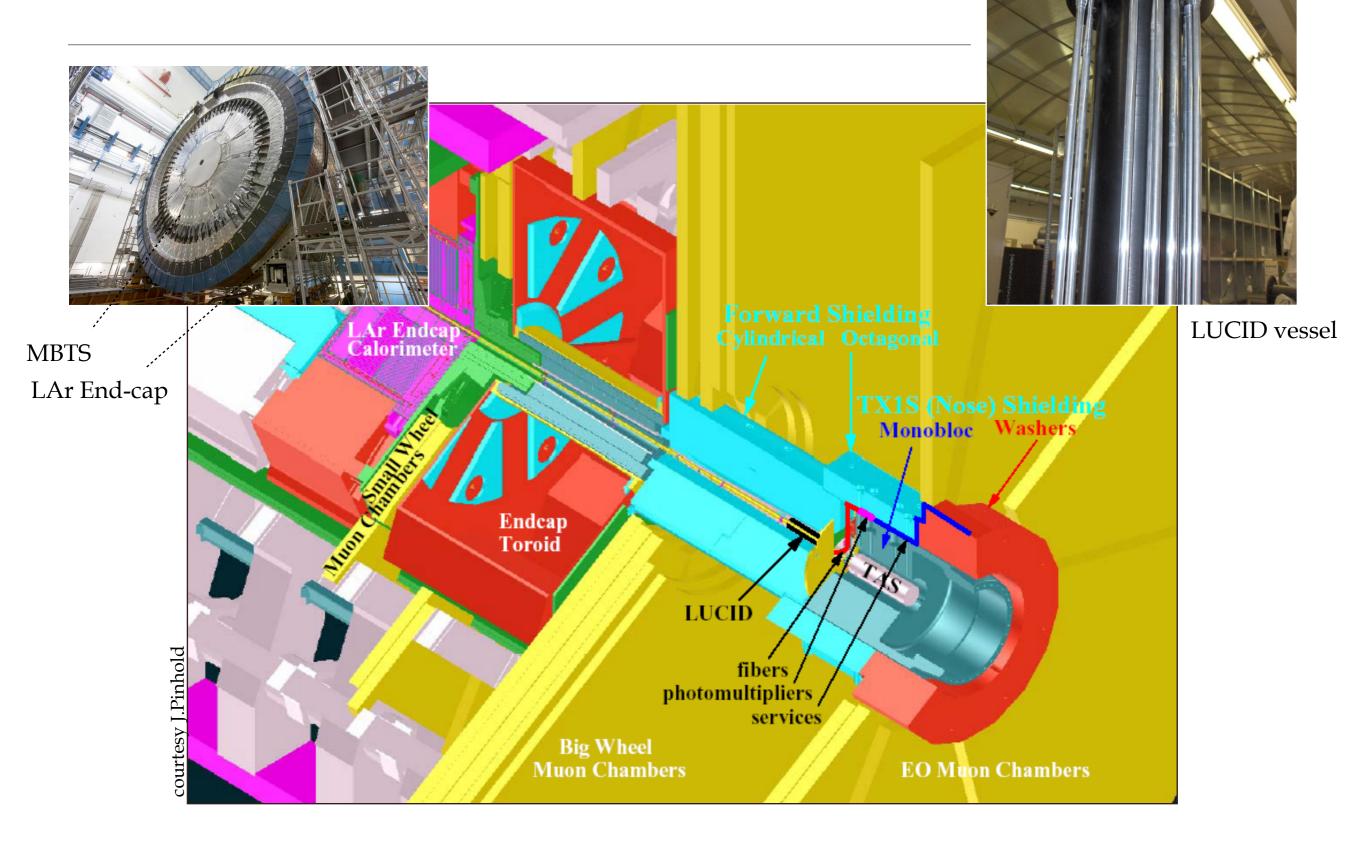


Diamond detectors

Kristof Kreutzfeldt, U. Gießen

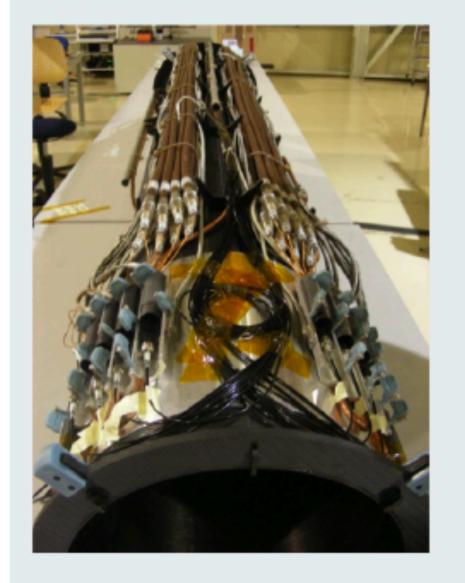


ATLAS Forward Regic





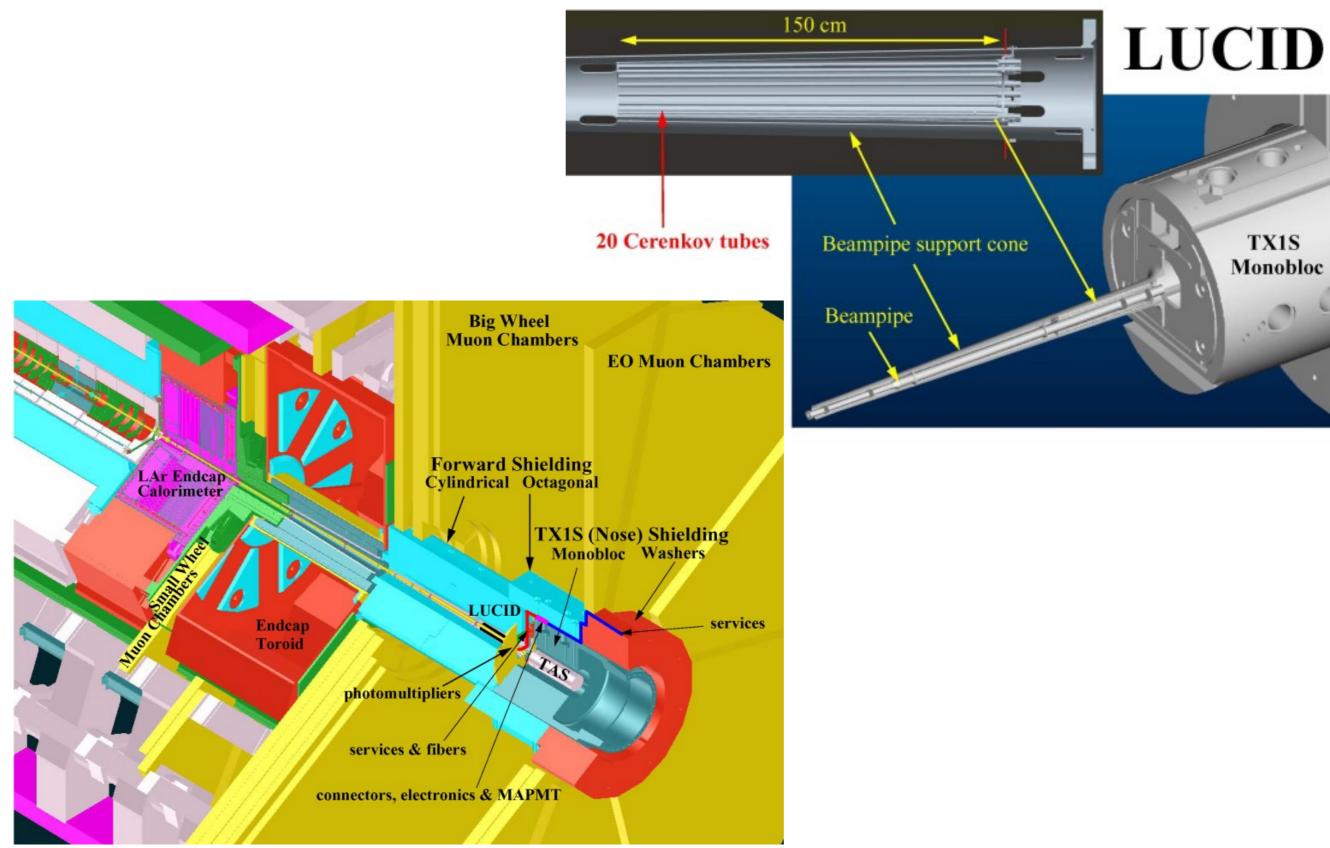
LUCID



- Main role: Luminosity Measurement.
- Technology: Cherenkov emitting quartz windows connect to PMTs.
- **Configuration:** 16 PMTs on each side of ATLAS, 17m from the IP.
- Highlights: Fast and high redundancy (each PMT read out individually). Capable of event and hit counting as well as and particle flux measurements.
- Sampling/Time resolution: Every BCID.
- Major upgrades for Run II: new calibration, more redundant measurements, reduced acceptance.



LUCID





Comparison among different monitors

LB Averaged Instantaneous Luminosity (10^30 cm^-2 s^-1)



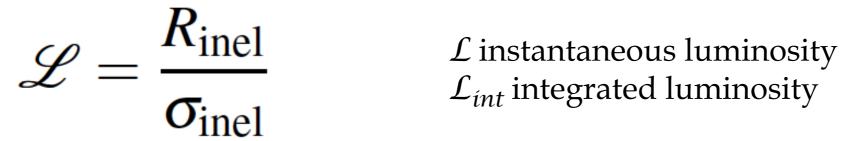


Backup slides



Methods for Luminosity Measurement - 1

The luminosity L of a pp collider can be expressed as



 \mathcal{L}_{int} integrated luminosity

where R_{inel} is the rate of inelastic collisions and σ_{inel} is the pp inelastic cross-section. For a storage ring, operating at a revolution frequency f_r and with n_b bunch pairs colliding per revolution, this expression can be rewritten as

$$\mathscr{L} = \frac{\mu n_{\rm b} f_{\rm r}}{\sigma_{\rm inel}}$$

where μ is the average number of inelastic interactions per bunch crossing

ATLAS monitors the delivered luminosity by measuring the observed interaction rate per crossing, μ_{vis} , independently with a variety of detectors and using several different algorithms. The luminosity can then be written as

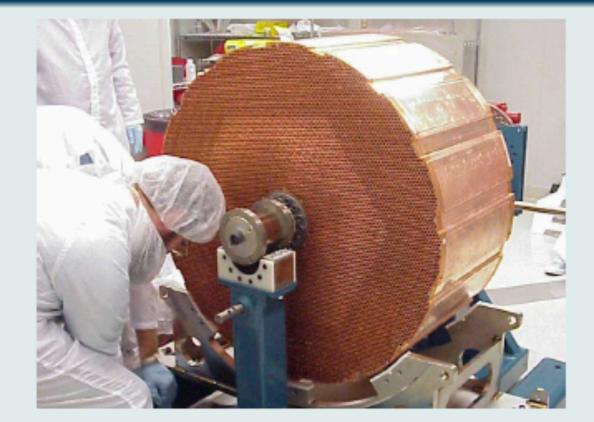
Calorimeters

Tile Calorimeter



- Technology: Scintillator tiles connected to PMTs.
- Highlights: Particle flux measurement, far from beamline.
- Sampling/Time resolution: bunch-integrated response every few seconds.

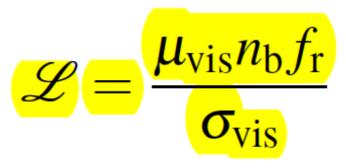
EMEC and FCal



- Technology: Liquid argon gaps between electrodes under HV.
- Highlights: Particle flux measurement, closer to beamline.
- Sampling/Time resolution: bunch-integrated response every few seconds.



Where $\sigma_{vis} = \varepsilon \sigma_{inel}$ is the total inelastic cross-section multiplied by the efficiency ε of the detector and efficiency and similarly μ_{vis} . Since μ_{vis} is an observable quantity the calibration of the luminosity scale is equivalent to determining the visible cross-section.



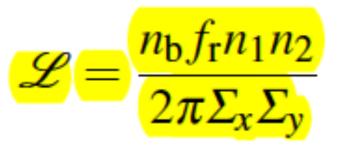
Methods:

- Event counting algorithms, count events satisfying selection criteria. In the limit $\mu_{vis} \ll 1$ then $\mu_{vis} \approx N/N_{BC}$ where N selected events, N_{BC} number bunch crossings. In the limit case in which all bunch crossings contain one event passing criteria, then event counting algorithms contain no information about the interaction rate
- Hit counting algorithm, rather than counting events count hits above some threshold. This approach is much more robust and saturates much more slowly wrt previous method



Methods for Luminosity Measurement - 3

The calibration of σ_{vis} is performed using a dedicated method based on beam-separation scans, called van der Meer (vdM) method. The dilivered luminosity can be written in ter ms of accelerator parameters as



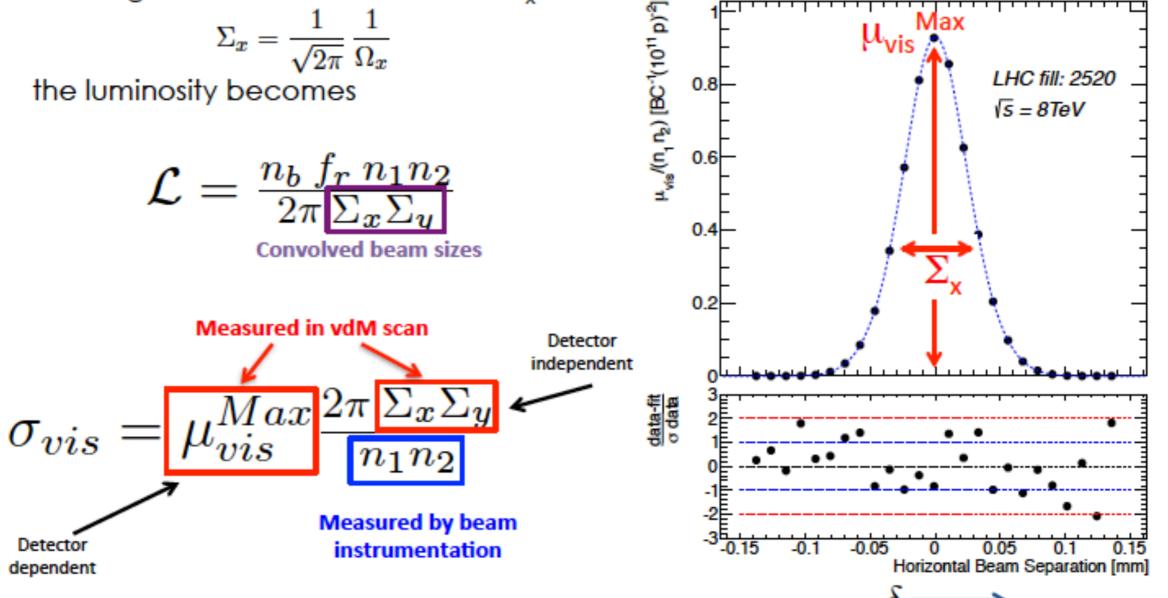
Where n_1 and n_2 are the bunch populations (protons per bunch) and $\Sigma_x \Sigma_y$ are the beam widths in the x,y direction. More in the following.



Van der Meer scan basics

- The key idea of the VdM scan is to relate $\Omega_x = \cdot$ the overlap integral to the rate integral [12]:
- Defining the convolved beam size Σ_x as $\Sigma_x = \frac{1}{\sqrt{2\pi}} \frac{1}{\Omega_x}$ 0.8

the luminosity becomes



Rate measured

by detector

Beam separation

LHC fill: 2520

 $R_x(0)$

 μ_{vis}